

# Eulerian Cycle (2A)

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# Degree of a vertex

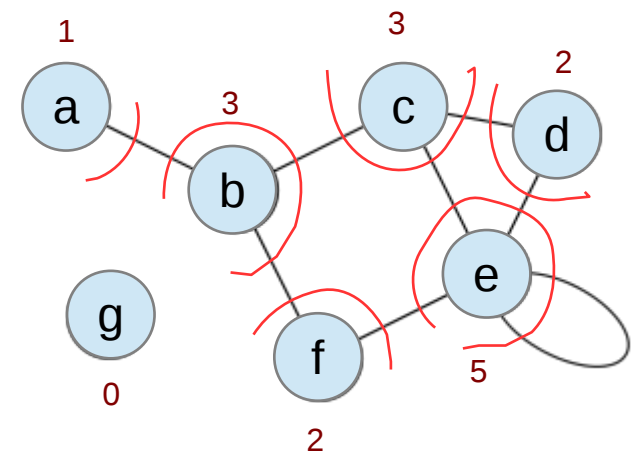
the **degree** (or **valency**) of a vertex is the number of edges incident to the vertex, with loops counted twice.

The degree of a vertex  $v$  is denoted  $\deg(v)$   
the maximum degree of a graph  $G$ , denoted by  $\Delta(G)$   
the minimum degree of a graph, denoted by  $\delta(G)$

$$\Delta(G) = 5$$

$$\delta(G) = 0$$

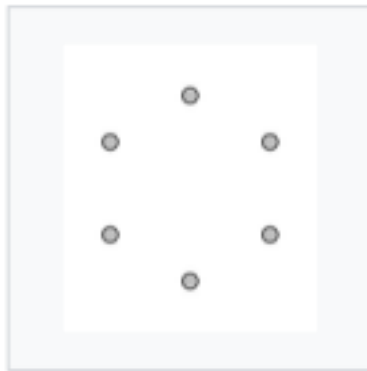
In a **regular** graph, all degrees are the same



[https://en.wikipedia.org/wiki/Degree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Degree_(graph_theory))

# Regular Graphs

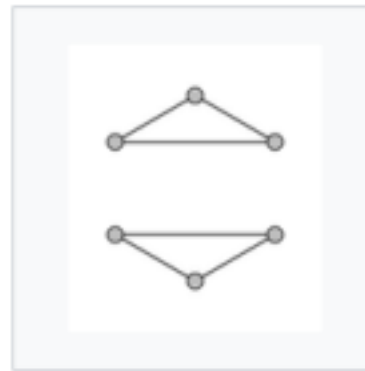
a **regular graph** is a graph where each vertex has the same number of neighbors; i.e. every vertex has the same degree or valency.



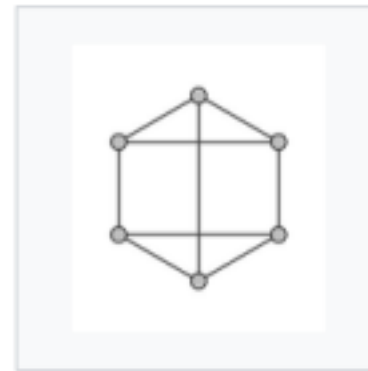
0-regular graph



1-regular graph



2-regular graph



3-regular graph

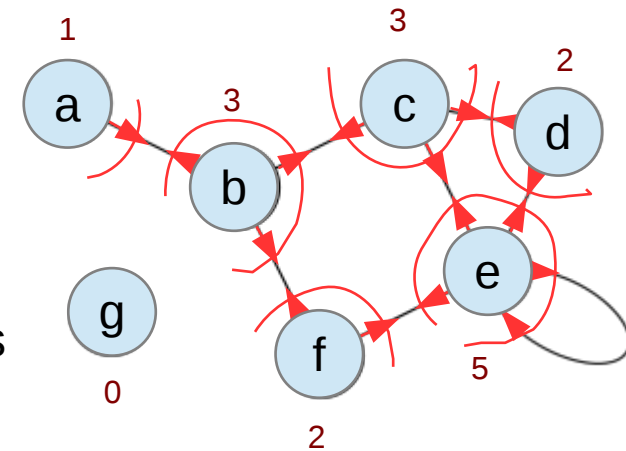
[https://en.wikipedia.org/wiki/Regular\\_graph](https://en.wikipedia.org/wiki/Regular_graph)

# Handshake Lemma

The degree sum formula states that, given a graph  $G = (V, E)$

$$\sum_{v \in V} \deg(v) = 2|E|.$$

This statement (as well as the degree sum formula) is known as the **handshaking lemma**.



$$\deg(a) = 1$$

$$\deg(b) = 3$$

$$\deg(c) = 3$$

$$\deg(d) = 2$$

$$\deg(e) = 5$$

$$\deg(f) = 2$$

$$\deg(g) = 0$$

$$|E| = 8$$

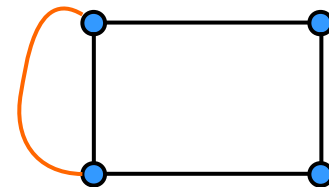
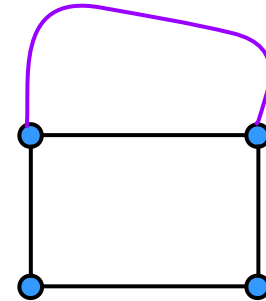
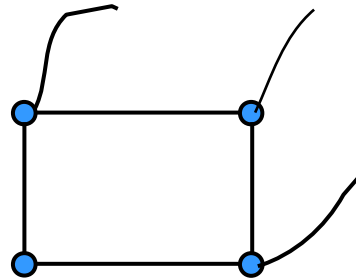
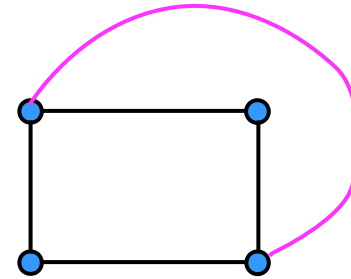
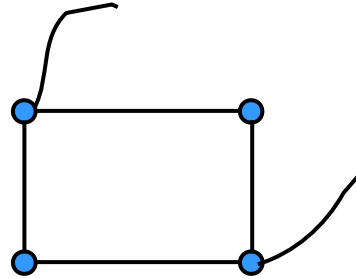
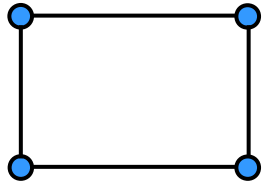
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$$16$$

$$2|E| = 16$$

[https://en.wikipedia.org/wiki/Degree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Degree_(graph_theory))

# Adding odd vertex



[https://en.wikipedia.org/wiki/Eulerian\\_path](https://en.wikipedia.org/wiki/Eulerian_path)

# The number of odd vertices

**Even vertices** :  $\{x_1, x_2, \dots, x_m\}$

$$S = \underline{\deg(x_1)} + \underline{\deg(x_2)} + \dots + \underline{\deg(x_m)}$$

$\deg(x_i) : \text{even}$

$$S = \underline{\text{even}} + \underline{\text{even}} + \dots + \underline{\text{even}}$$

**Odd vertices** :  $\{y_1, y_2, \dots, y_n\}$

$$T = \underline{\deg(y_1)} + \underline{\deg(y_2)} + \dots + \underline{\deg(y_n)}$$

$\deg(y_i) : \text{odd}$

$$T = \underline{\text{odd}} + \underline{\text{odd}} + \dots + \underline{\text{odd}}$$

$S : \text{even}$

$S+T : \text{even}$



$$T : \text{even} = \sum n \text{ odd numbers}$$

$n : \text{even}$

in any graph, the number of vertices with odd degree is even.

# of odd vertices	Eulerian Path	Eulerian Cycle
0	No	<b>Yes</b>
2	<b>Yes</b>	No
4,6,8, ...	No	No
1,3,5,7, ...	No such graph	No such graph

## References

- [1] <http://en.wikipedia.org/>
- [2]



# Hamiltonian Cycle (3A)

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# Hamiltonian Cycles – Properties (3)

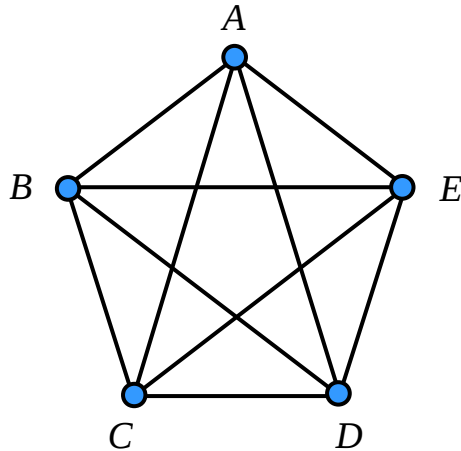
A tournament (with more than two vertices) is Hamiltonian if and only if it is **strongly connected**.

The number of different Hamiltonian cycles  
in a **complete undirected** graph on  $n$  vertices is  $(n - 1)! / 2$   
in a complete directed graph on  $n$  vertices is  $(n - 1)!$ .

These counts assume that cycles that are the same apart from their starting point are not counted separately.

[https://en.wikipedia.org/wiki/Hamiltonian\\_path](https://en.wikipedia.org/wiki/Hamiltonian_path)

# Number of Hamiltonian Cycles (1)

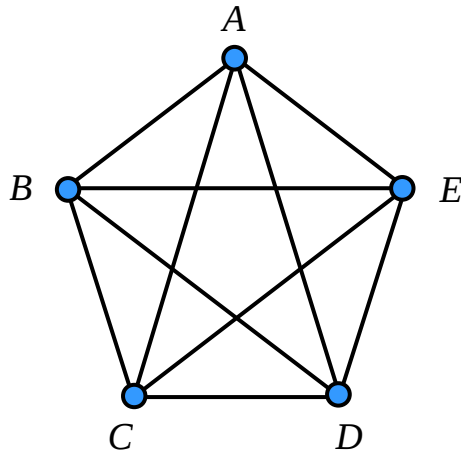


$$(5-1)! = 24$$

A	<i>BCDE</i>	AB	<i>CDE</i>	ABC	<i>DE</i>	ABCD	<i>E</i>	ABCDE
		AC	<i>BDE</i>	ABD	<i>CE</i>	ABCE	<i>D</i>	ABCED
		AD	<i>BCE</i>	ABE	<i>CD</i>	ABDC	<i>E</i>	ABDCE
		AE	<i>BCD</i>	ACB	<i>DE</i>	ABDE	<i>C</i>	ABDEC
				ACD	<i>BE</i>	ABEC	<i>D</i>	ABECD
				ACE	<i>BD</i>	ABED	<i>C</i>	ABEDC
						ACBD	<i>E</i>	ACBDE
				ADB	<i>CE</i>	ACBE	<i>D</i>	ACBED
				ADC	<i>BE</i>	ACDB	<i>E</i>	ACDBE
				ADE	<i>BC</i>	ACDE	<i>B</i>	ACDEB
						ACEB	<i>D</i>	ACEBD
				AEB	<i>CD</i>	ACED	<i>B</i>	ACEDB
				AEC	<i>BD</i>			
				AED	<i>BC</i>	ADBC	<i>E</i>	ADBCE
						ADBE	<i>C</i>	ADBEC
						ADCB	<i>E</i>	ADCBE
						ADCE	<i>B</i>	ADCEB
						ADEB	<i>C</i>	ADEBC
						ADEC	<i>B</i>	ADECB
						AEBC	<i>D</i>	AEBCD
						AEBD	<i>C</i>	AEBDC
						AECB	<i>D</i>	AECBD
						AECD	<i>B</i>	AECDB
						AEDB	<i>C</i>	AEDBC
						AEDC	<i>B</i>	AEDCB

[https://en.wikipedia.org/wiki/Hamiltonian\\_path](https://en.wikipedia.org/wiki/Hamiltonian_path)

# Number of Hamiltonian Cycles (2)



$$(5-1)! = 24$$

ABCDE

ABCED

ABDCE

ABDEC

ABECD

ABEDC

ACBDE

ACBED

ACDBE

ACDEB

ACEBD

ACEDB

ADBCE

ADBEC

ADCBE

ADCEB

ADEBC

ADECB

AEBCD

AEBDC

AECBD

AECDB

AEDBC

AEDCB

BACDE

BACED

BADCE

BADEC

BAECD

BAEDC

BCADE

BCAED

BCDAE

BCDEA

BCEAD

BCEDA

BDACE

BDAEC

BDCAE

BDCEA

BDEAC

BDECA

BEACD

BEADC

BECAD

BECDA

BEDAC

BEDCA

CABDE

CABED

CADBE

CADEB

CAEBD

CAEDB

CBADE

CBAED

CBDAE

CBDEA

CBEAD

CBEDA

CDABE

CDAEB

CDBAE

CDBEA

CDEAB

CDEBA

CEABD

CEADB

CEBAD

CEBDA

CEDAB

CEDBA

DABCE

DABEC

DACBE

DACEB

DADBC

DADCB

DBACE

DBAEC

DBC AE

DBCEA

DBEAC

DBECA

DCABE

DCAEB

DCBAE

DCBEA

DCEAB

DCEBA

DEABC

DEACB

DEBAC

DEBCA

DECAB

DECBA

EABCD

EABDC

EACBD

EACDB

EADBC

EADCB

EBACD

EBADC

EBCAD

EBCDA

EBDAC

EBDCA

ECABD

ECADB

ECBAD

ECBDA

ECDAB

ECDBA

EDABC

EDACB

EDBAC

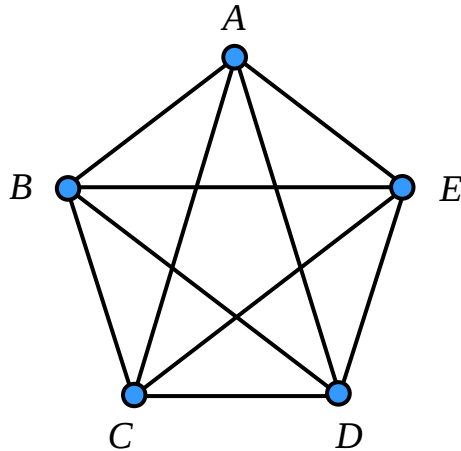
EDBCA

EDCAB

EDCBA

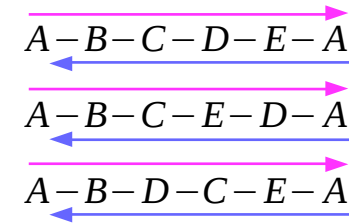
[https://en.wikipedia.org/wiki/Hamiltonian\\_path](https://en.wikipedia.org/wiki/Hamiltonian_path)

# Number of Hamiltonian Cycles (3)



$$\frac{(5-1)!}{2} = \frac{24}{2} = 12$$

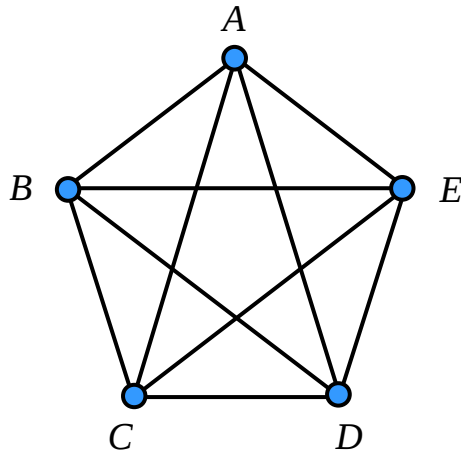
- ABCDE
- ABCED
- ABDCE
- ABDEC
- ABECD
- ABEDC
  
- ACBDE
- ACBED
- ACDBE
- ACDEB
- ACEBD
- ACEDB
  
- ADBCE
- ADBEC
- ADCBE
- ADCEB
- ADEBC
- ADECB
  
- AEBCD
- AEBDC
- AECBD
- AECDB
- AEDBC
- AEDCB



$$(n-1)! / 2$$

[https://en.wikipedia.org/wiki/Hamiltonian\\_path](https://en.wikipedia.org/wiki/Hamiltonian_path)

# Number of Hamiltonian Cycles (4)



$$\frac{(5-1)!}{2} = \frac{24}{2} = 12$$

ABCDE  
 ABCED  
 ABDCE  
 ABDEC  
 ABECD  
 ABEDC

ACBDE  
 ACBED  
 ACDBE  
 ACDEB  
 ACEBD  
 ACEDB

ADBCE  
 ADBEC  
 ADCBE  
 ADCEB  
 ADEBC  
 ADECB

AEBCD  
 AEBDC  
 AECBD  
 AECDB  
 AEDBC  
 AEDCB

ABCDE  
 ABCED  
 ABDCE  
 ABDEC  
 ABECD  
 ABEDC

ACBDE  
 ACBED  
 ACDBE  
 ACDEB  
 ACEBD  
 ACEDB

ADBCE  
 ADBEC  
 ADCBE  
 ADCEB  
 ADEBC  
 ADECB

AEBCD  
 AEBDC  
 AECBD  
 AECDB  
 AEDBC  
 AEDCB

ABCDE  
 ABCED  
 ABDCE  
 ABDEC  
 ABECD  
 ABEDC

ACBDE  
 ACBED  
 ACDBE  
 ACEBD  
 ADCBE  
 ADCBE

$(n-1)! / 2$

[https://en.wikipedia.org/wiki/Hamiltonian\\_path](https://en.wikipedia.org/wiki/Hamiltonian_path)

## References

- [1] <http://en.wikipedia.org/>
- [2]



# Planar Graph (7A)

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# Planar Graph




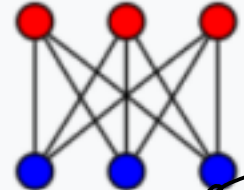
a planar graph is a graph that can be embedded in the plane, i.e., it can be drawn on the plane in such a way that its edges intersect only at their endpoints.

it can be drawn in such a way that no edges cross each other. Such a drawing is called a **plane graph** or **planar embedding** of the graph. (**planar representation**)

A **plane graph** can be defined as a planar graph with a mapping from every node to a point on a plane, and from every edge to a plane curve on that plane, such that the extreme points of each curve are the points mapped from its end nodes, and all curves are disjoint except on their extreme points.

[https://en.wikipedia.org/wiki/Planar\\_graph](https://en.wikipedia.org/wiki/Planar_graph)

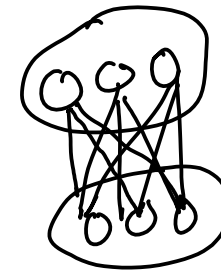
# Planar Graph Examples

Example graphs	
Planar	Nonplanar
 <p>Butterfly graph</p>	 <p>Complete graph <math>K_5</math></p>
 <p>Complete graph <math>K_4</math></p>	 <p>Utility graph <math>K_{3,3}</math></p>

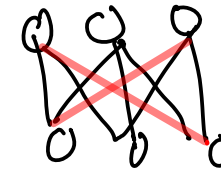


$K_5$

$K_{3,3}$



bipartite graph

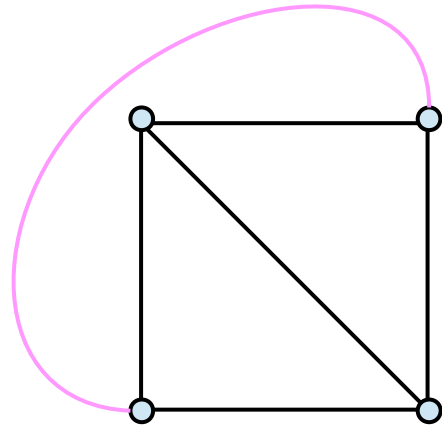
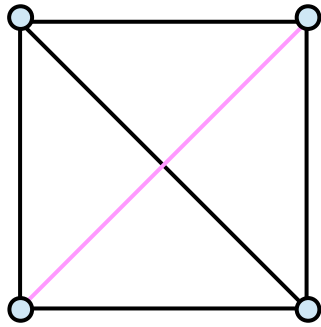


Complete bipartite Graph

[https://en.wikipedia.org/wiki/Planar\\_graph](https://en.wikipedia.org/wiki/Planar_graph)

# Planar Representation

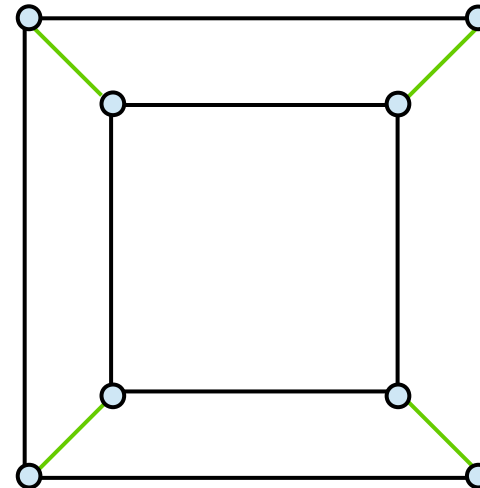
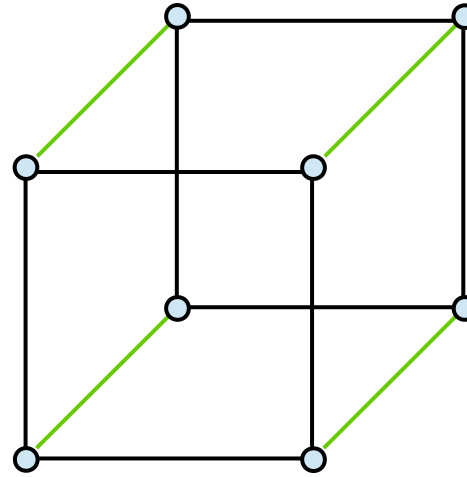
$K_4$



No crossing  
 $K_4$  Planar

Discrete Mathematics, Rosen

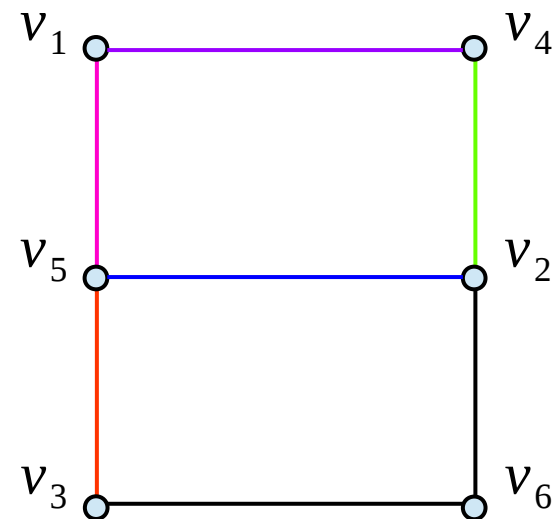
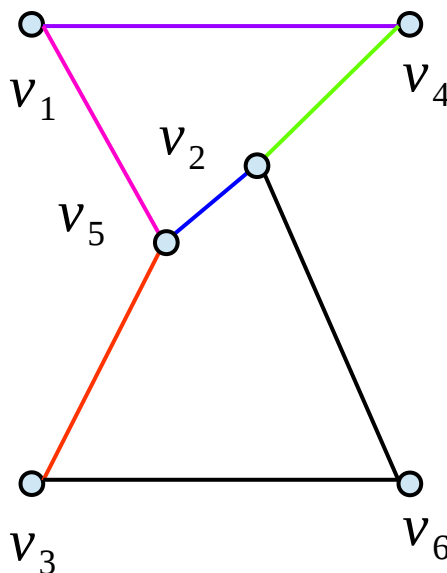
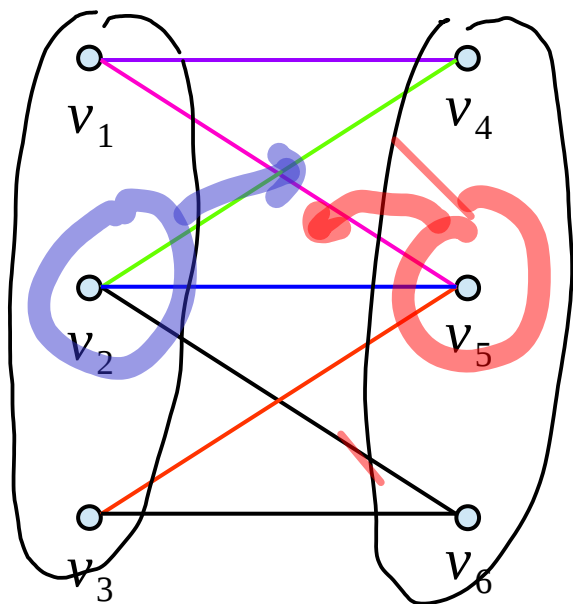
$Q_3$



No crossing  
 $Q_3$  Planar

# A planar bipartite graph

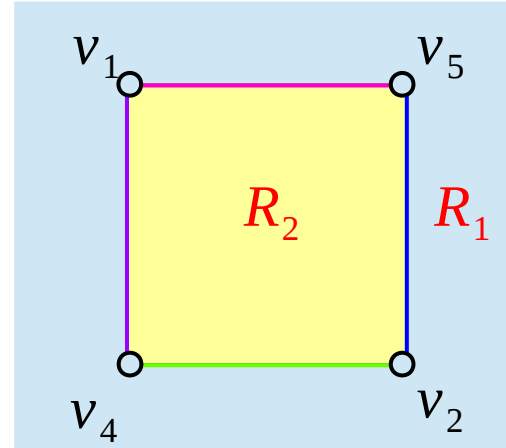
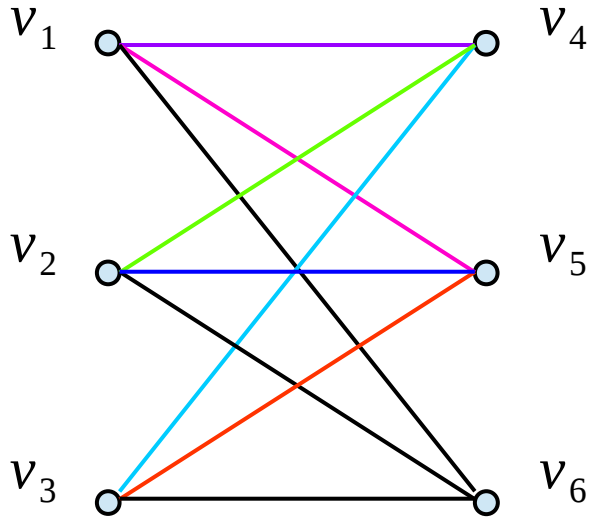
~~K<sub>3,3</sub>~~



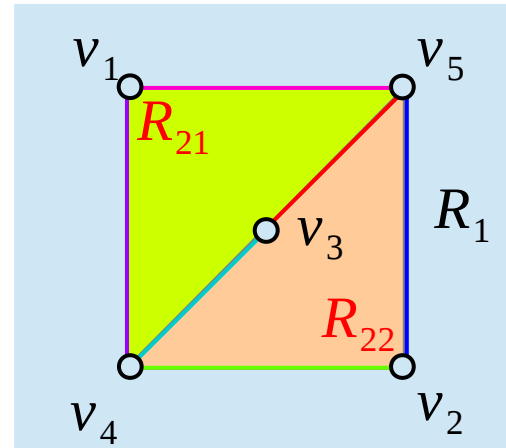
Bipartite graph  
but not complete  
bipartite graph  
 $K_{3,3}$

Planar Graph

# Non-planar Graph $K_{3,3}$

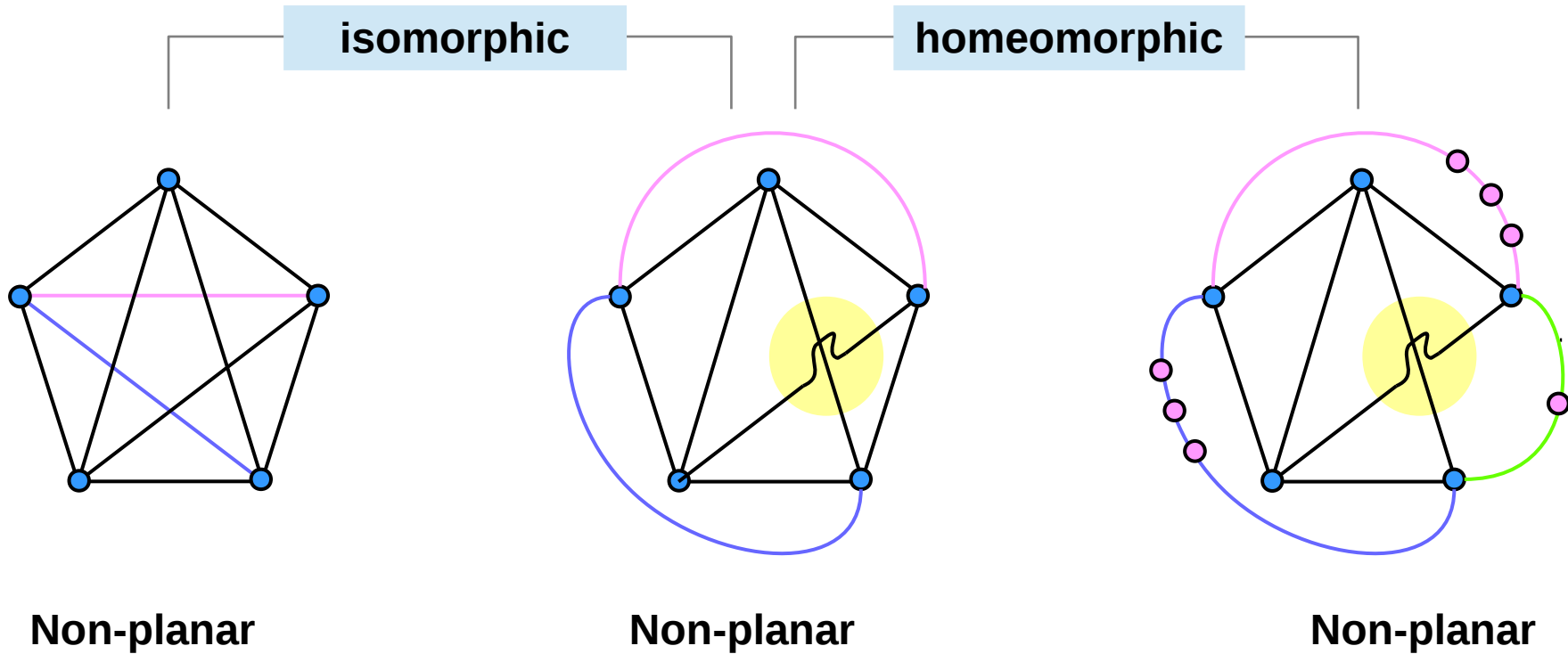


*no where  $v_6$*



Non-planar

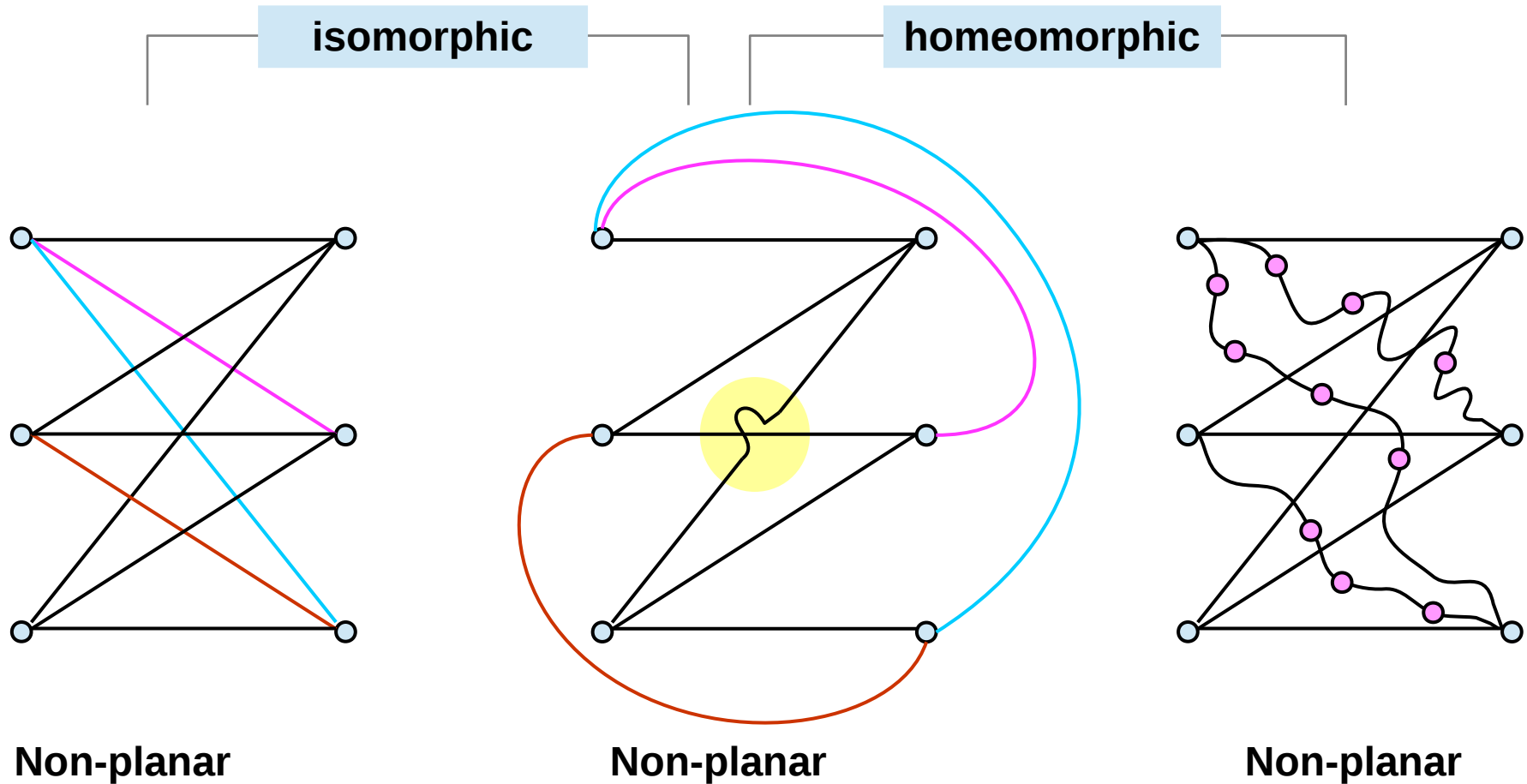
# Non-planar graph examples - $K_5$



All these graphs are similar in determining whether they are planar or not



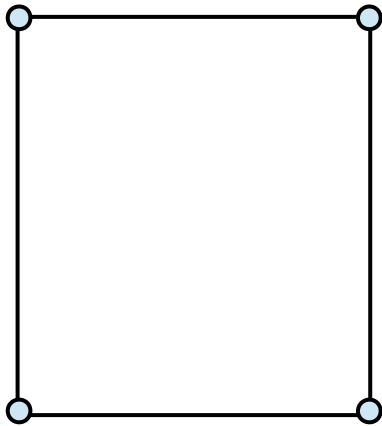
# Non-planar graph examples – $K_{3,3}$



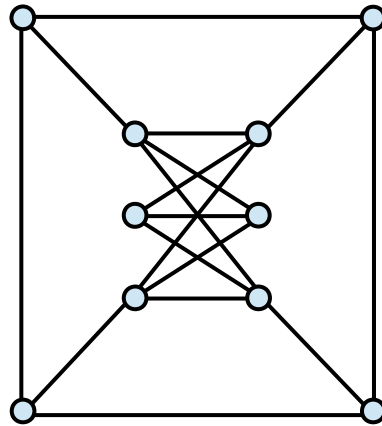
All these graphs are similar in determining whether they are planar or not

# Non-planar graph examples – embedding $K_{3,3}$

Planar



Non-planar

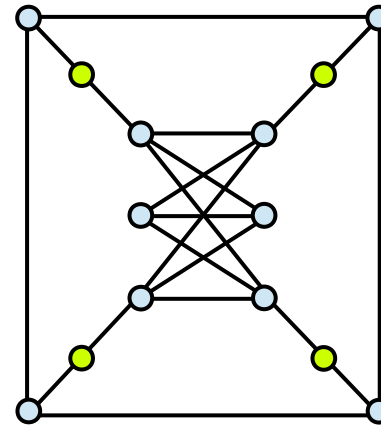


contains  $K_{3,3}$



non-planar  
subgraph

Non-planar

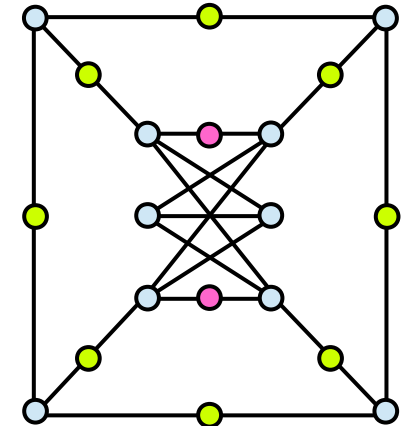


contains  $K_{3,3}$



non-planar  
subgraph

Non-planar

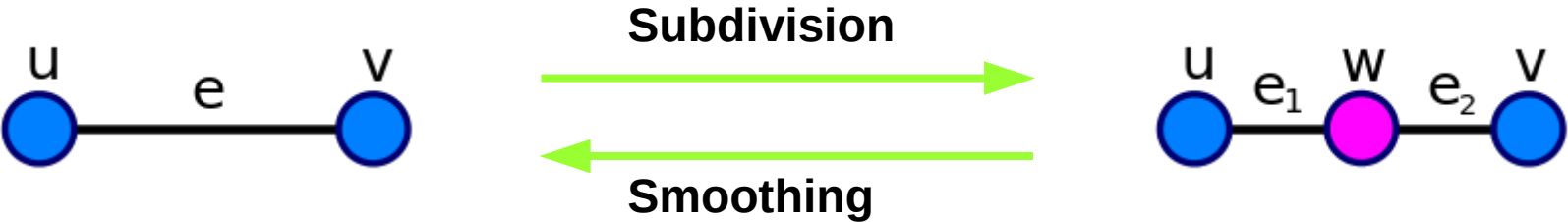


contains a  
subdivision of  $K_{3,3}$



non-planar  
subgraph

# Subdivision and Smoothing



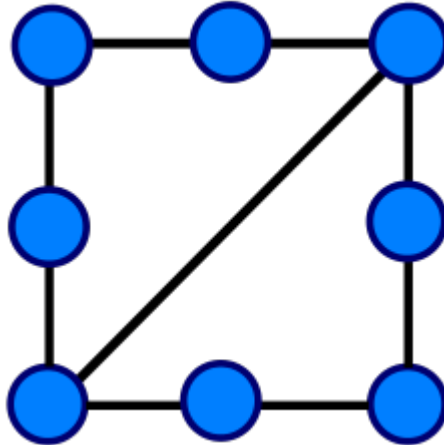
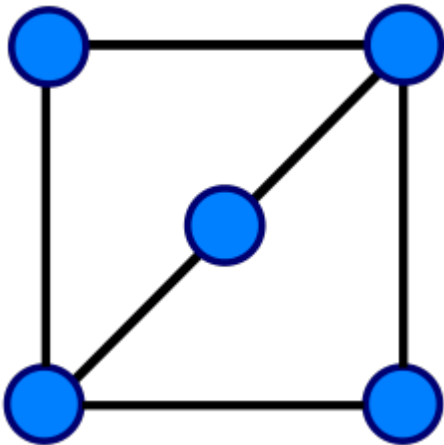
[https://en.wikipedia.org/wiki/Planar\\_graph](https://en.wikipedia.org/wiki/Planar_graph)

# Homeomorphism

two graphs  $G_1$  and  $G_2$  are **homeomorphic**  
if there is a graph **isomorphism**  
from some **subdivision** of  $G_1$   
to some **subdivision** of  $G_2$

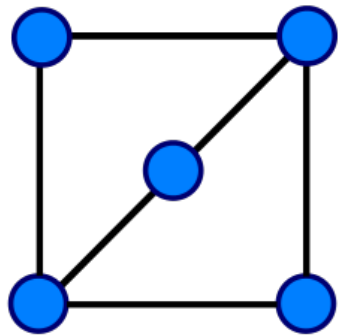
homeo (identity, sameness)

iso (equal)

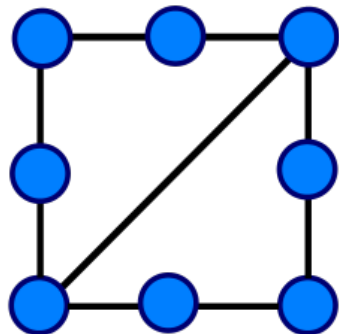


[https://en.wikipedia.org/wiki/Planar\\_graph](https://en.wikipedia.org/wiki/Planar_graph)

# Homeomorphism Examples

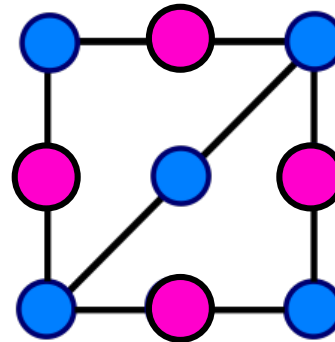


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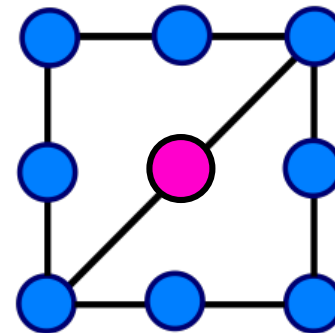


homeomorphic

Subdivision



||



isomorphic

Subdivision



Subdivision



[https://en.wikipedia.org/wiki/Planar\\_graph](https://en.wikipedia.org/wiki/Planar_graph)

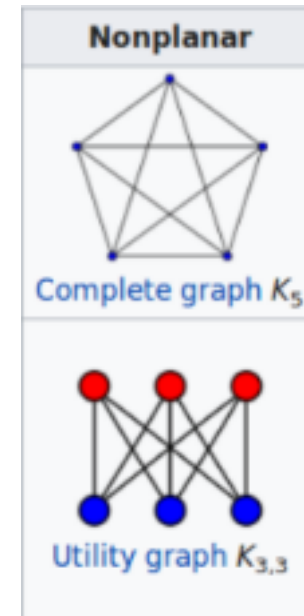
# Embedding on a surface

**subdividing** a graph preserves planarity.

**Kuratowski's theorem** states that

a finite graph is **planar** if and only if it contains **no** subgraph **homeomorphic** to  $K_5$  (complete graph on five vertices) or  $K_{3,3}$  (complete bipartite graph on six vertices, three of which connect to each of the other three).

In fact, a graph **homeomorphic** to  $K_5$  or  $K_{3,3}$  is called a **Kuratowski subgraph**.

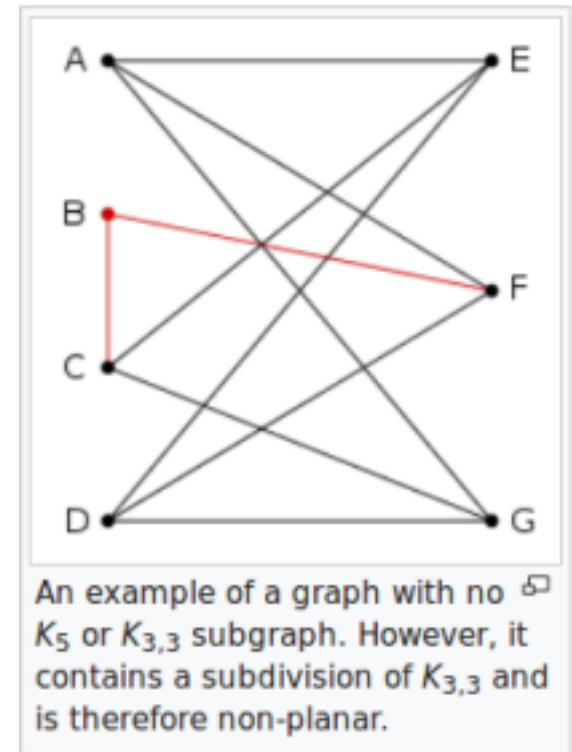


[https://en.wikipedia.org/wiki/Planar\\_graph](https://en.wikipedia.org/wiki/Planar_graph)

# Kuratowski's Theorem

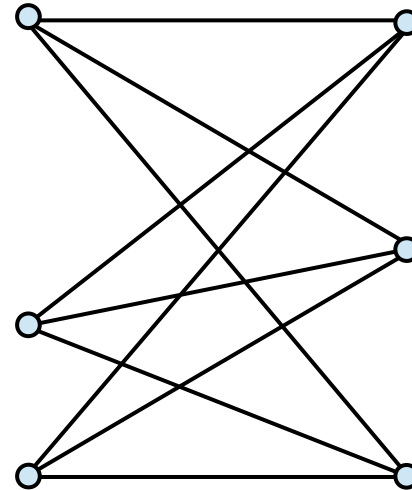
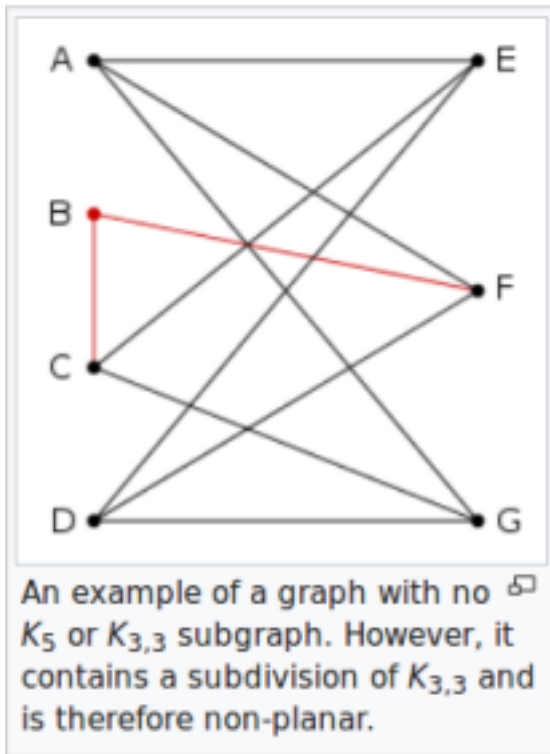
A finite graph is planar if and only if it does not contain a **subgraph** that is a **subdivision** of the complete graph  $K_5$  or the complete bipartite graph  $K_{3,3}$  (utility graph).

A subdivision of a graph results from inserting vertices into edges (changing an edge  $\bullet\text{---}\bullet$  to  $\bullet\text{---}\bullet\text{---}\bullet$ ) zero or more times.



[https://en.wikipedia.org/wiki/Planar\\_graph](https://en.wikipedia.org/wiki/Planar_graph)

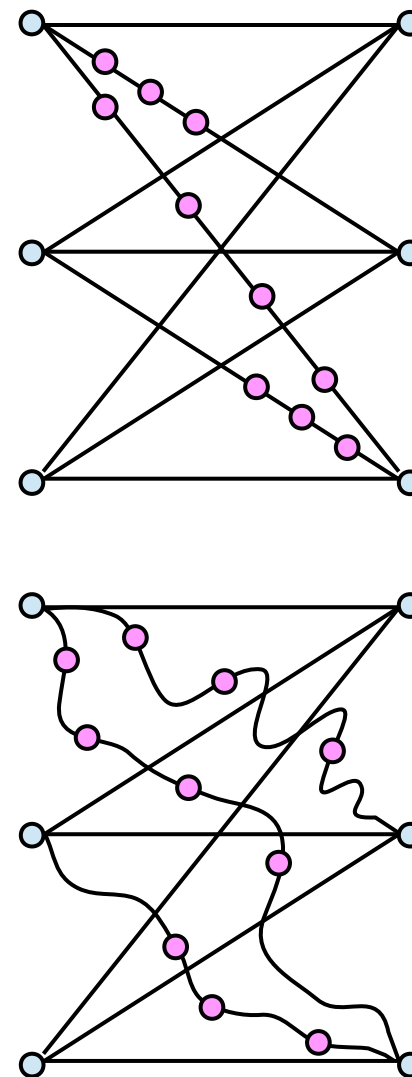
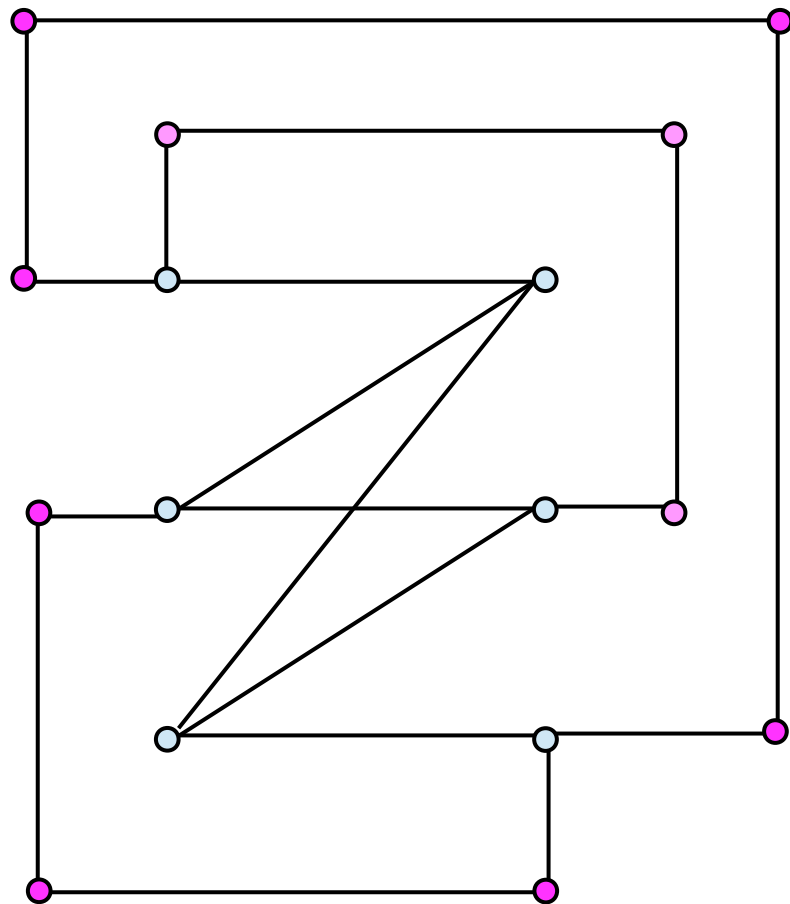
# Kuratowski's Theorem



[https://en.wikipedia.org/wiki/Planar\\_graph](https://en.wikipedia.org/wiki/Planar_graph)



# A subdivision of $K_{3,3}$



# Euler's Formula

**Euler's formula** states that if a **finite, connected, planar graph** is drawn in the plane without any edge intersections, and **v** is the number of **vertices**, **e** is the number of **edges** and **f** is the number of **faces** (regions bounded by edges, including the outer, infinitely large region), then

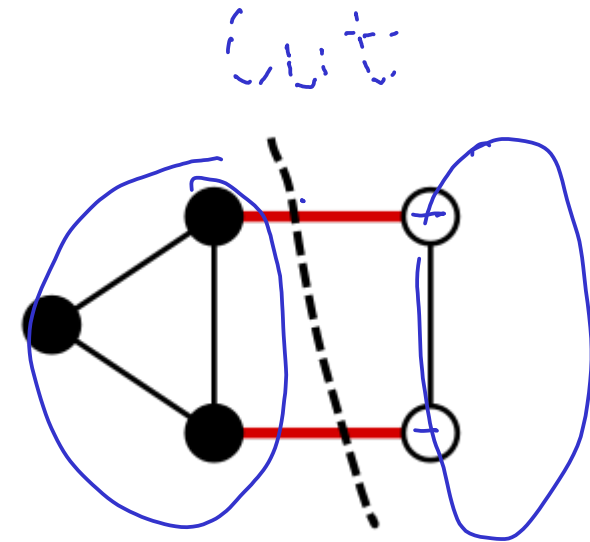
$$v - e + f = 2$$

[https://en.wikipedia.org/wiki/Planar\\_graph](https://en.wikipedia.org/wiki/Planar_graph)

# Minimum Cut

A cut is minimum if the size or weight of the cut is not larger than the size of any other cut.

the size of this cut is 2,  
and there is no cut of size 1  
because the graph is bridgeless.

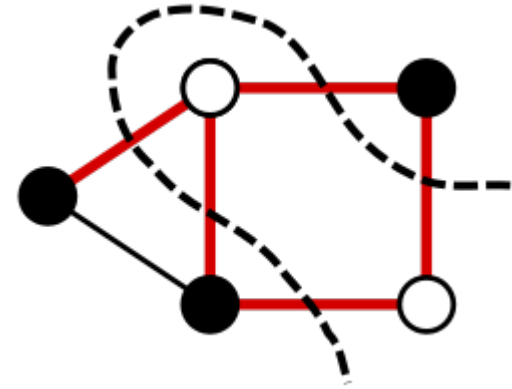


[https://en.wikipedia.org/wiki/Cut\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Cut_(graph_theory))

# Maximum Cut

A cut is maximum if the size of the cut is not smaller than the size of any other cut.

the size of the cut is equal to 5, and there is no cut of size 6, or  $|E|$  (the number of edges), because the graph is not bipartite (there is an odd cycle).

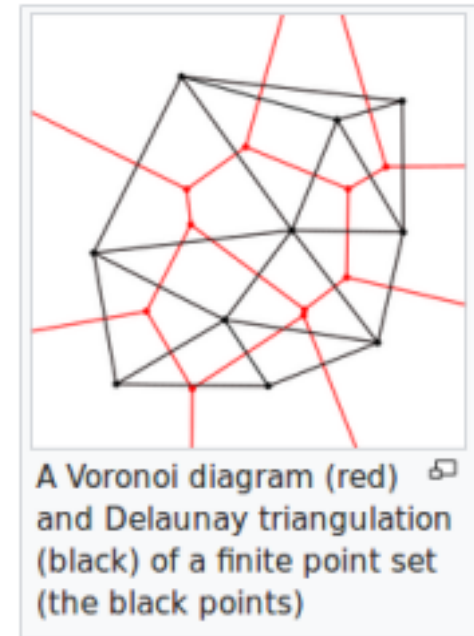


[https://en.wikipedia.org/wiki/Cut\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Cut_(graph_theory))

# Infinite Graphs and Tessellations

The concept of duality applies as well to **infinite graphs** embedded in the plane as it does to **finite graphs**.

When all faces are bounded regions surrounded by a cycle of the graph, an **infinite planar** graph embedding can also be viewed as a **tessellation** of the plane, a covering of the plane by closed disks (the **tiles** of the **tessellation**) whose interiors (the **faces** of the **embedding**) are disjoint open disks.



[https://en.wikipedia.org/wiki/Dual\\_graph](https://en.wikipedia.org/wiki/Dual_graph)

## References

- [1] <http://en.wikipedia.org/>
- [2]

# Tree Overview (1A)

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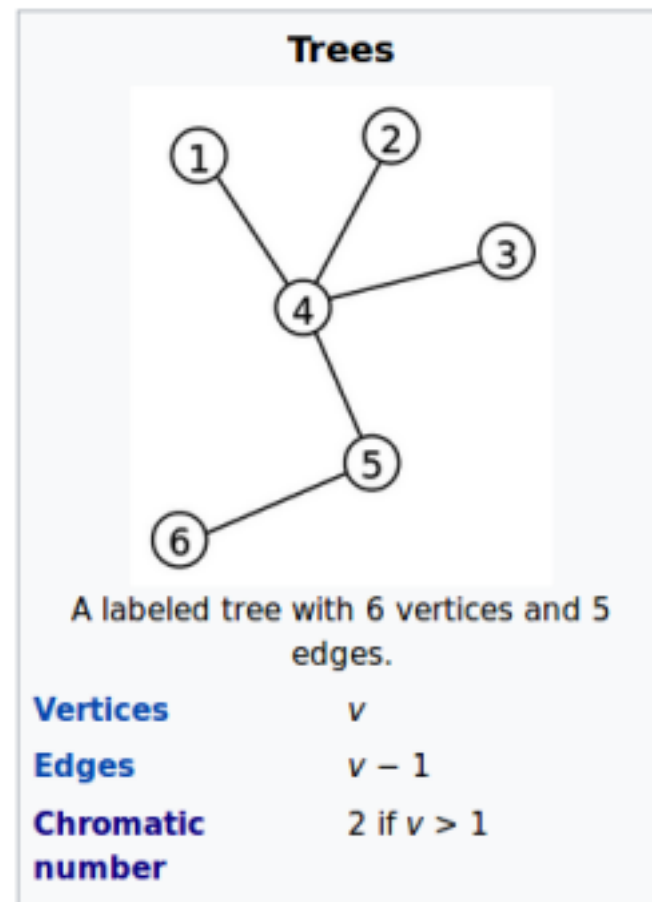


# Tree

a tree is an **undirected** graph in which **any two vertices** are **connected** by exactly **one path**.

any **acyclic connected** graph is a **tree**.

A **forest** is a disjoint union of trees.



[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Tree Condition (1)

A **tree** is an **undirected** graph  $G$  that satisfies any of the following equivalent conditions:

$G$  is **connected** and has no **cycles**.

$G$  is **acyclic**, and a **simple cycle** is formed if any **edge** is added to  $G$ .

$G$  is **connected**, but is not connected if any single **edge** is removed from  $G$ .

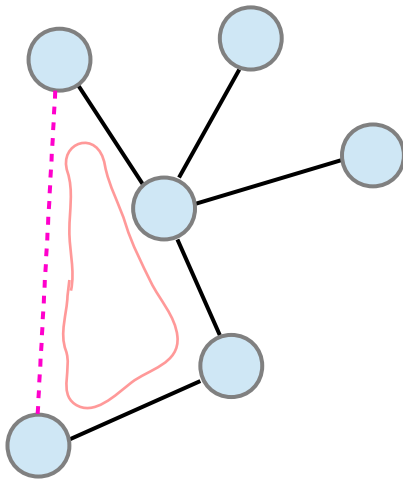
$G$  is **connected** and the 3-vertex complete graph  $K_3$  is not a **minor** of  $G$ .

Any **two vertices** in  $G$  can be **connected** by a unique **simple path**.

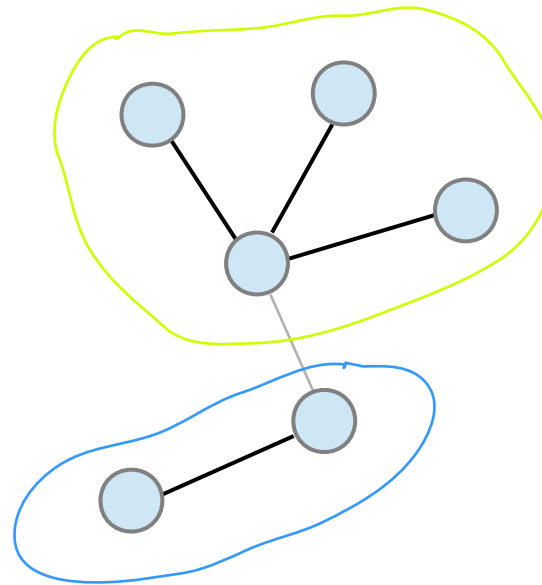
[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Tree Condition (2)

G is **acyclic**, and a **simple cycle** is formed if any **edge** is added to G.



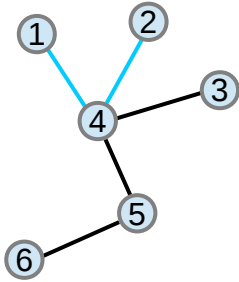
G is **connected**, but is not connected if any single **edge** is removed from G.



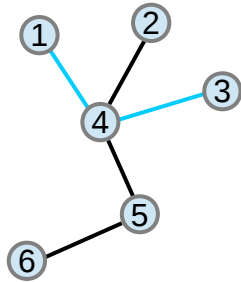
[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Tree Condition (3)

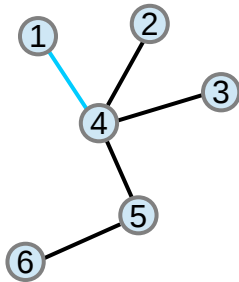
$p_{1,2}$



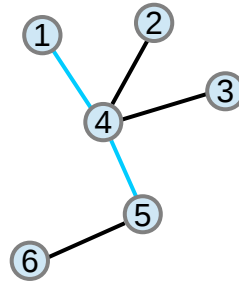
$p_{1,3}$



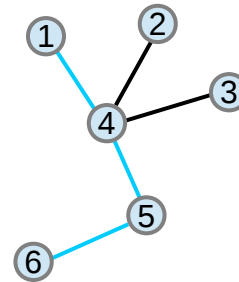
$p_{1,4}$



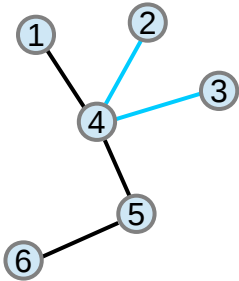
$p_{1,5}$



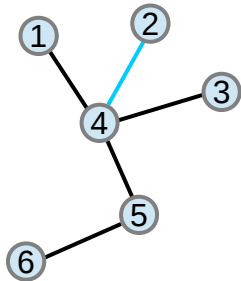
$p_{1,6}$



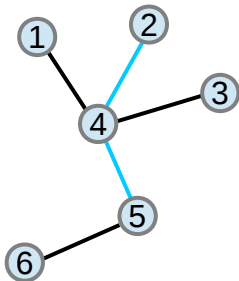
$p_{2,3}$



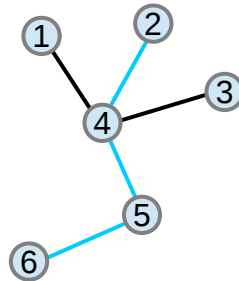
$p_{2,4}$



$p_{2,5}$



$p_{2,6}$

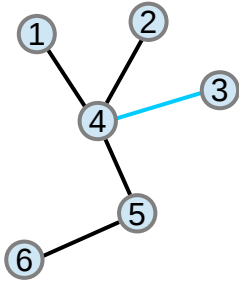


Any **two vertices** in  $G$  can be **connected** by a **unique simple path**.

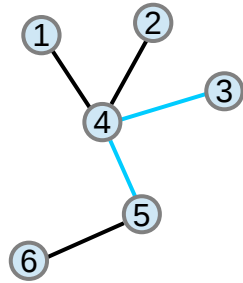
[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Tree Condition (4)

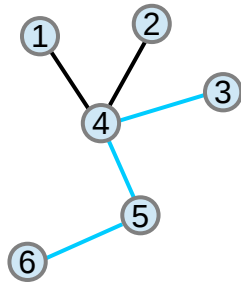
$p_{3,4}$



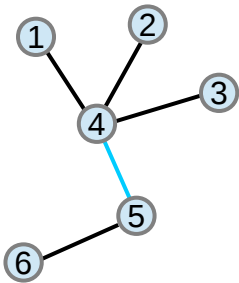
$p_{3,5}$



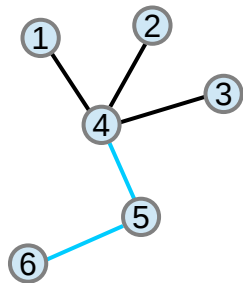
$p_{3,6}$



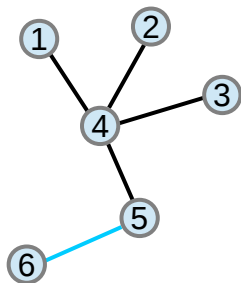
$p_{4,5}$



$p_{4,6}$



$p_{5,6}$



Any **two vertices** in  $G$  can be **connected** by a unique simple path.

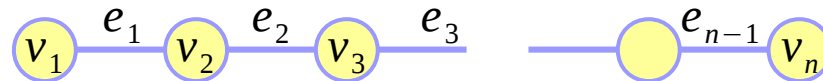
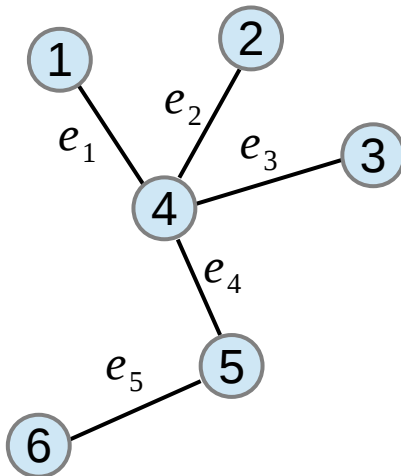
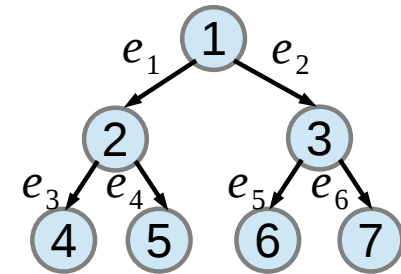
[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Tree Condition (5)

If  $G$  has finitely many **vertices**, say  **$n$  vertices**, then the above statements are also equivalent to any of the following conditions:

$G$  is **connected** and has  **$n - 1$  edges**.

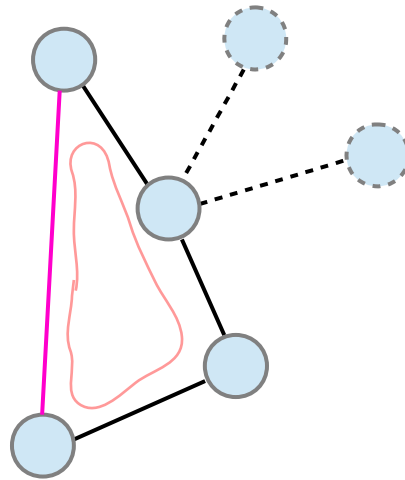
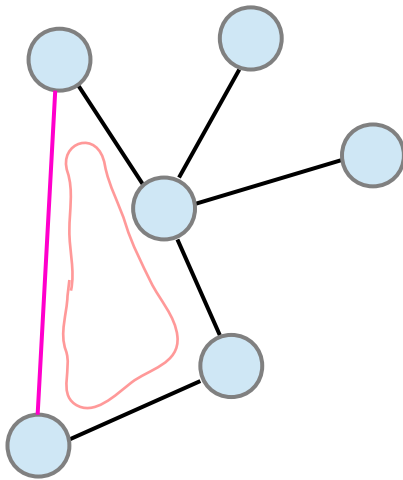
$G$  has **no simple cycles** and has  **$n - 1$  edges**.



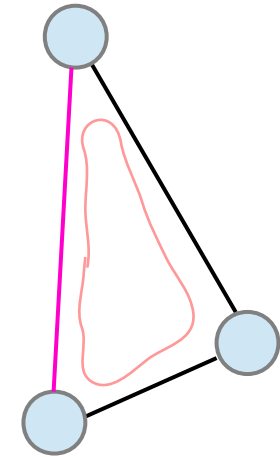
[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Tree Condition (6)

$G$  is **connected** and the 3-vertex complete graph  $K_3$  is not a **minor** of  $G$ .

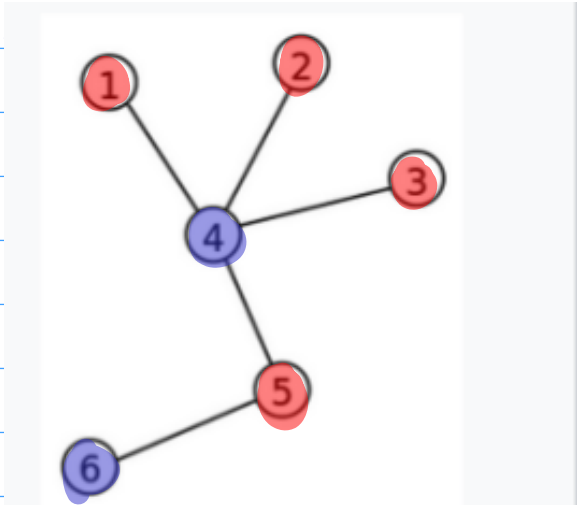


deleting edges  
deleting vertices



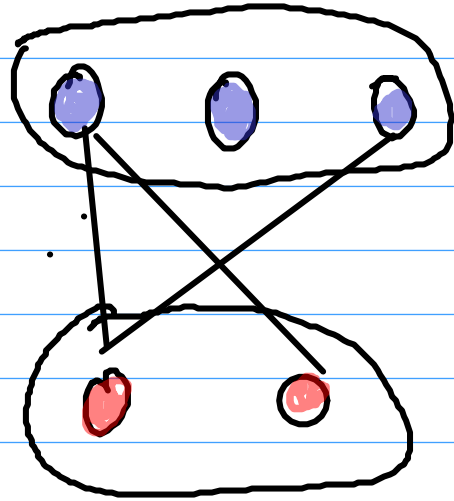
contracting edges

[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))



all tree  
chromatic  
number = 2

bipartite graph

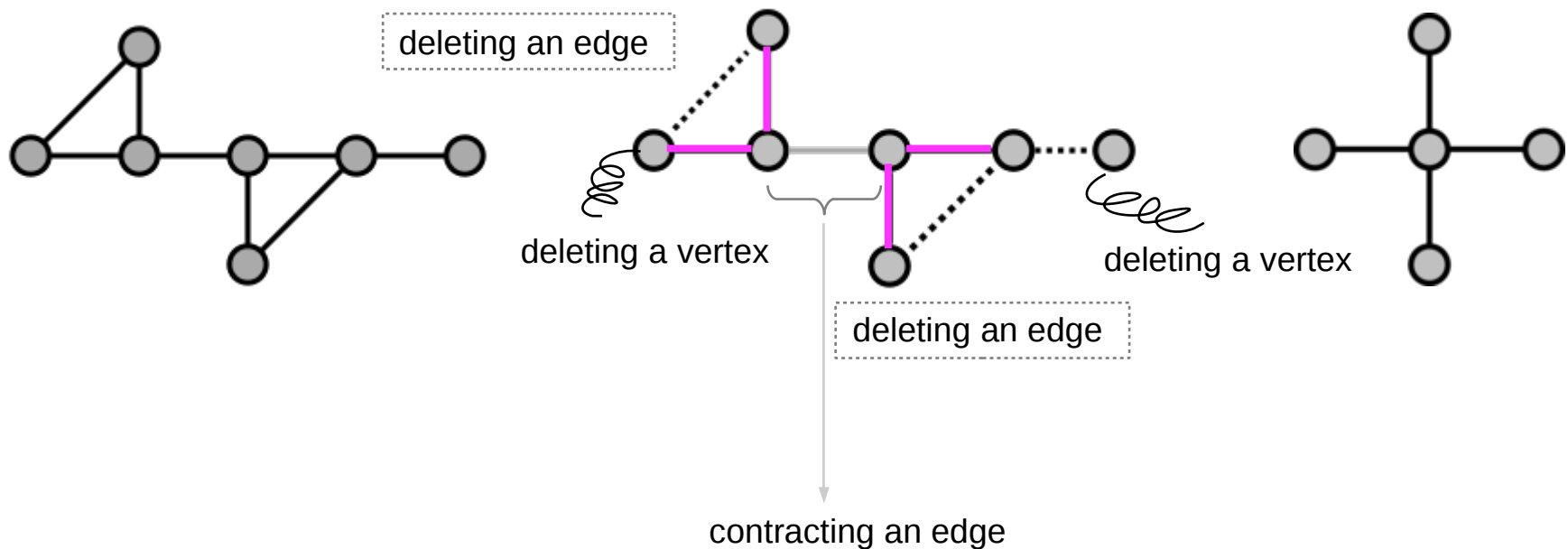


Chromatic number  $\chi = 2$



# Graph Minor

In graph theory, an undirected graph  $H$  is called a minor of the graph  $G$  if  $H$  can be formed from  $G$  by **deleting edges** and **vertices** and by **contracting edges**.



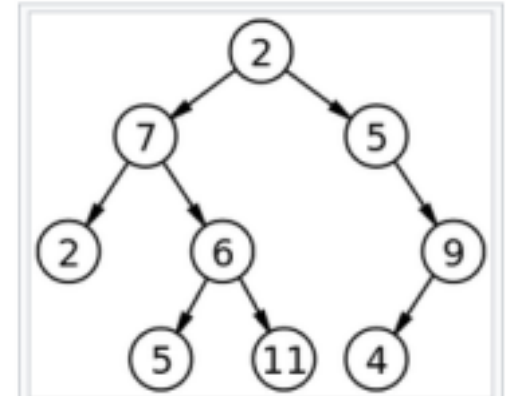
[https://en.wikipedia.org/wiki/Graph\\_minor](https://en.wikipedia.org/wiki/Graph_minor)

# Binary Tree

a **binary tree** is a tree data structure in which each **node** has at most two children, (the **left child**, the **right child**)

A **recursive definition** using just set theory notions is that a (non-empty) binary tree is a tuple **(L, S, R)**, where **L** and **R** are **binary trees** or the **empty set** and **S** is a **singleton set**.

Some authors allow the binary tree to be the empty set as well.



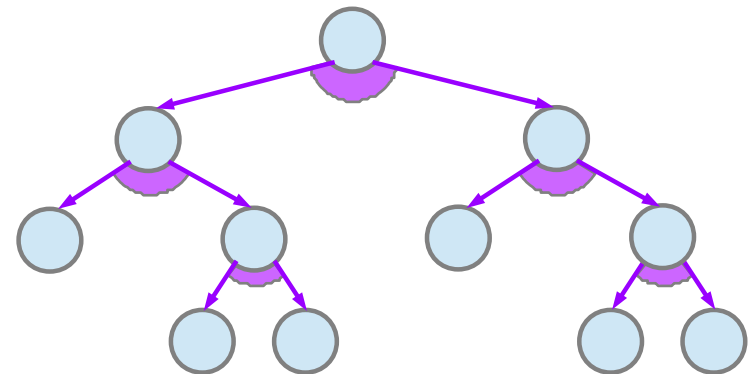
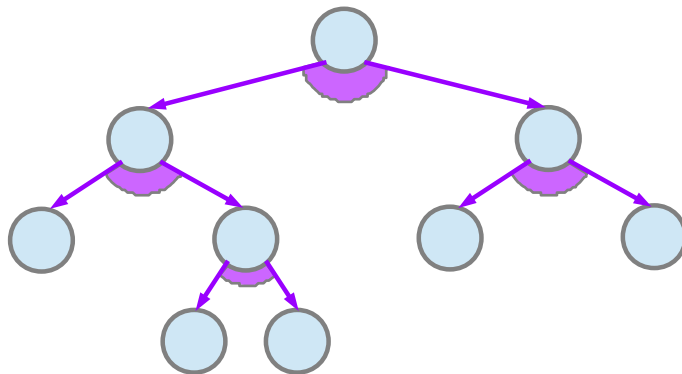
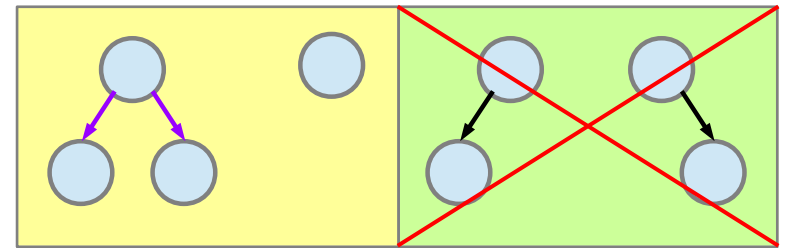
A labeled binary tree of size 9 and height 3, with a root node whose value is 2. The above tree is unbalanced and not sorted.

[https://en.wikipedia.org/wiki/Binary\\_tree](https://en.wikipedia.org/wiki/Binary_tree)

# Full Binary Tree

A **rooted binary tree** has a **root node** and every **node** has at most two children.

A **full binary tree** is (proper, plane binary tree) a **tree** in which every **node** has either **0** or **2** children.



[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

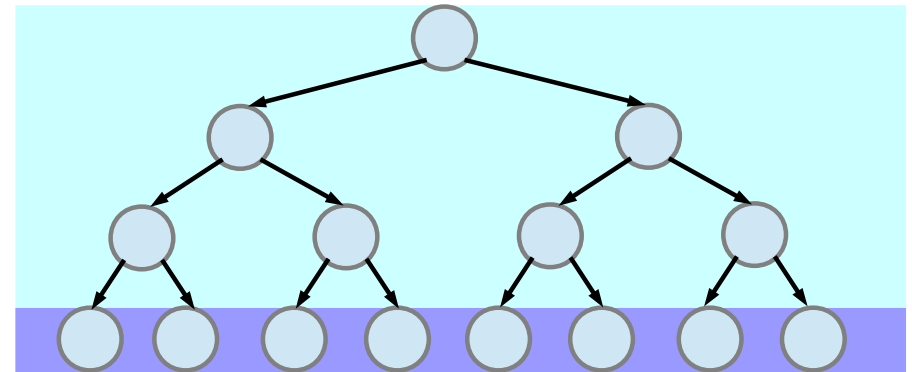
# Perfect Binary Trees

A **perfect binary tree** is a binary tree in which all **interior nodes** have two children and all **leaves** have the same depth or same level.

also called a **complete binary tree**

two children

the same depth (level).

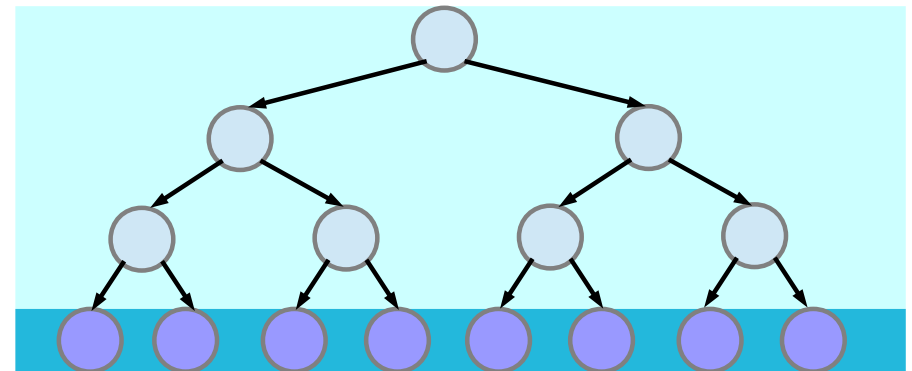
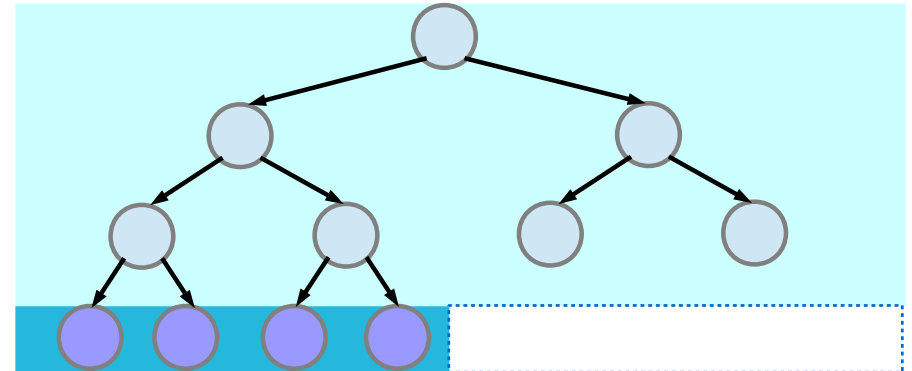


[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Complete Binary Trees

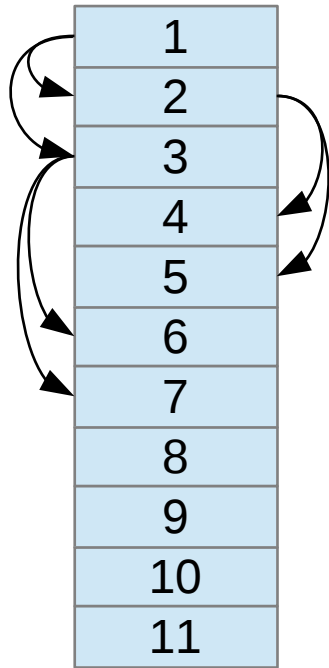
In a **complete** binary tree every level, except possibly the last, is completely filled, and all nodes in the last level are as far left as possible.

An alternative definition is a **perfect** tree whose rightmost leaves (perhaps all) have been removed.



[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

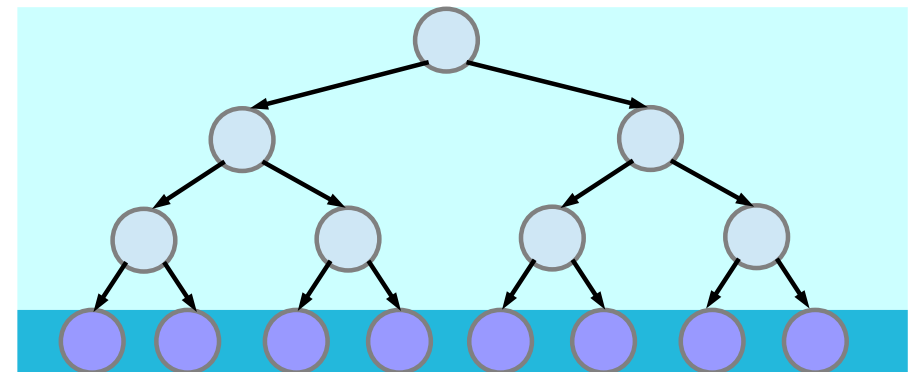
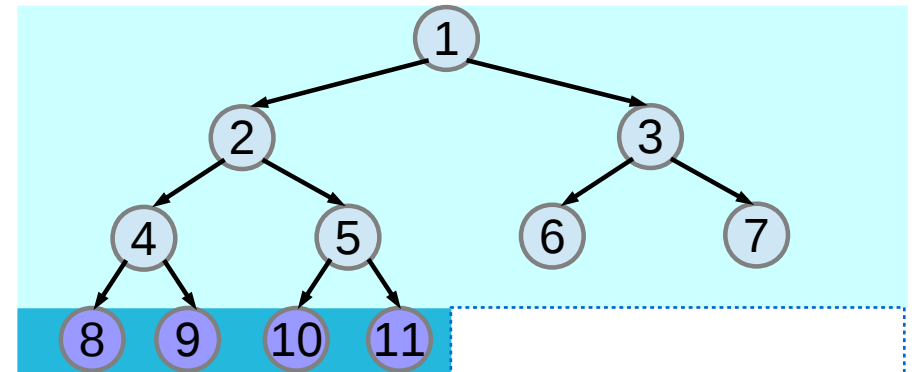
# Complete Binary Trees and Linear Arrays



contiguous  
no blanks  
→ complete

$2 \cdot i$  Left child  
 $2 \cdot i + 1$  Right child

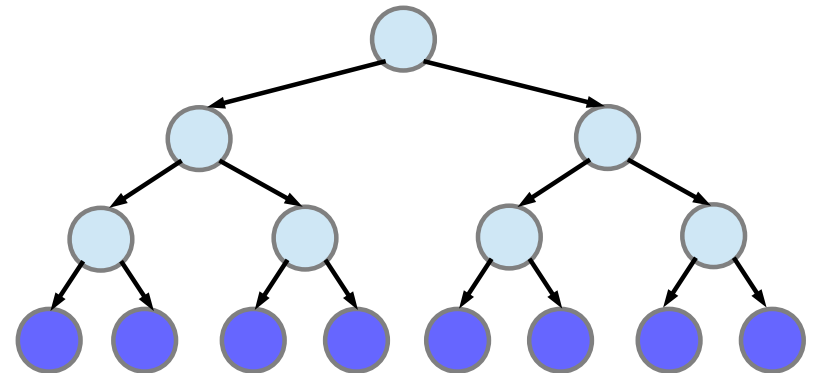
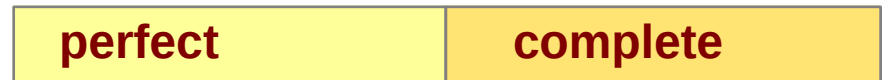
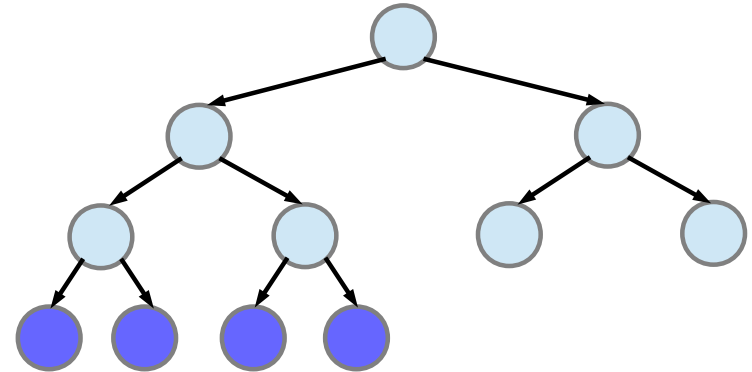
A complete binary tree can be efficiently represented using an array.



[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Different use of compute binary trees

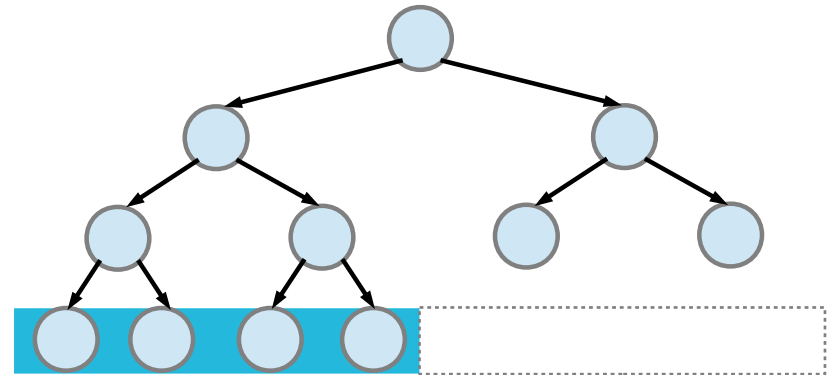
Some authors use the term **complete** to refer instead to a **perfect** binary tree as defined above, in which case they call this type of tree an **almost complete** binary tree or **nearly complete** binary tree.



[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Properties of Binary Trees (1)

A **complete** binary tree can have between **1** and  $2^{m-1}$  nodes at the last level  $m$ .



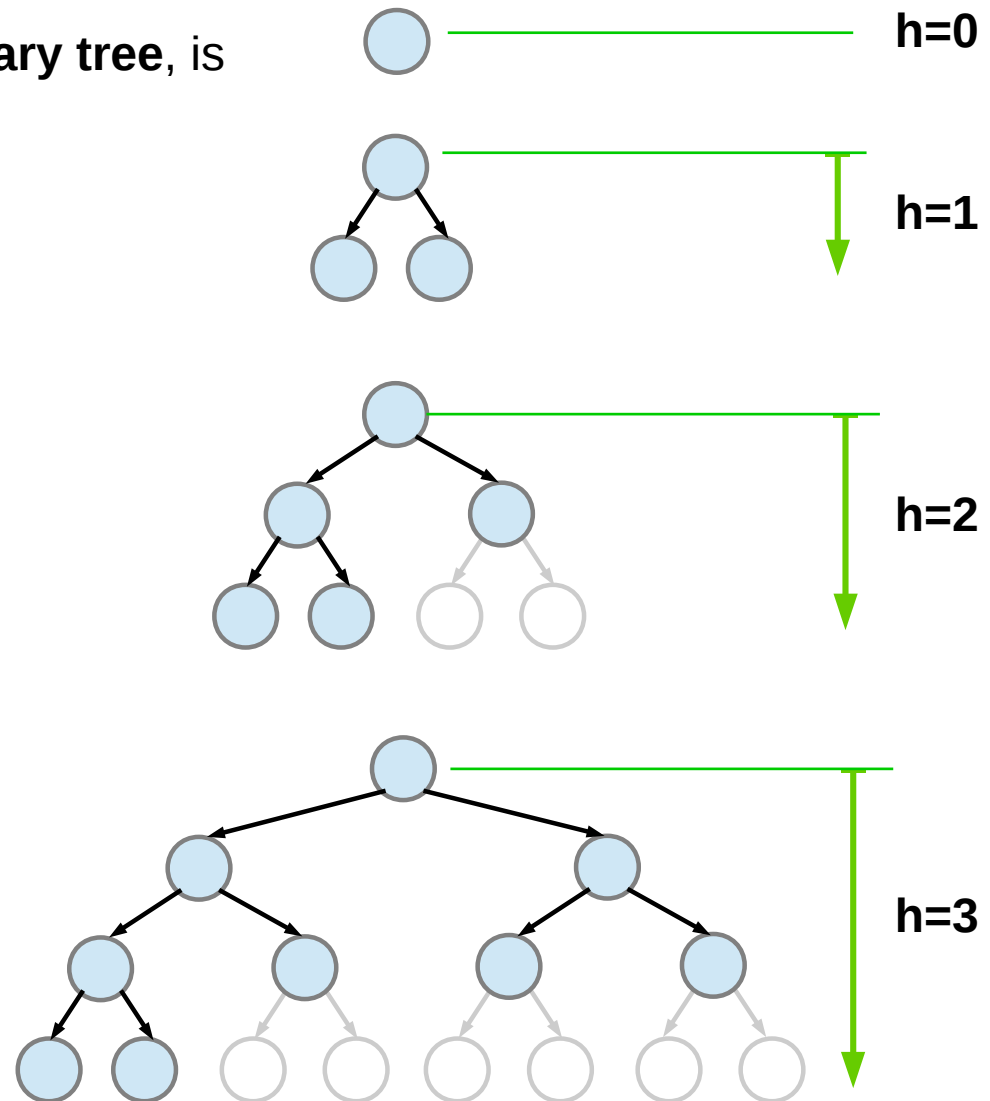
[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))



# Properties of Binary Trees (2)

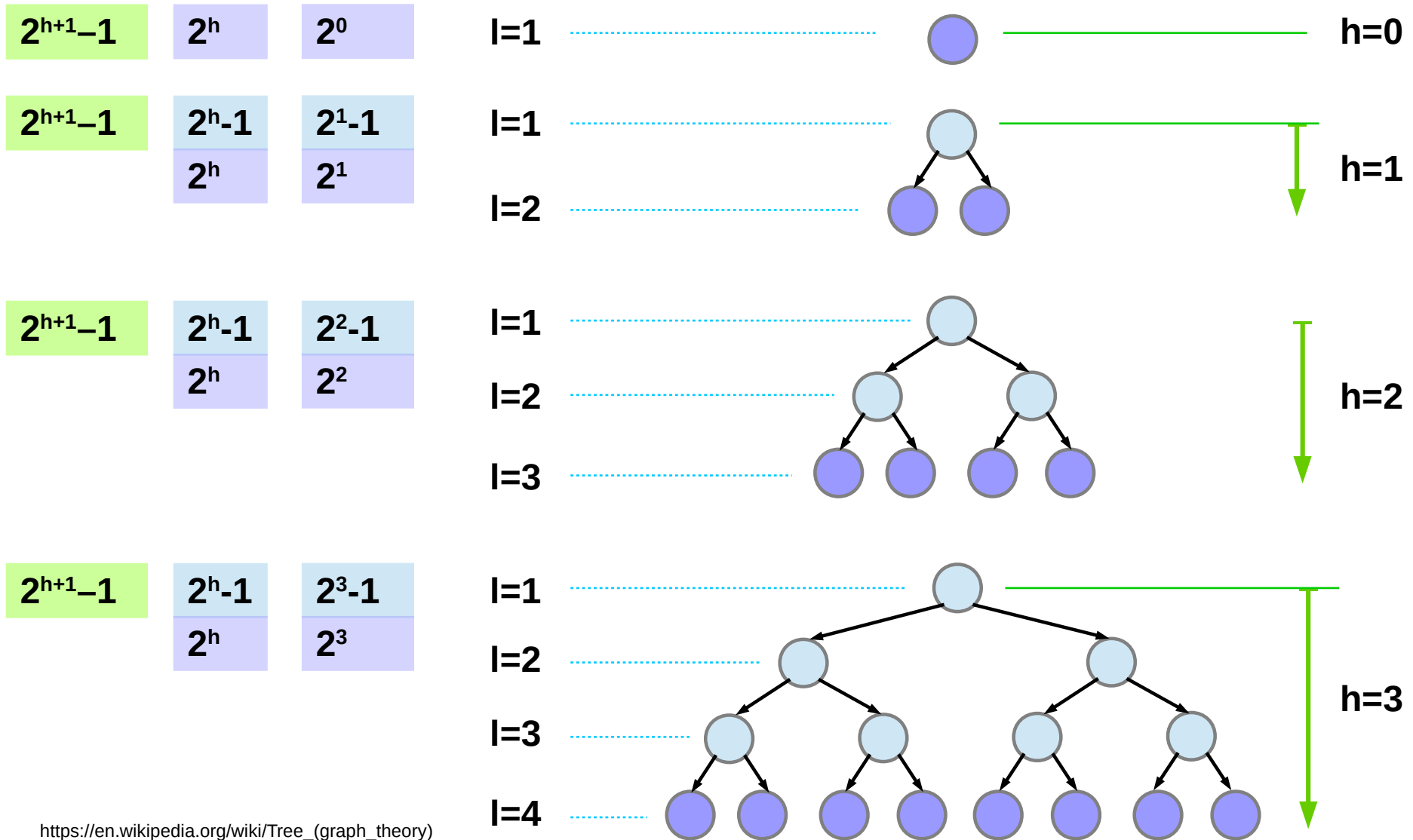
The **number of nodes  $n$**  in a **full binary tree**, is  
at least  $n = 2^h + 1$  and  
at most  $n = 2^{h+1} - 1$ ,  
where  **$h$**  is the **height** of the tree.

A tree consisting of only a **root node**  
has a **height** of **0**.



[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Properties of Binary Trees (3)



[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

# Properties of Binary Trees (4)

The number of **leaf nodes** is  $m$   
in a **perfect binary tree**,  
is  $m=(n+1)/2$

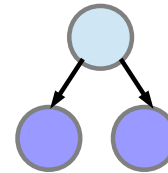
because the number of **non-leaf**  
(**internal**) **nodes** is  $m-1$

This means that a **perfect binary tree**  
with  $m$  **leaves** has  
 $n = 2m-1$  nodes.

[https://en.wikipedia.org/wiki/Tree\\_\(graph\\_theory\)](https://en.wikipedia.org/wiki/Tree_(graph_theory))

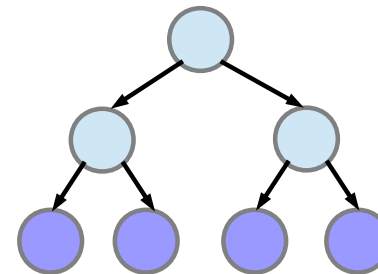


$m$	$2^0$
-----	-------



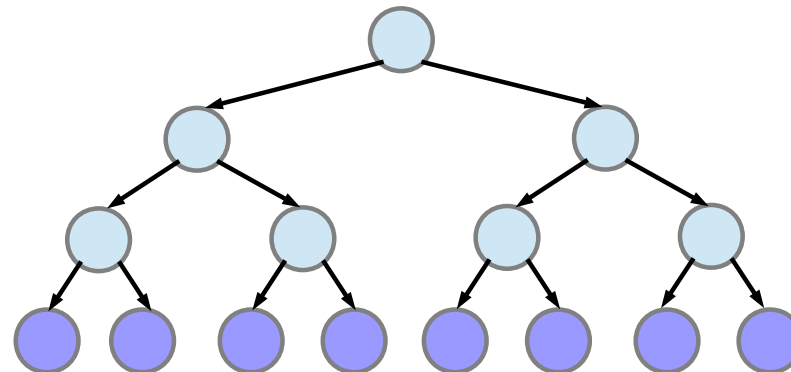
$m-1$	$2^1-1$
-------	---------

$m$	$2^1$
-----	-------



$m-1$	$2^2-1$
-------	---------

$m$	$2^2$
-----	-------



$m-1$	$2^3-1$
-------	---------

$m$	$2^3$
-----	-------

## References

- [1] <http://en.wikipedia.org/>
- [2]

# Binary Search Tree (3A)

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# Binary Search Tree (1)

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**Binary search trees** (BST),  
**ordered** binary trees  
**sorted** binary trees

are a particular type of **container**:  
**data structures** that store "items"  
(such as numbers, names etc.) in memory.

They allow fast **lookup**, **addition** and **removal** of items  
can be used to implement either dynamic sets of items  
lookup tables that allow finding an item by its **key**  
(e.g., finding the phone number of a person by name).

[https://en.wikipedia.org/wiki/Binary\\_search\\_tree](https://en.wikipedia.org/wiki/Binary_search_tree)

# Binary Search Tree (2)

---

keep their **keys** in sorted order  
lookup operations can use  
the principle of **binary search**

allowing to skip searching half of the tree  
each operation (**lookup**, **insertion** or **deletion**)  
takes time proportional to **log n**

much better than the **linear time**  
but slower than the corresponding operations  
on **hash tables**.

[https://en.wikipedia.org/wiki/Binary\\_search\\_tree](https://en.wikipedia.org/wiki/Binary_search_tree)



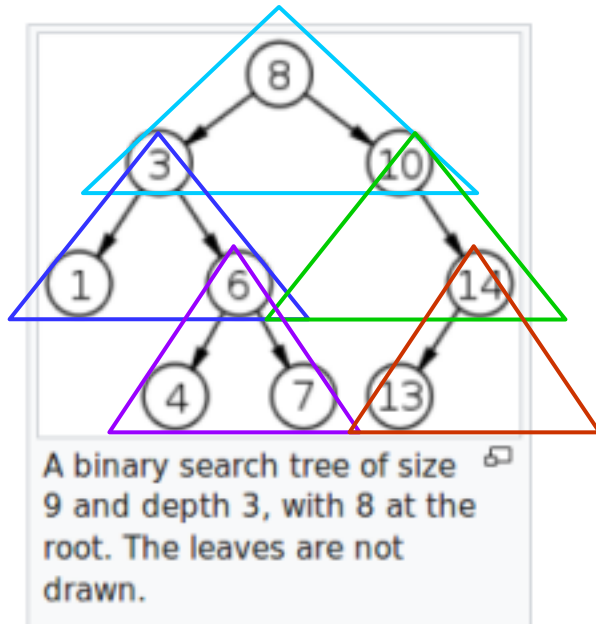
# Binary Search Tree (3)

---

when **looking** for a **key** in a tree  
or **looking** for a **place** to insert a new key,  
they traverse the tree from root to leaf,  
making comparisons to keys stored in the nodes  
deciding to continue in the **left** or **right subtrees**,  
on the basis of the comparison.

[https://en.wikipedia.org/wiki/Binary\\_search\\_tree](https://en.wikipedia.org/wiki/Binary_search_tree)

# Node, Left Child, Right Child



$$3 < 8 < 10$$

$$1 < 3 < 6$$

$$10 < 14$$

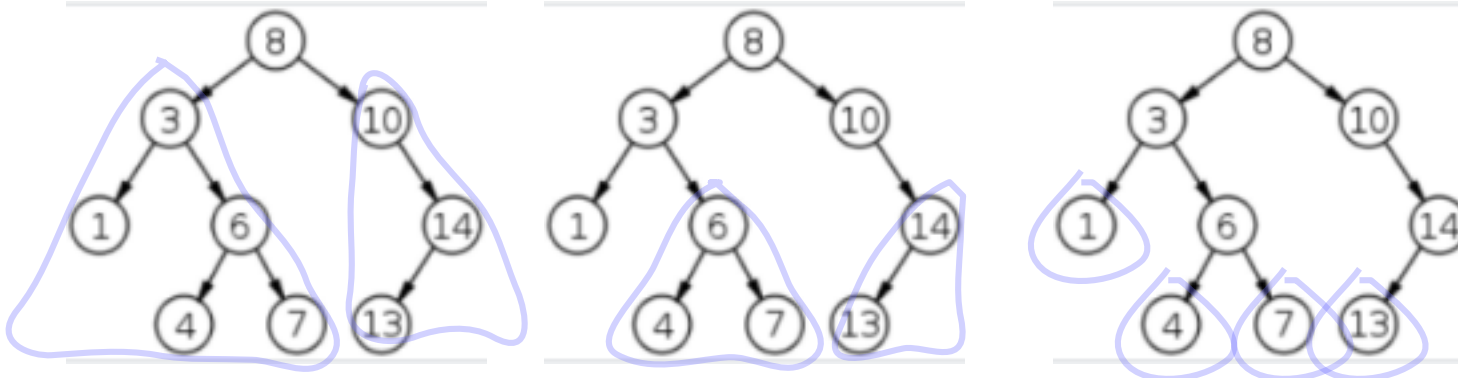
$$4 < 6 < 7$$

$$13 < 14$$

1, 3, 4, 6, 7, 8, 10, 13, 14

[https://www.tutorialspoint.com/data\\_structures\\_algorithms/expression\\_parsing.html](https://www.tutorialspoint.com/data_structures_algorithms/expression_parsing.html)

# Subtrees

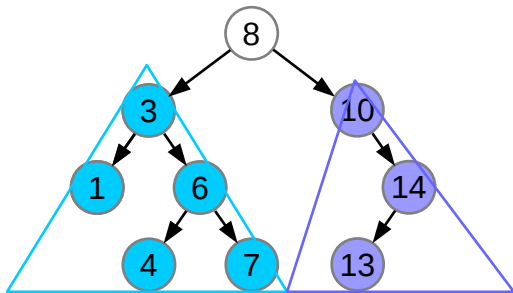


1, 3, 4, 6, 7, 8, 10, 13, 14

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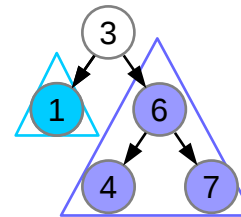
# Node, Left Subtree, Right Subtree

$1, 3, 4, 6, 7 < 8 < 10, 13, 14$

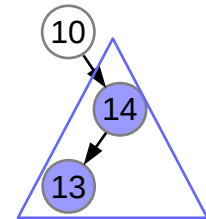


1, 3, 4, 6, 7, 8, 10, 13, 14

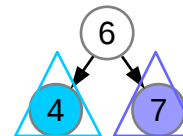
$1 < 3 < 4, 6, 7$



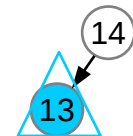
$10 < 13, 14$



$4 < 6 < 7$

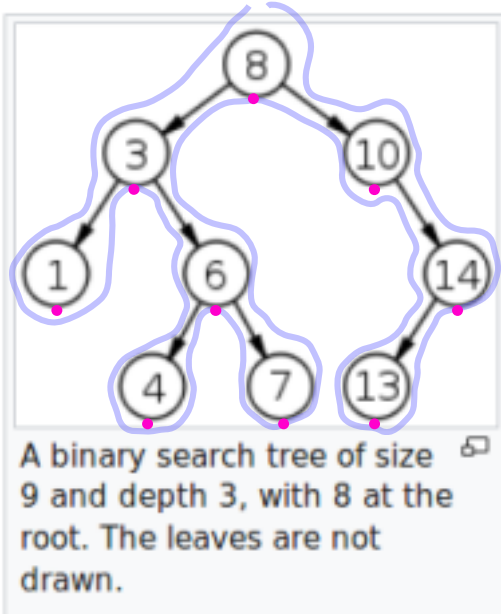


$13 < 14$



[https://www.tutorialspoint.com/data\\_structures\\_algorithms/expression\\_parsing.html](https://www.tutorialspoint.com/data_structures_algorithms/expression_parsing.html)

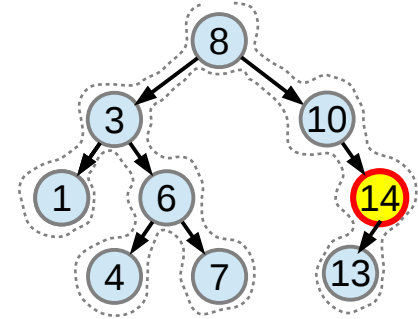
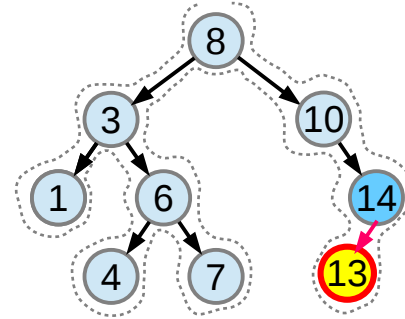
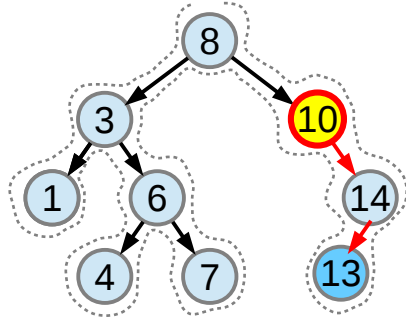
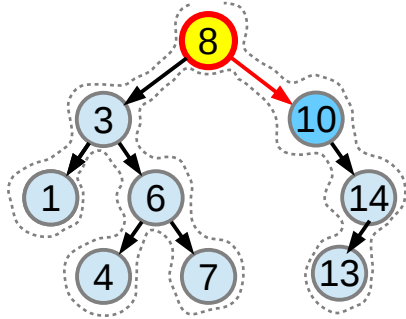
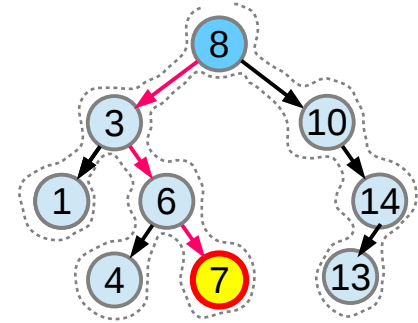
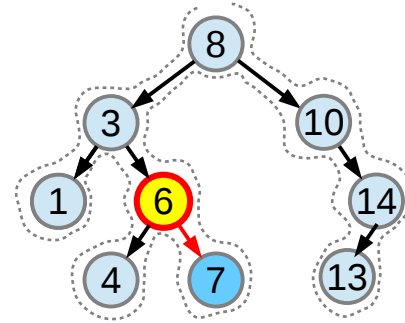
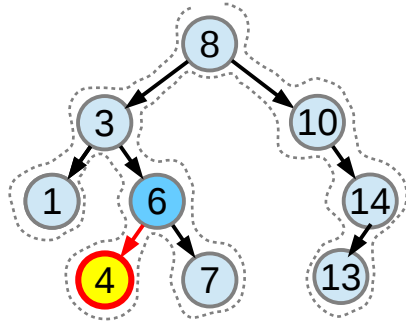
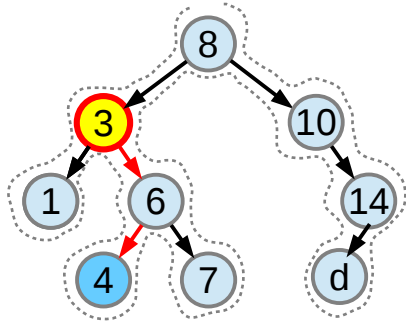
# In-Order Traversal



1, 3, 4, 6, 7, 8, 10, 13, 14

[https://www.tutorialspoint.com/data\\_structures\\_algorithms/expression\\_parsing.html](https://www.tutorialspoint.com/data_structures_algorithms/expression_parsing.html)

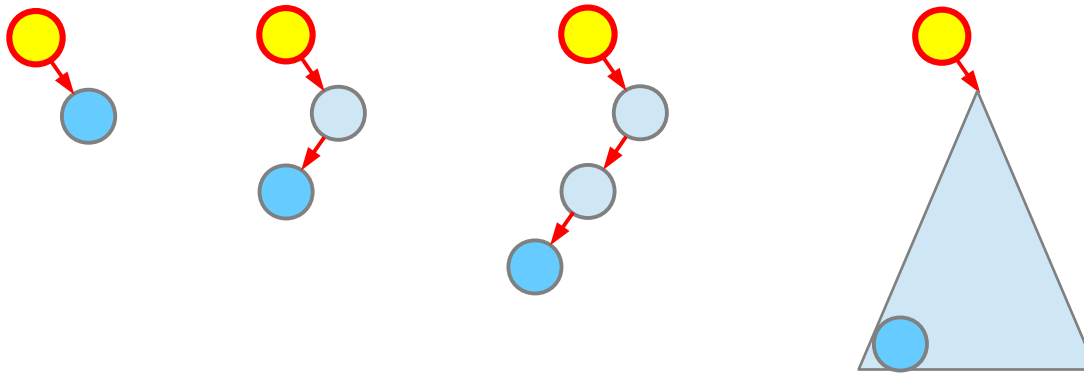
# Successor



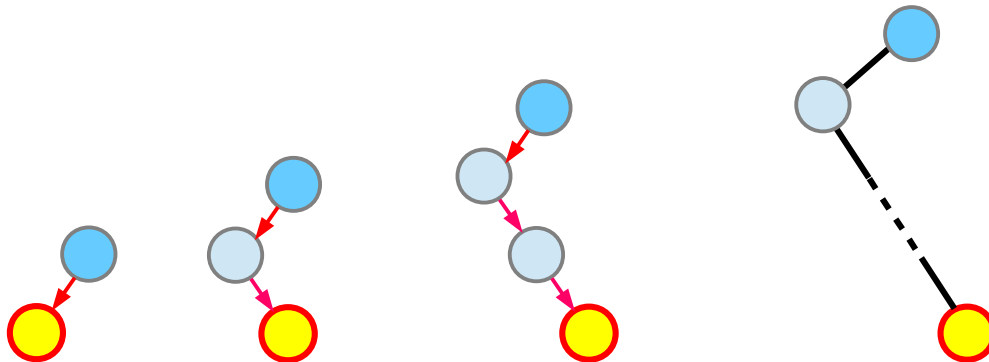
1, 3, 4, 6, 7, 8, 10, 13, 14

[https://www.tutorialspoint.com/data\\_structures\\_algorithms/expression\\_parsing.html](https://www.tutorialspoint.com/data_structures_algorithms/expression_parsing.html)

# Successor



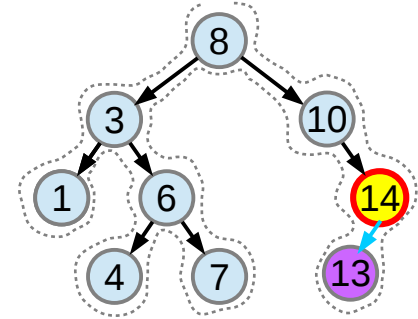
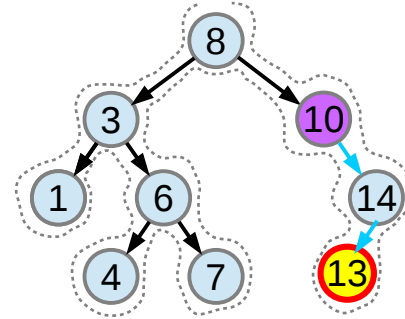
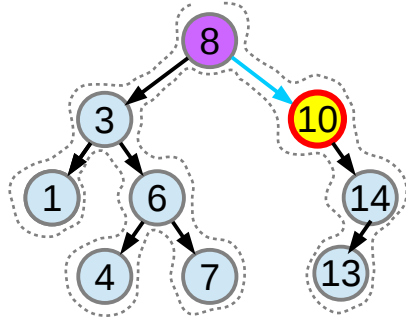
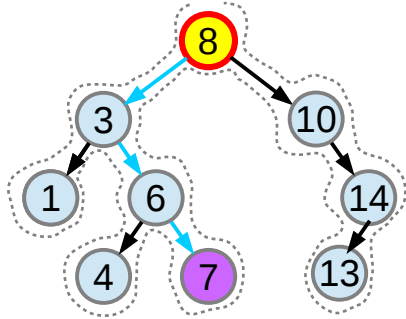
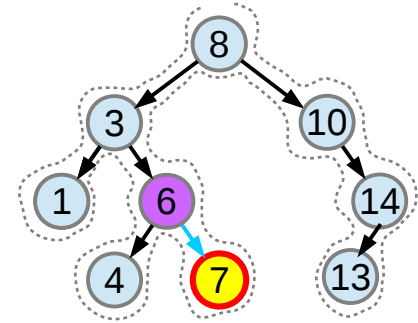
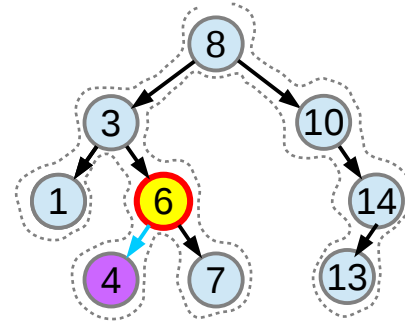
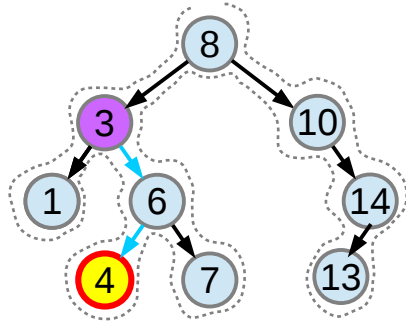
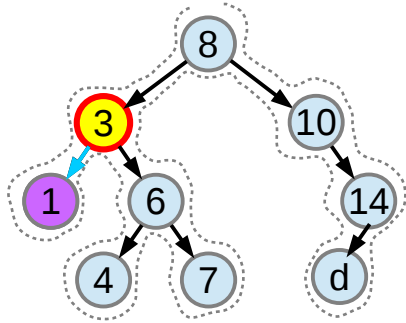
If the right child exists,  
then the minimum  
in the right subtree  
– the rightmost node



the parent of the farthest  
node that can be reached  
by following only right  
edges backward.

[https://www.tutorialspoint.com/data\\_structures\\_algorithms/expression\\_parsing.html](https://www.tutorialspoint.com/data_structures_algorithms/expression_parsing.html)

# Predecessor



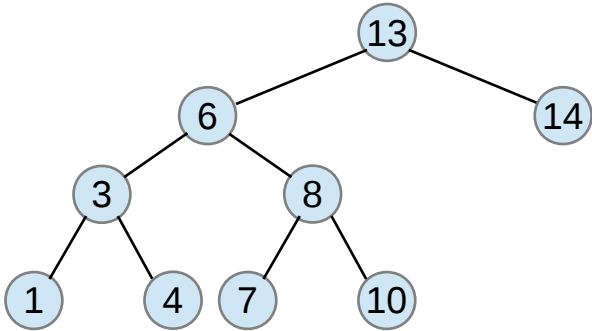
1, 3, 4, 6, 7, 8, 10, 13, 14

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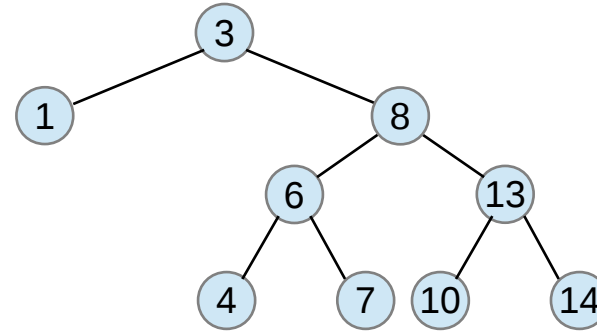


# Different BST's with the same data

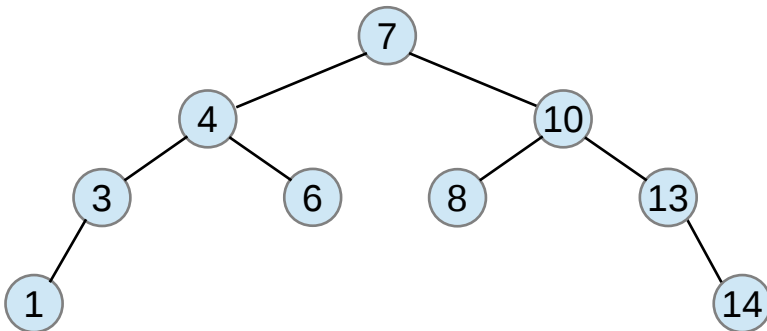
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1, 3, 4, 6, 7, 8, 10, 13, 14

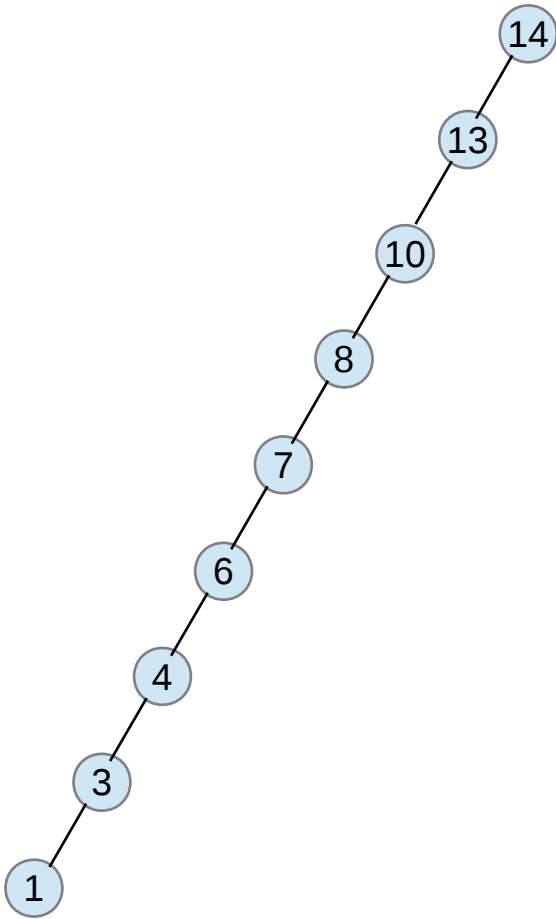


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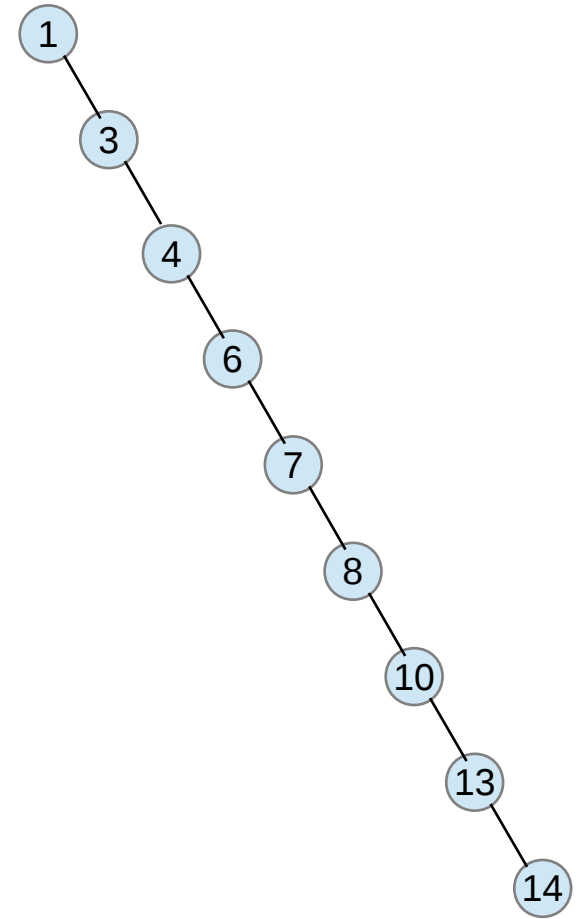


# Unbalanced BSTs

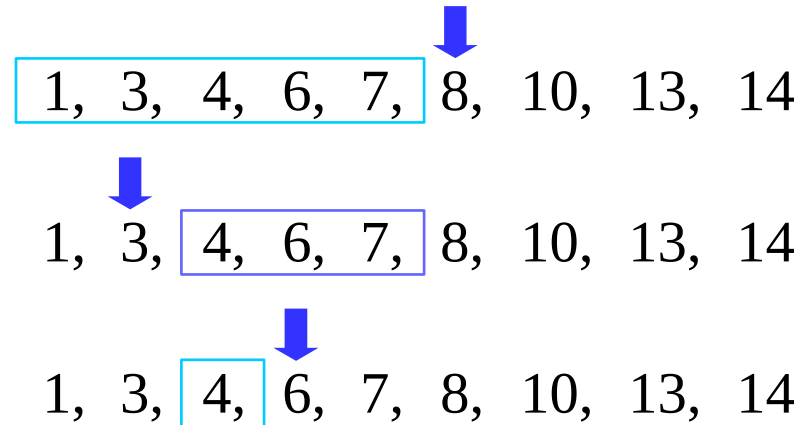
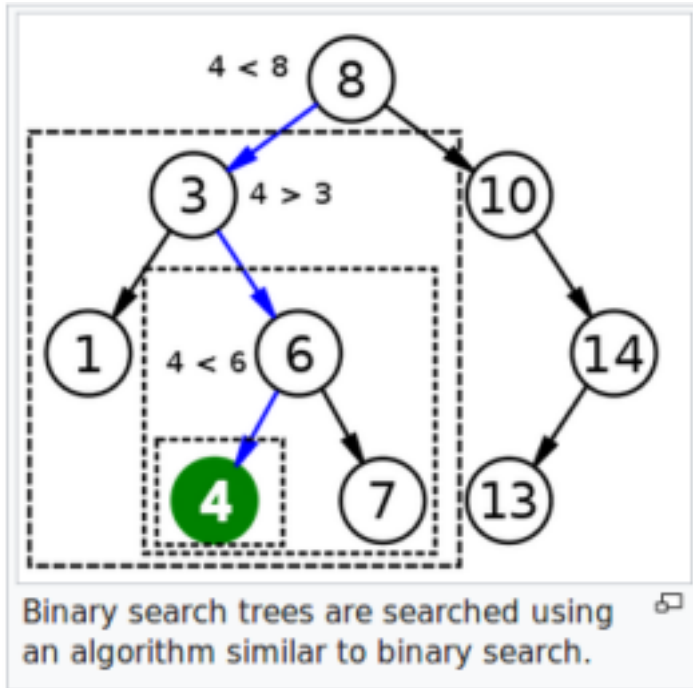
1, 3, 4, 6, 7, 8, 10, 13, 14



1, 3, 4, 6, 7, 8, 10, 13, 14



# Binary Search on a Binary Search Tree



[https://en.wikipedia.org/wiki/Binary\\_search\\_algorithm](https://en.wikipedia.org/wiki/Binary_search_algorithm)

# Insertion

Insertion begins as a search would begin;  
if the key is not equal to that of the root,  
we search the left or right subtrees as before.  
Eventually, we will reach an external node  
and add the new key-value pair  
(here encoded as a record 'newNode')  
as its right or left child,  
depending on the node's key.

In other words, we examine the root  
and recursively insert the new node  
to the left subtree if its key is less than that of the root,  
or the right subtree if its key is greater than or equal to the root.

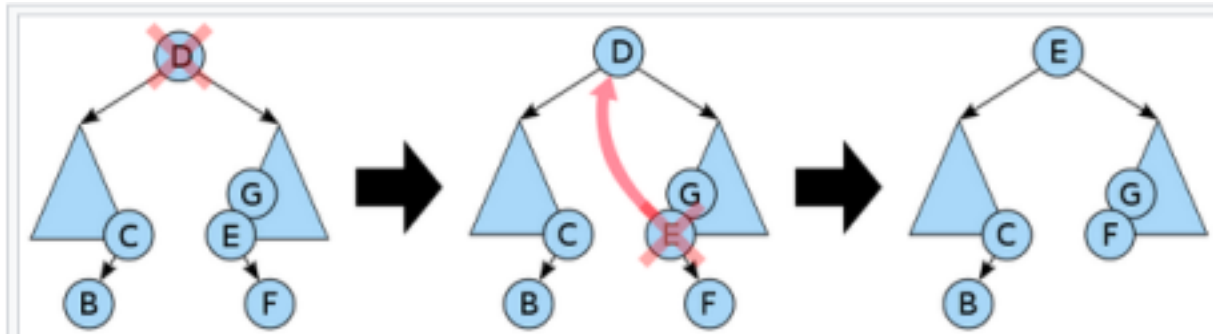
<https://en.wikipedia.org/wiki/Morphism>

# Deletion

1. Deleting a node with no children:  
    simply remove the node from the tree.
2. Deleting a node with one child:  
    remove the node and replace it with its child.
3. Deleting a node with two children:  
    call the node to be deleted D.  
    Do not delete D.  
    Instead, choose either its in-order predecessor node  
    or its in-order successor node as replacement node E.  
    Copy the user values of E to D  
    If E does not have a child  
        simply remove E from its previous parent G.  
    If E has a child, say F, it is a right child.  
        Replace E with F at E's parent.

<https://en.wikipedia.org/wiki/Morphism>

# Deletion



Deleting a node with two children from a binary search tree. First the leftmost node in the right subtree, the in-order successor *E*, is identified. Its value is copied into the node *D* being deleted. The in-order successor can then be easily deleted because it has at most one child. The same method works symmetrically using the in-order predecessor *C*.

<https://en.wikipedia.org/wiki/Morphism>

## References

- [1] <http://en.wikipedia.org/>
- [2]

# Finite State Machine (1A)

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Please send corrections (or suggestions) to [youngwlim@hotmail.com](mailto:youngwlim@hotmail.com).

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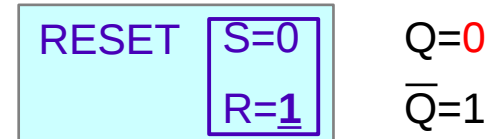
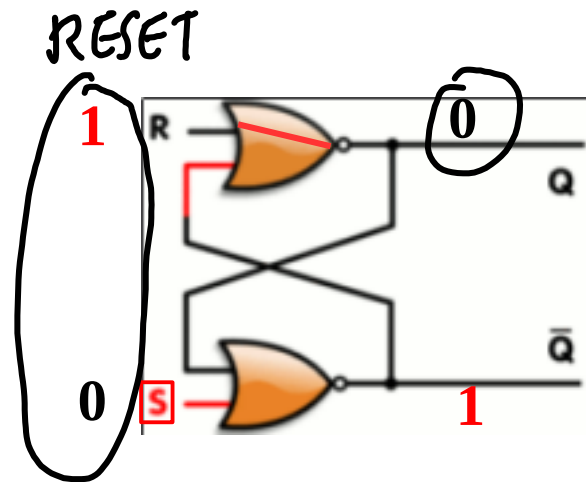
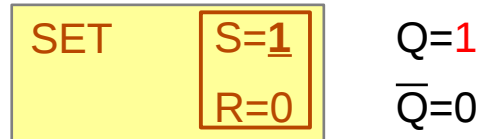
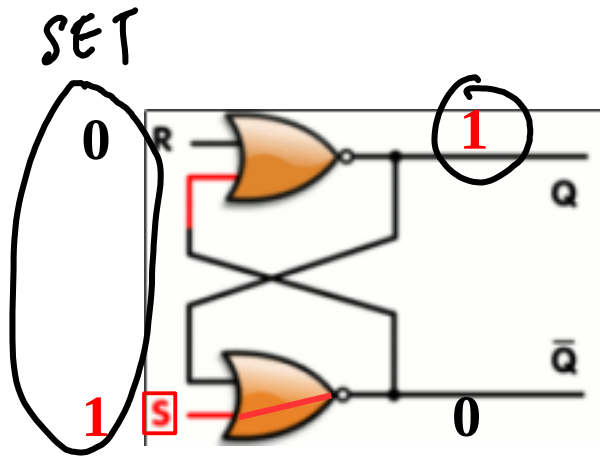
# FSM and Digital Logic Circuits

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- Latch
- D FlipFlop
- Registers
- Timing
- Mealy machine
- Moore machine
- Traffic Lights Examples

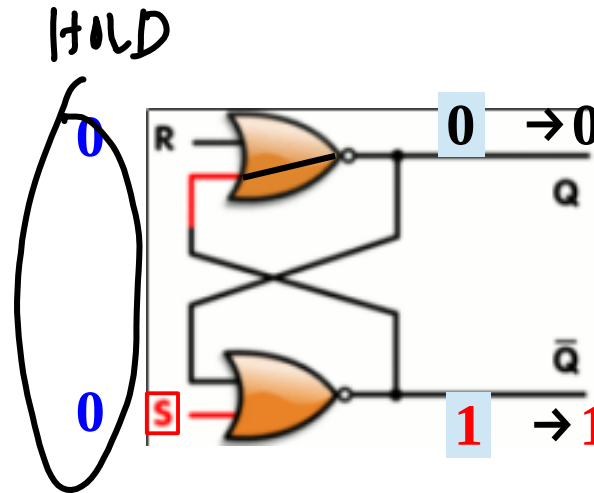
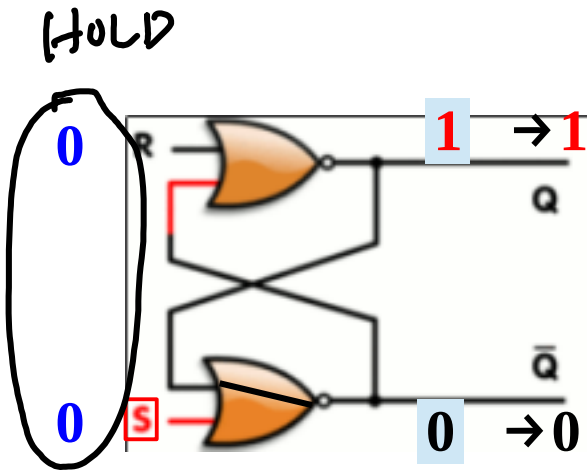
[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

# NOR-based SR Latch - SET / RESET

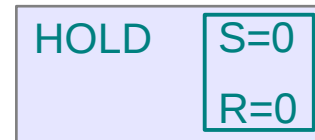


[https://en.wikipedia.org/wiki/Flip-flop\\_\(electronics\)](https://en.wikipedia.org/wiki/Flip-flop_(electronics))

# NOR-based SR Latch - HOLD



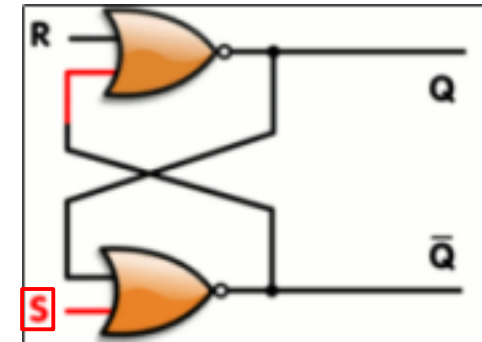
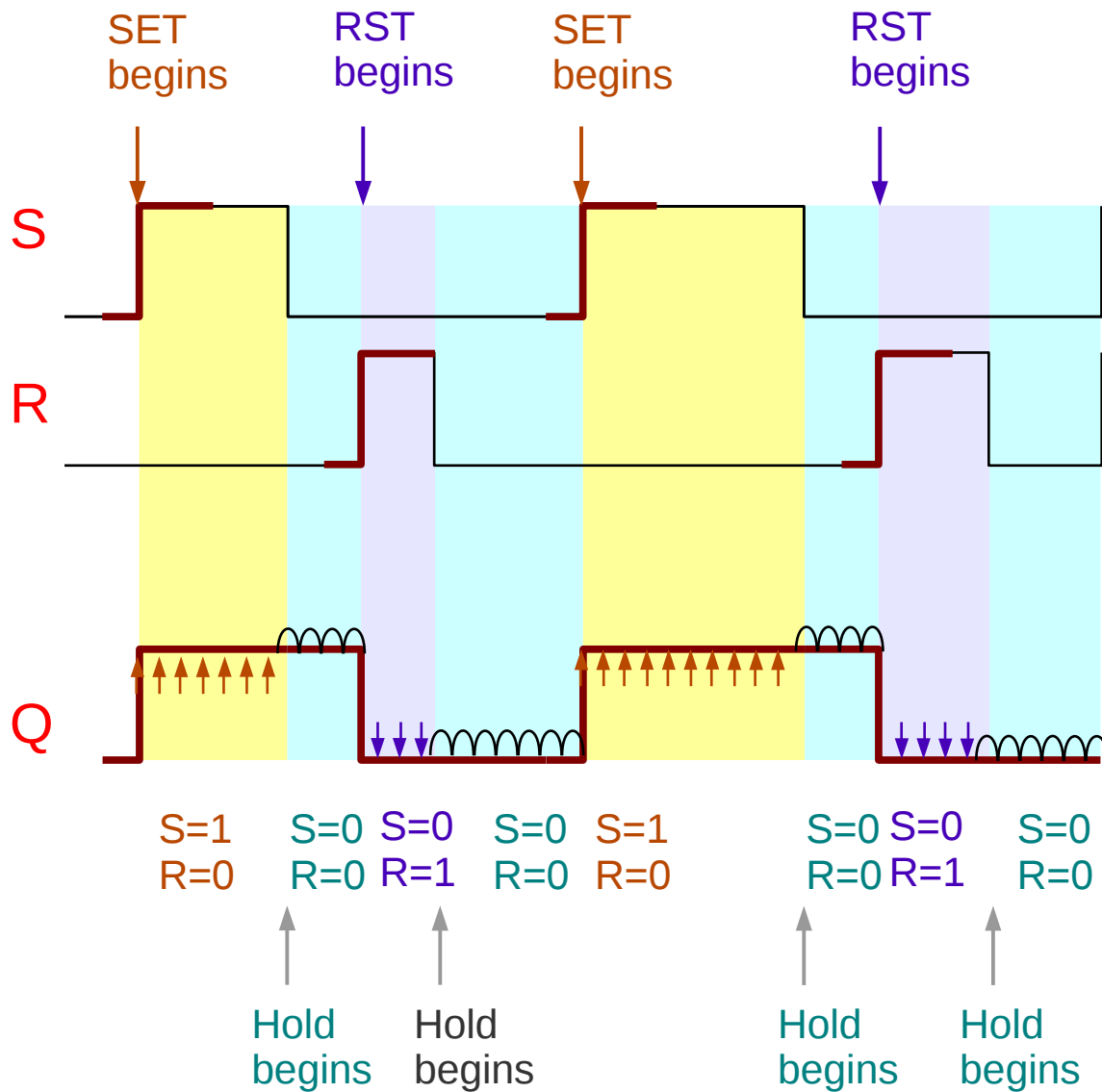
Q=old Q  
 $\bar{Q}$ =old  $\bar{Q}$



Q=old Q  
 $\bar{Q}$ =old  $\bar{Q}$

[https://en.wikipedia.org/wiki/Flip-flop\\_\(electronics\)](https://en.wikipedia.org/wiki/Flip-flop_(electronics))

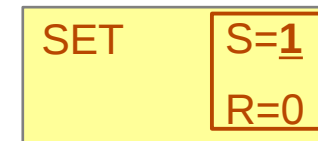
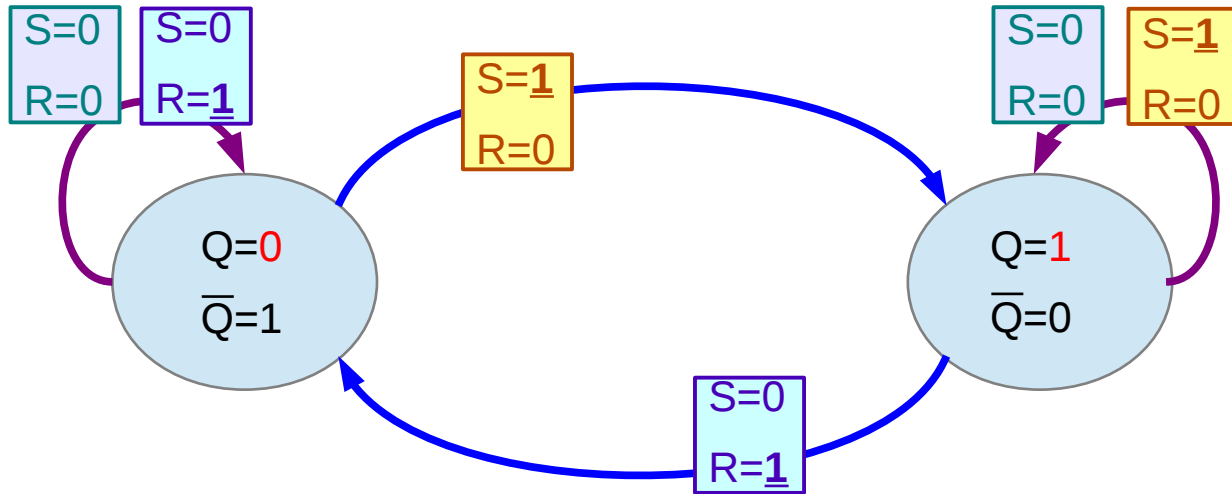
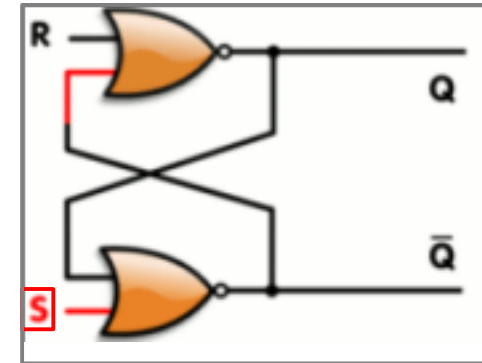
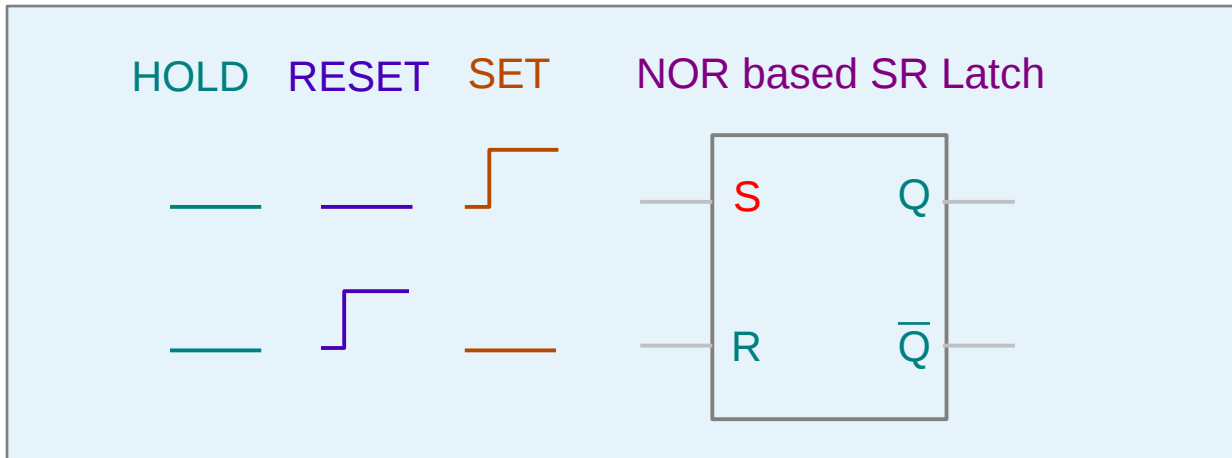
# NOR-based SR Latch



SET	S=1 R=0	Q=1 $\bar{Q}=0$
RESET	S=0 R=1	Q=0 $\bar{Q}=1$
HOLD	S=0 R=0	Q=old Q $\bar{Q}=\text{old } \bar{Q}$

[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

# NOR-based SR Latch States



Q=1  
Q-bar=0



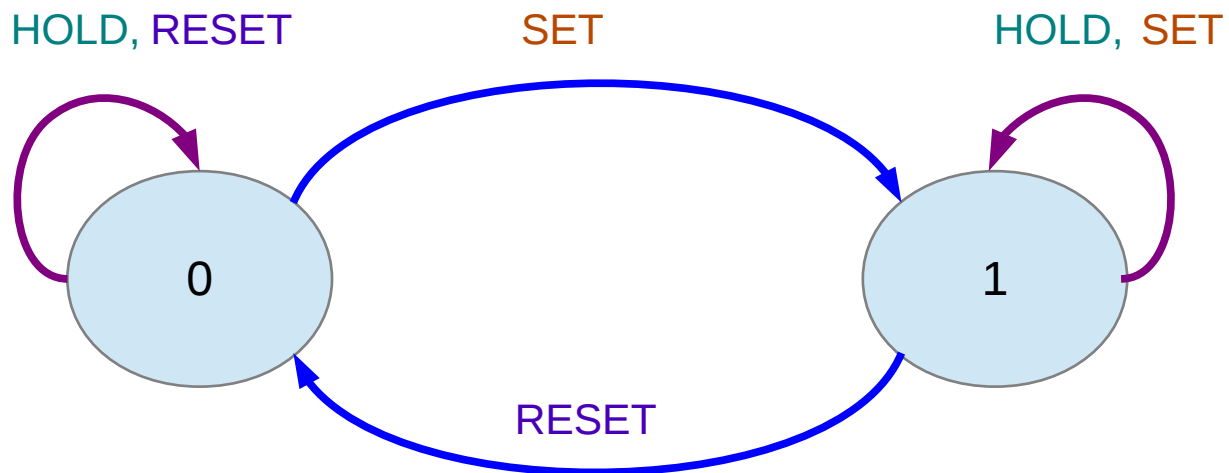
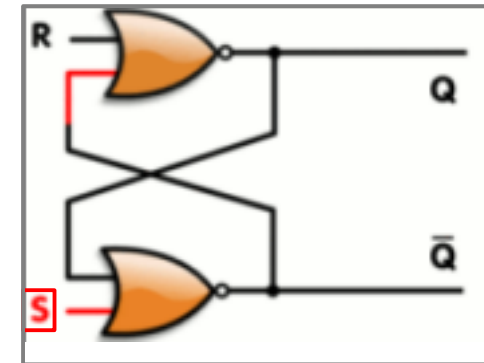
Q=0  
Q-bar=1



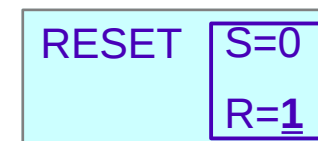
Q=old Q  
Q-bar=old Q-bar

[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

# SR Latch States



Q=1  
 $\bar{Q}$ =0



Q=0  
 $\bar{Q}$ =1



Q=old Q  
 $\bar{Q}$ =old  $\bar{Q}$

[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

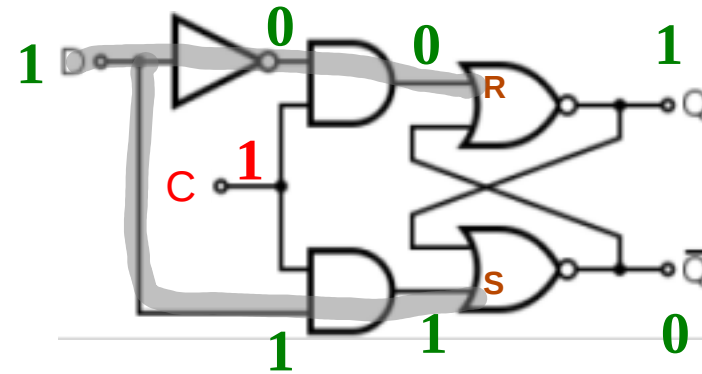
# NOR-based D Latch - SET / RESET

[https://en.wikipedia.org/wiki/Flip-flop\\_\(electronics\)](https://en.wikipedia.org/wiki/Flip-flop_(electronics))

D=1  
C=1

SET  
S=1  
R=0

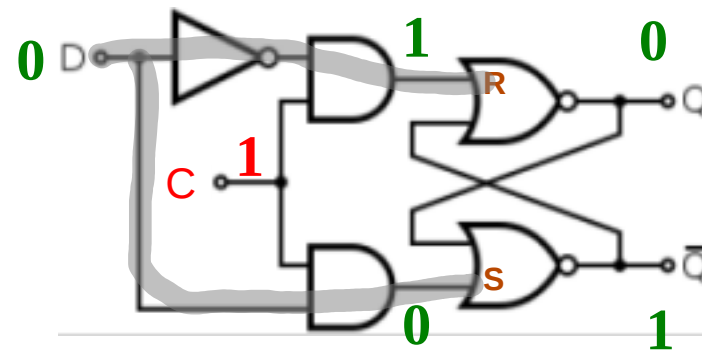
Q=1  
 $\bar{Q}=0$



D=0  
C=1

RESET  
S=0  
R=1

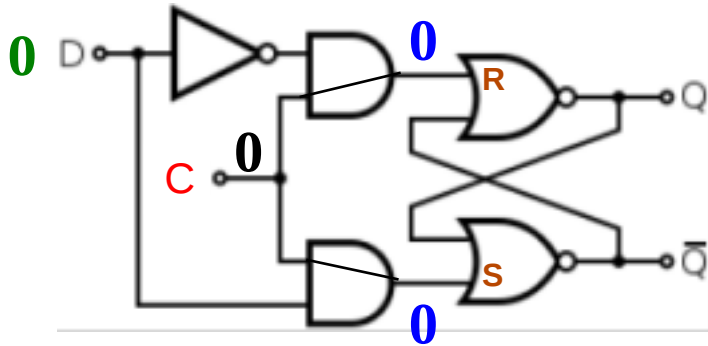
Q=0  
 $\bar{Q}=1$





# NOR-based D Latch - HOLD

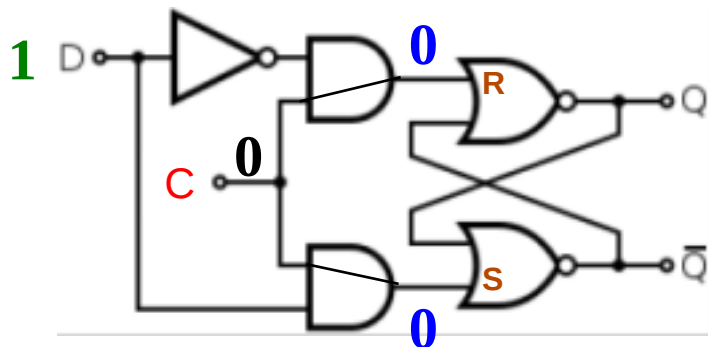
[https://en.wikipedia.org/wiki/Flip-flop\\_\(electronics\)](https://en.wikipedia.org/wiki/Flip-flop_(electronics))



D=X  
C=0

HOLD S=0  
R=0

Q=old Q  
Q-bar=old Q-bar



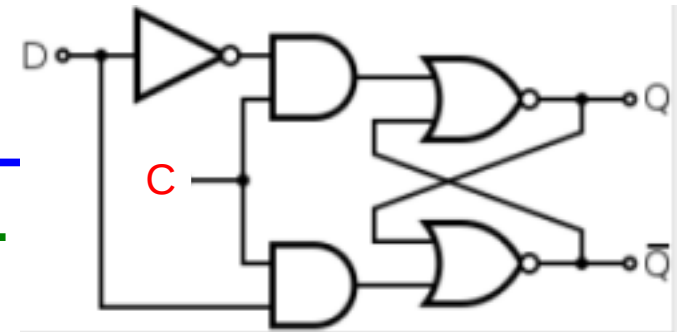
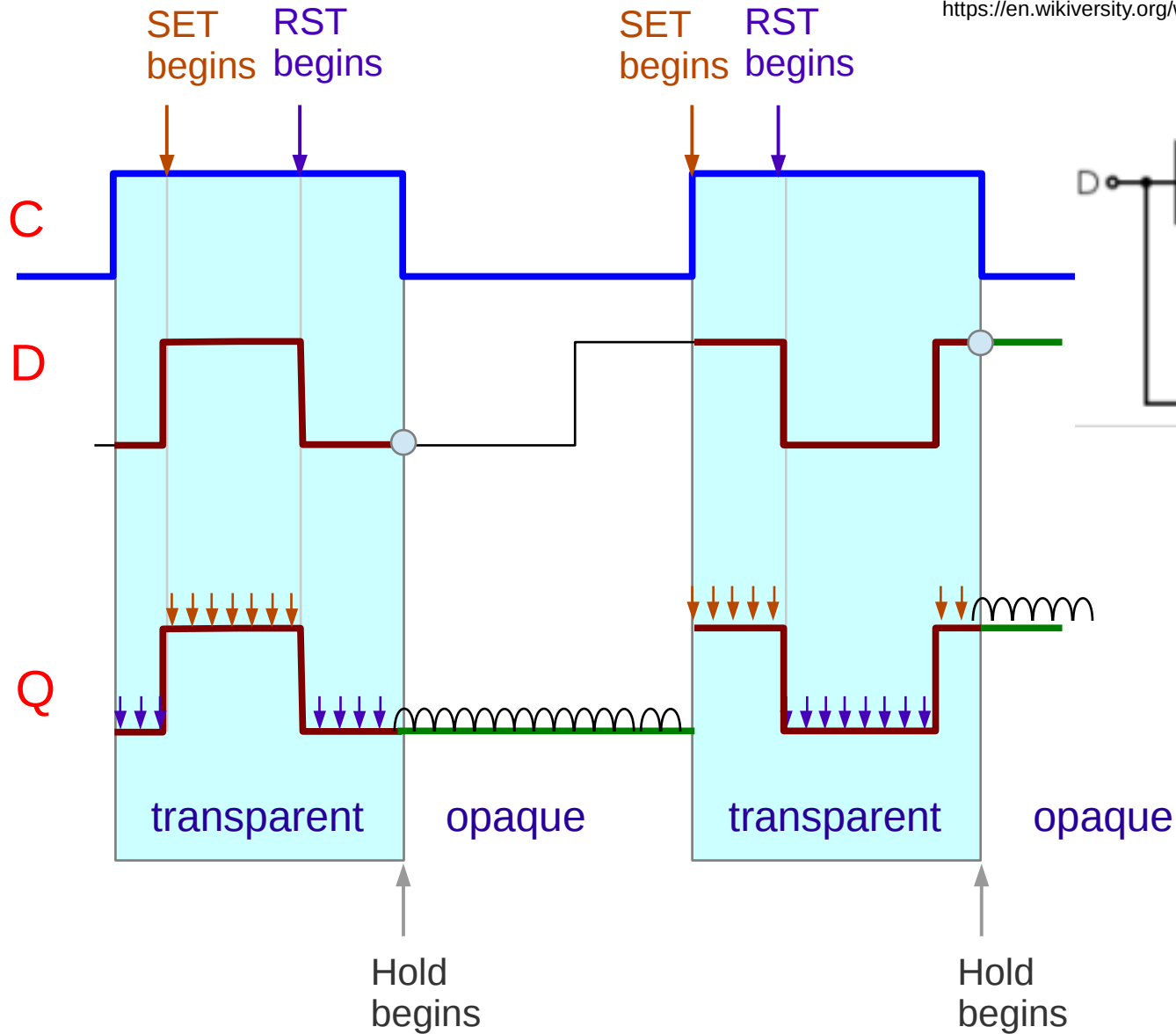
D=X  
C=0

HOLD S=0  
R=0

Q=old Q  
Q-bar=old Q-bar

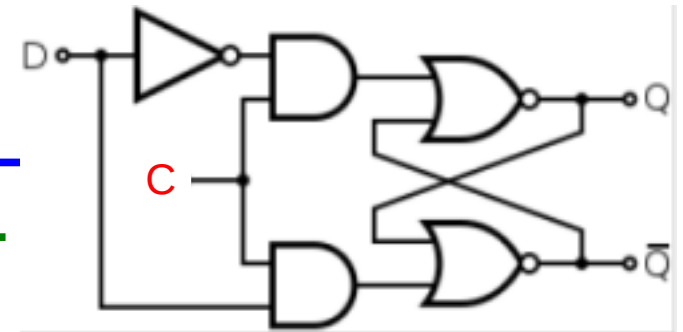
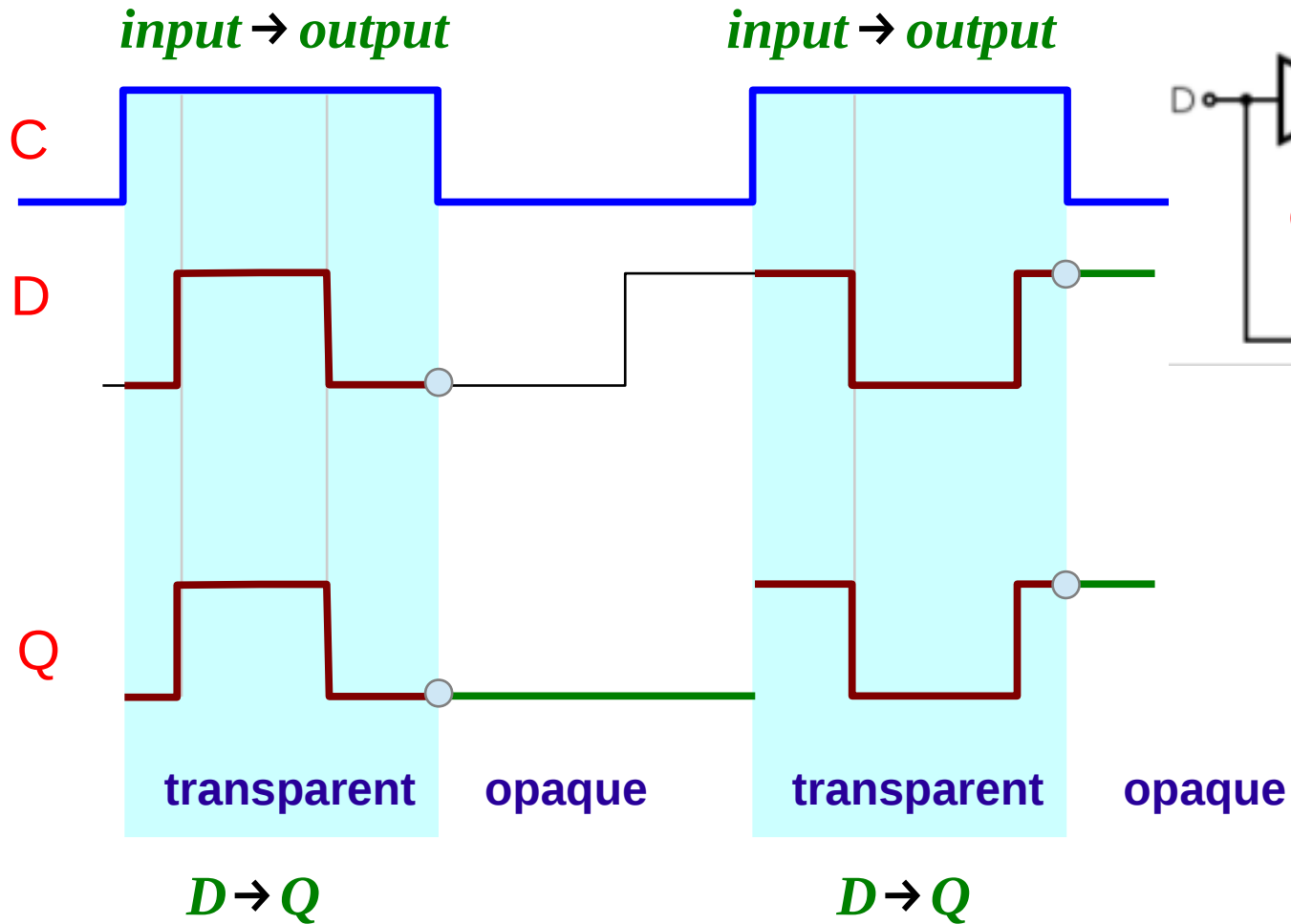
# NOR-based D Latch - Set / Reset / Hold

[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

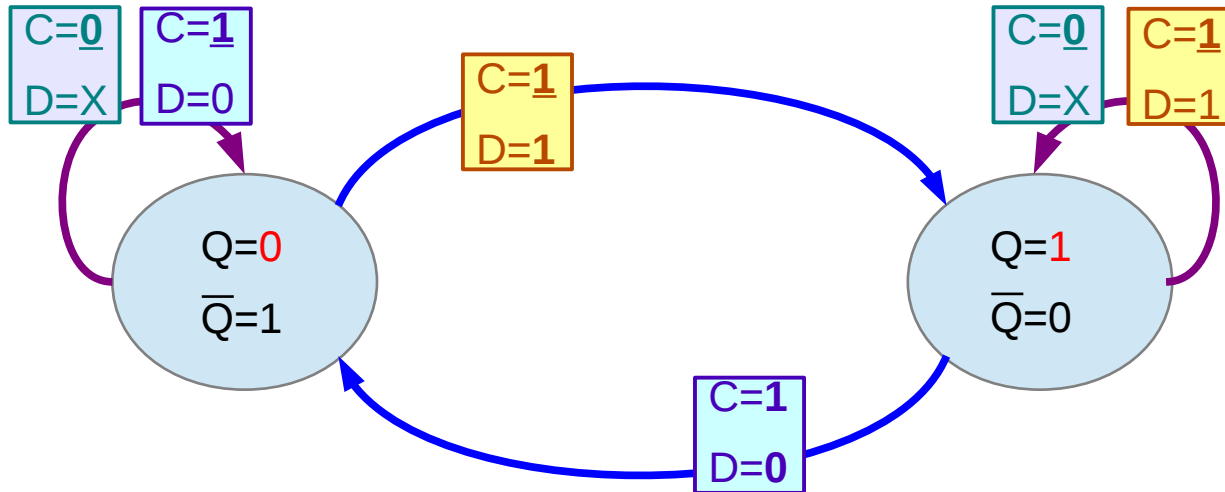
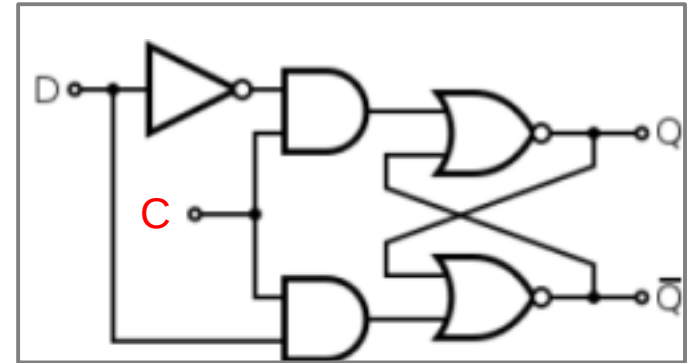
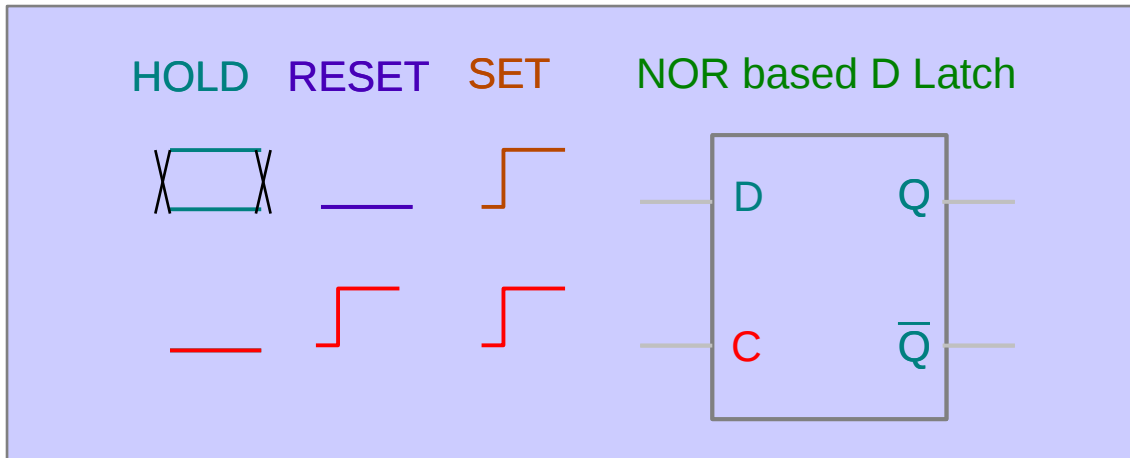


# NOR-based D Latch – transparent / opaque

[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)



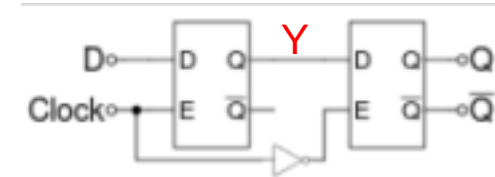
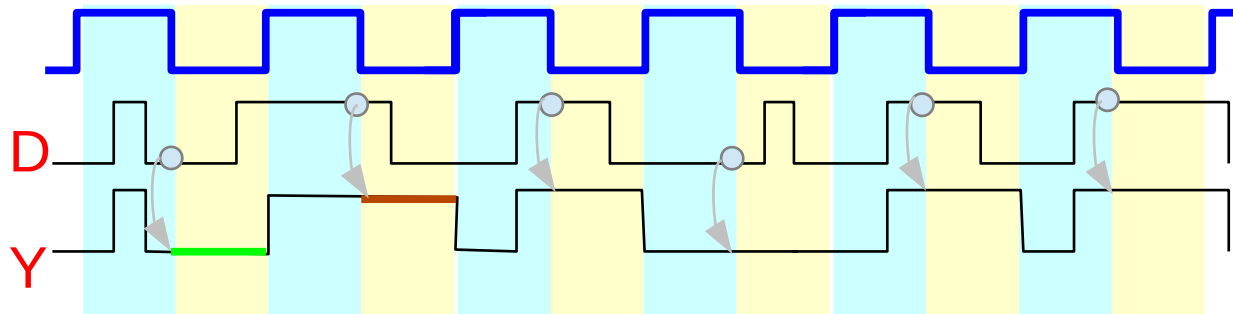
# NOR-based D Latch States



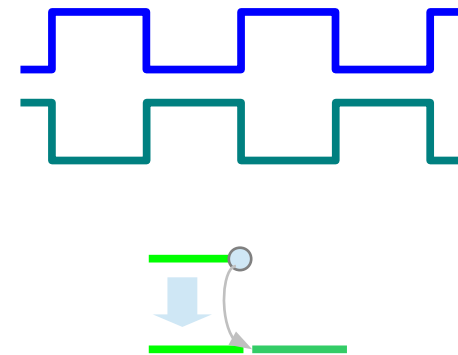
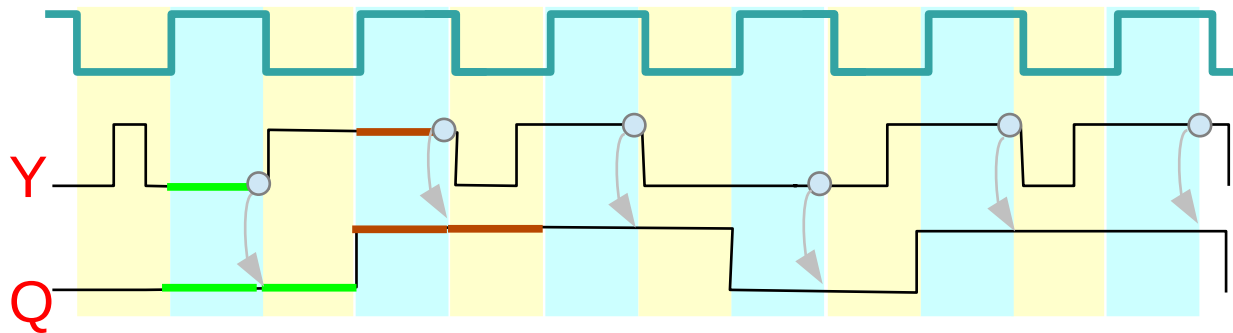
[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

# Master-Slave D FlipFlop

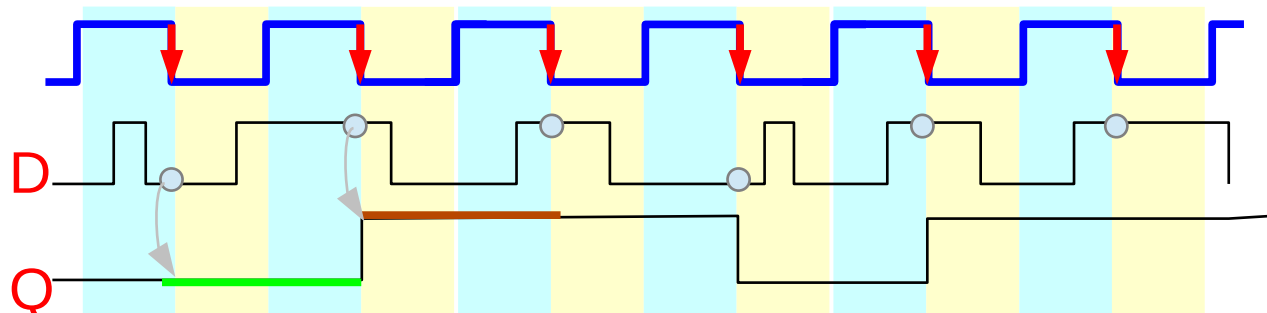
Master D Latch



Slave D Latch



Master-Slave D F/F



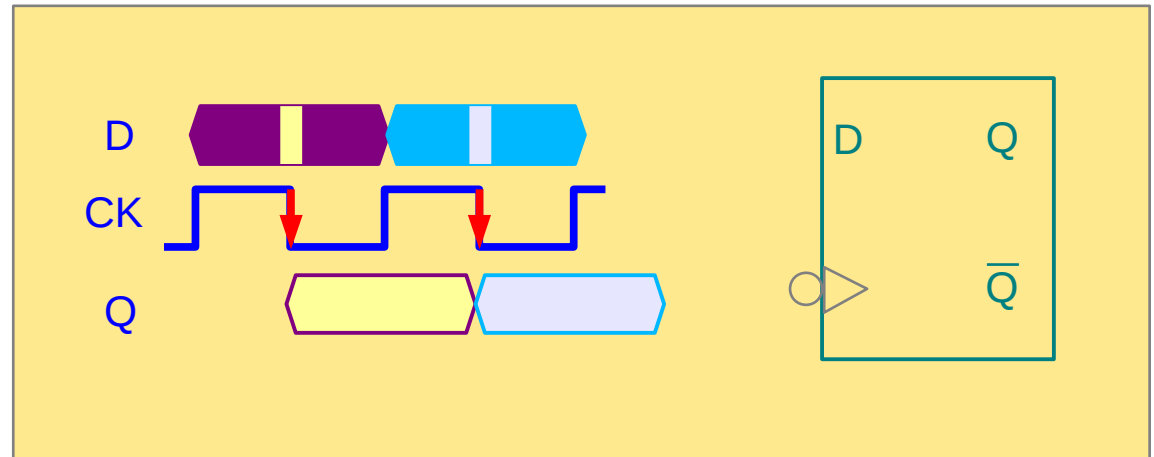
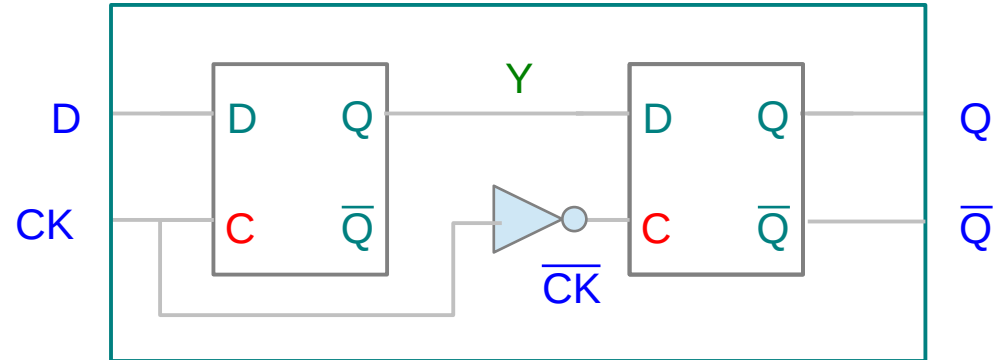
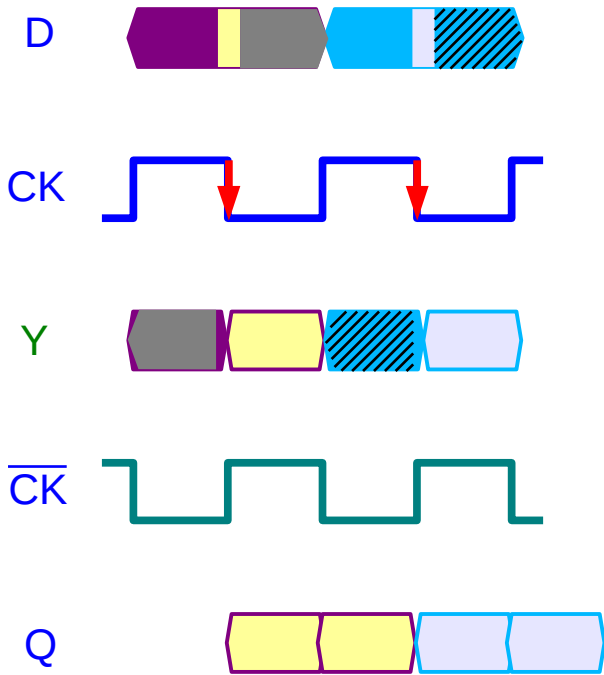
the hold output of the master is transparently reaches the output of the slave

this value is held for another half period

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# Master-Slave D FlipFlop – Falling Edge

Master D Latch



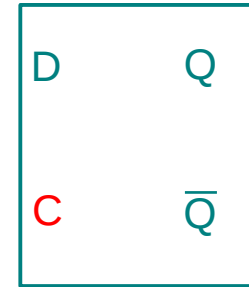
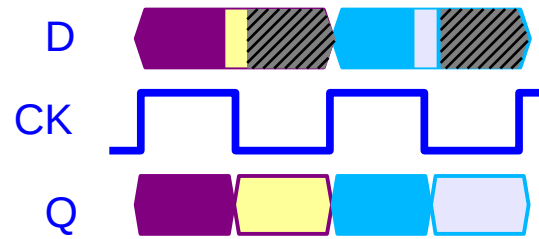
Slave D Latch

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# D Latch & D FlipFlop

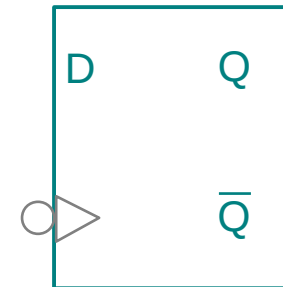
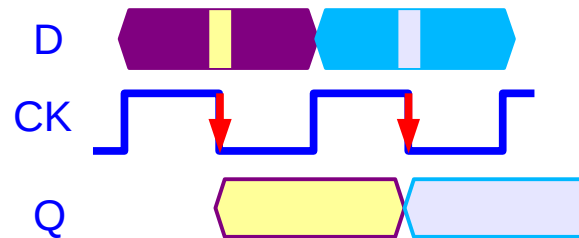
## Level Sensitive D Latch

CK=1 transparent  
CK=0 opaque



## Edge Sensitive D FlipFlop

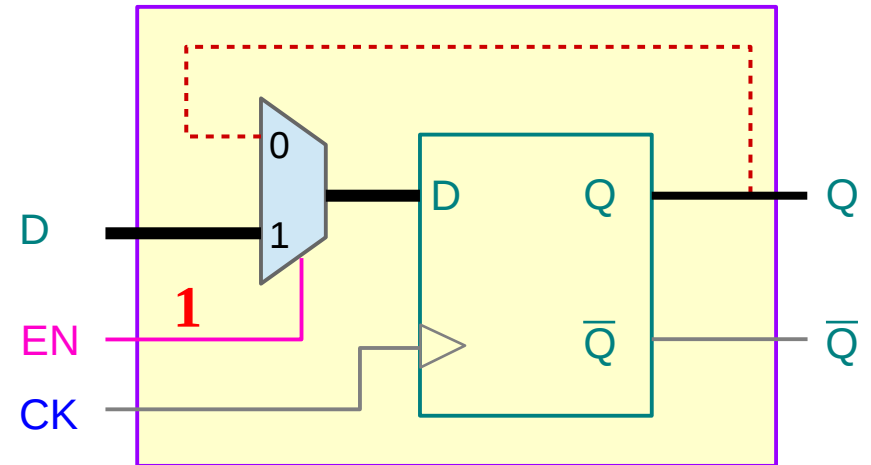
CK=1 → 0 transparent  
else opaque



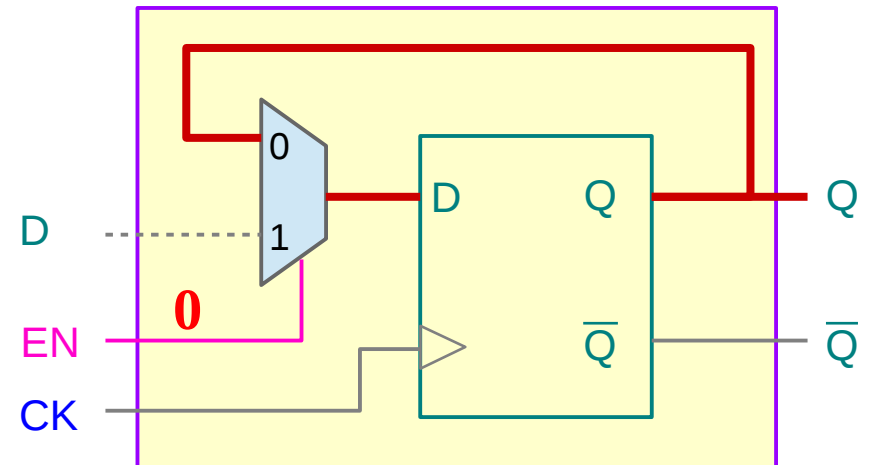
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# D FlipFlop with Enable (1)

EN=1 Regular D Flip Flop  
Sampling **D** input @ **posedge** of CK



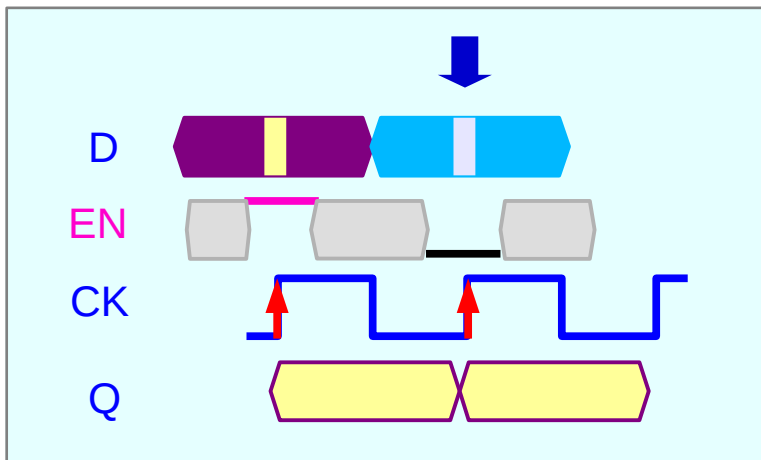
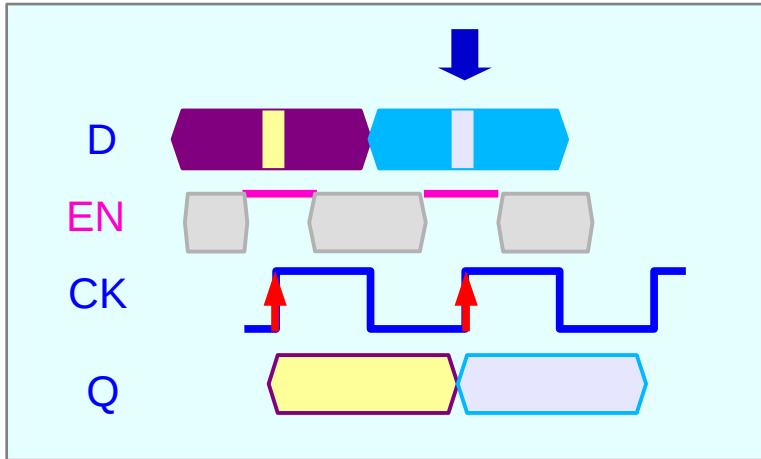
EN=0 Holding D Flip Flop  
Sampling **Q** output @ **posedge** of CK



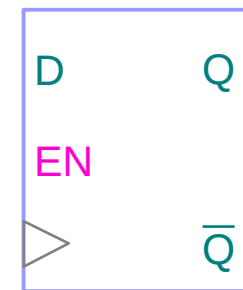
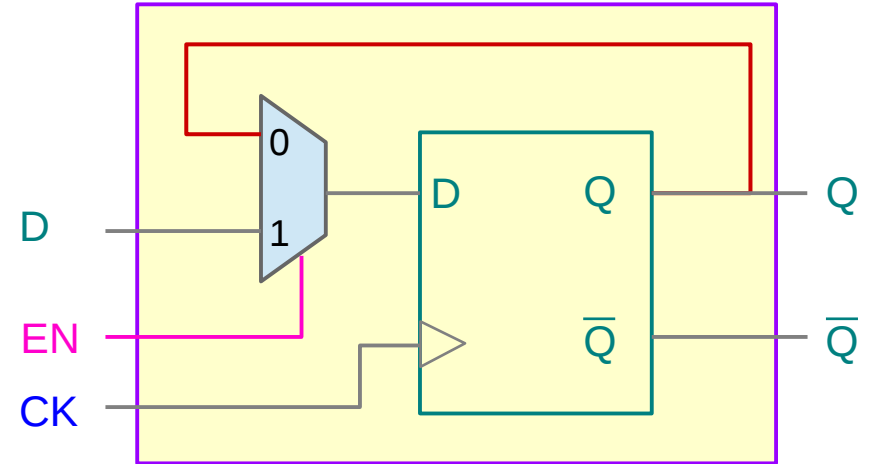
[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)



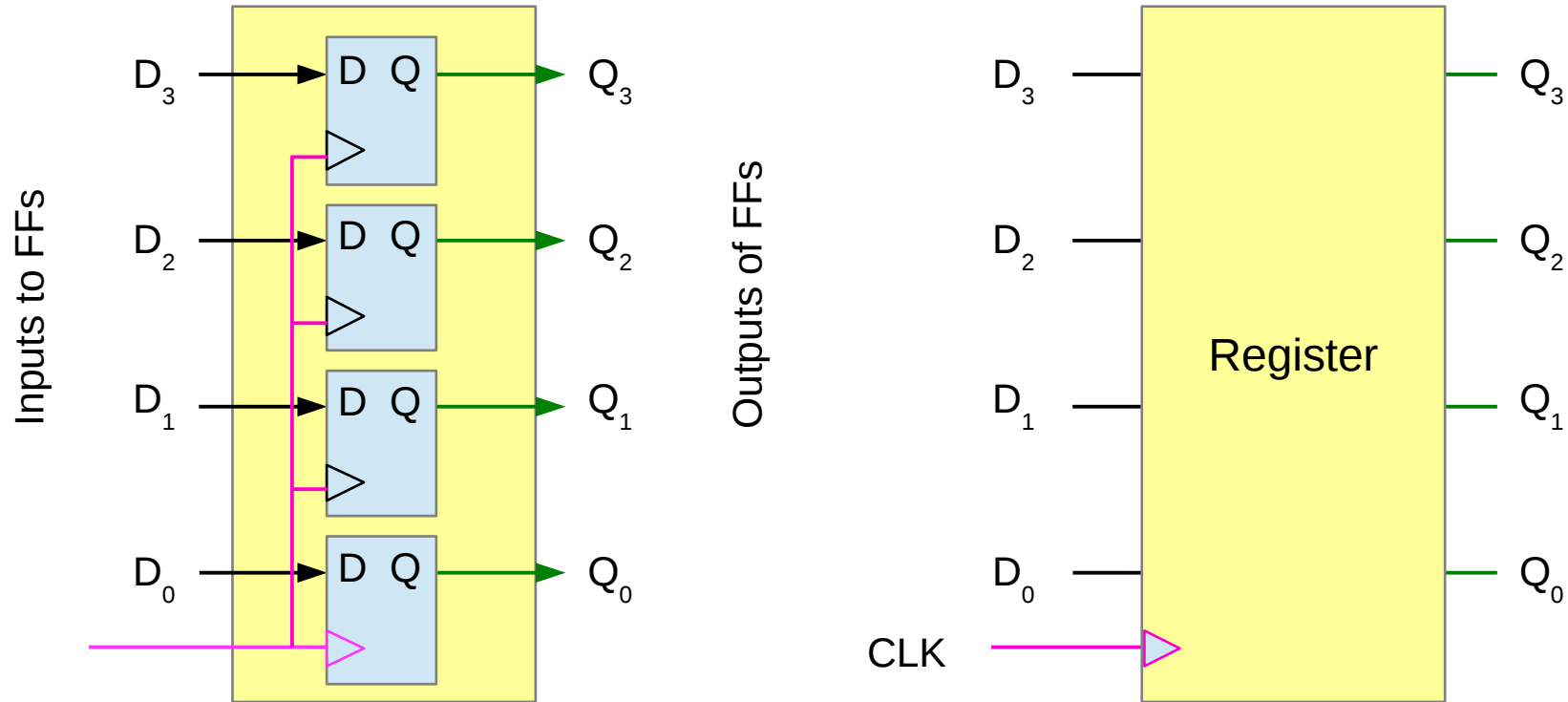
# D FlipFlop with Enable (2)



[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

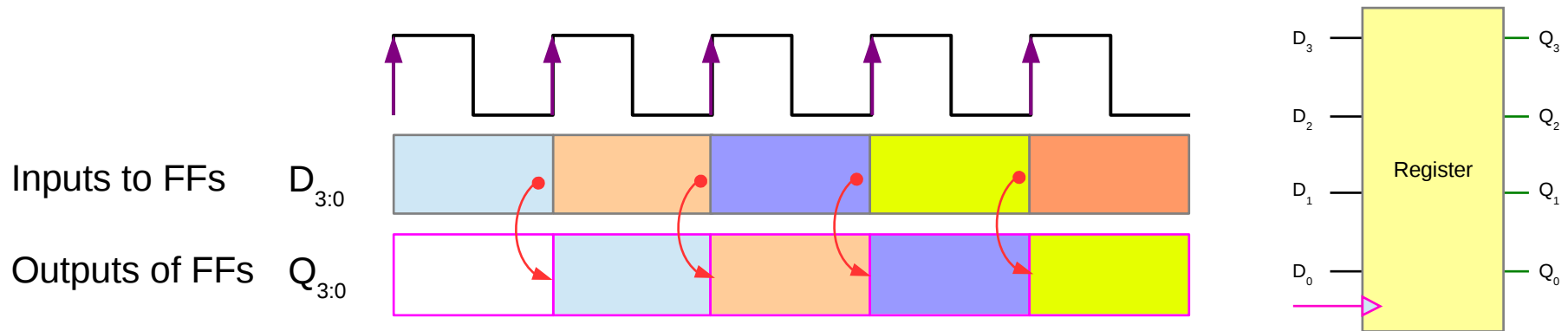


# Registers



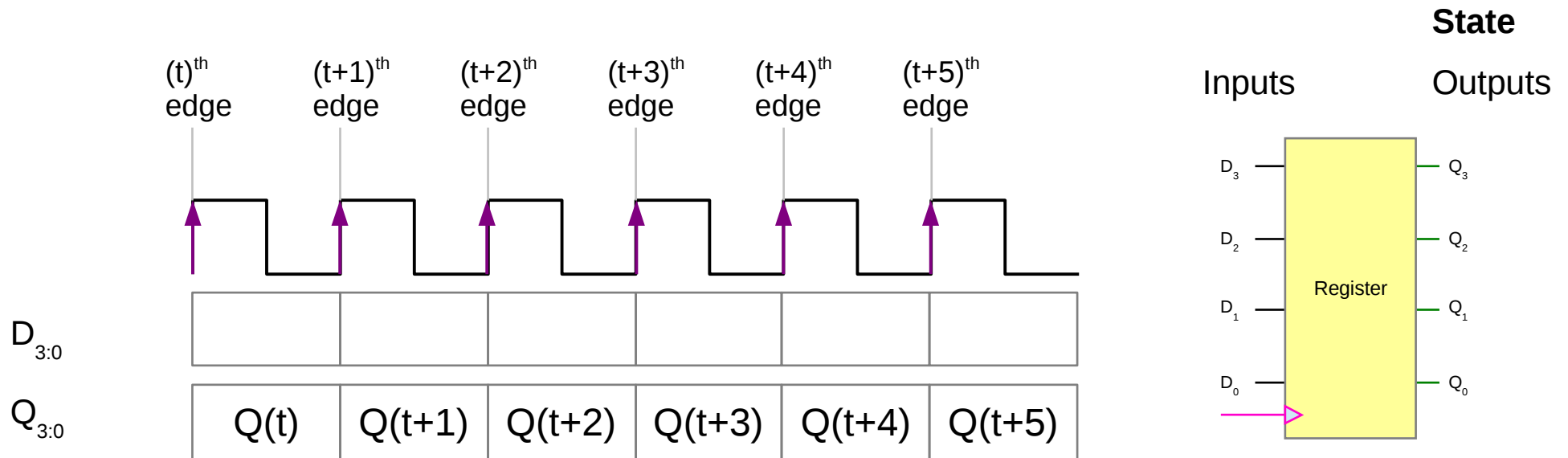
[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Computer\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Computer_Design)

# FF Timing (Ideal)



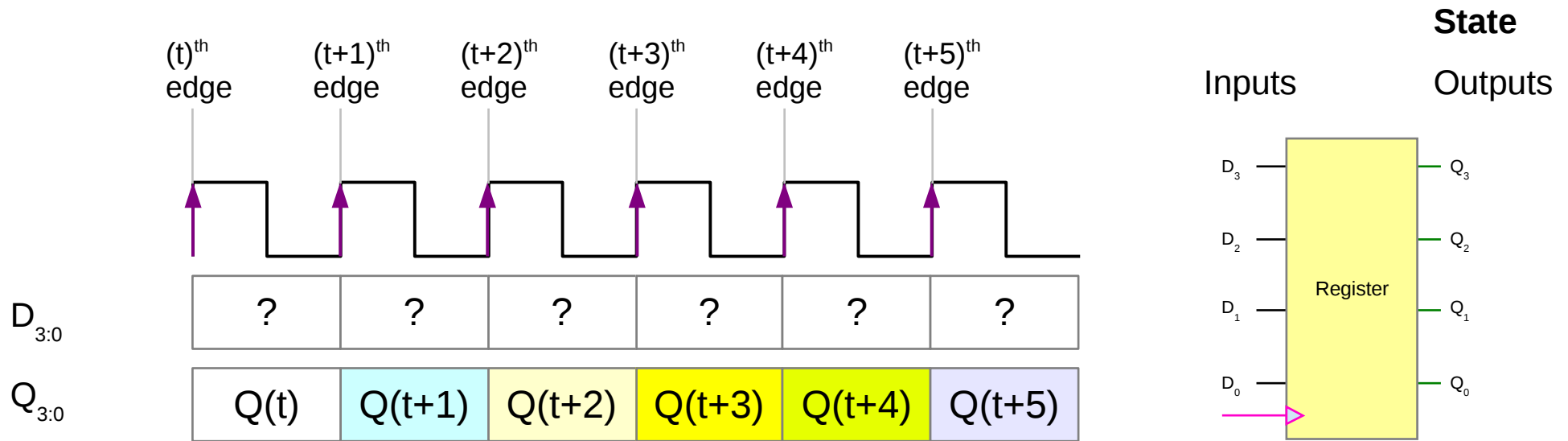
[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

# States



[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

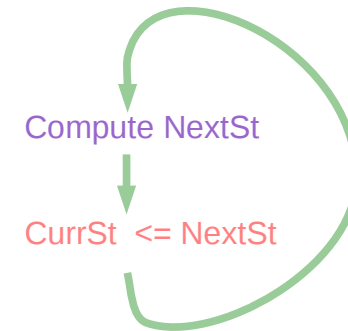
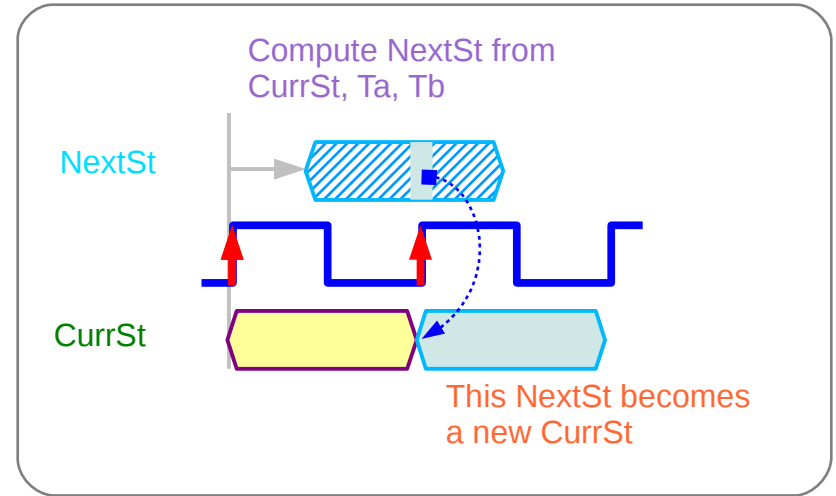
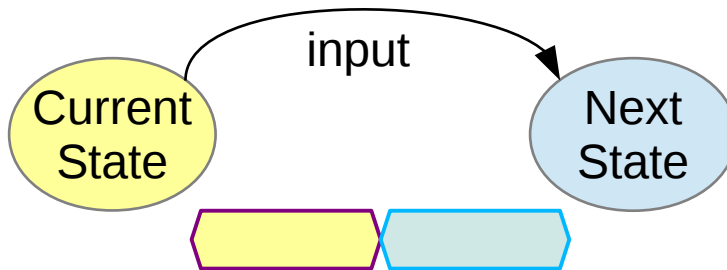
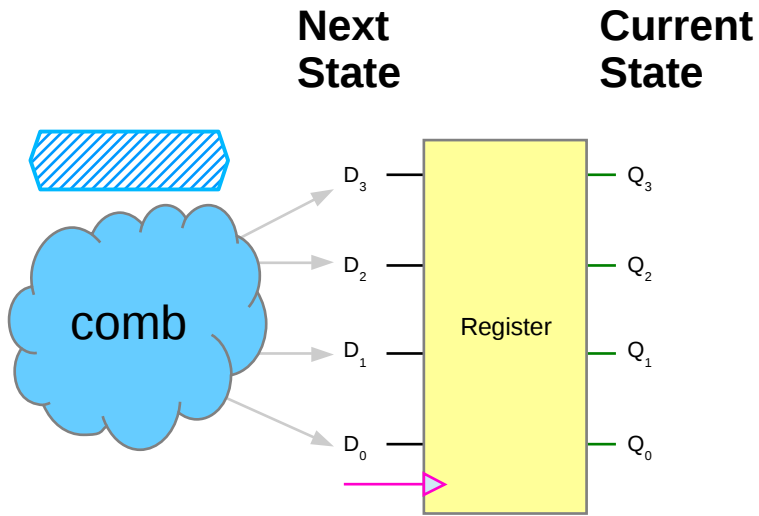
# Sequence of States



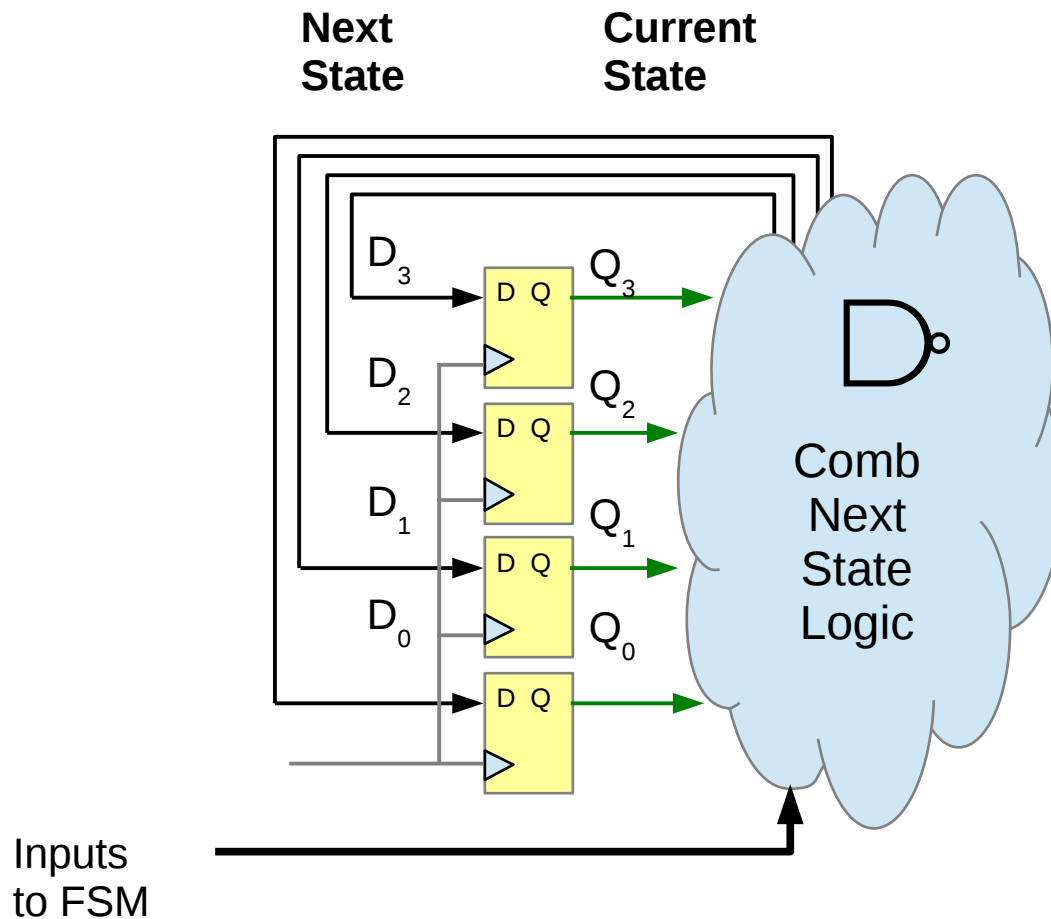
Find inputs to FFs

which will make outputs  
in this sequence

# How to change current state



# Finding FF Inputs



**During** the  $t^{\text{th}}$  clock edge period,

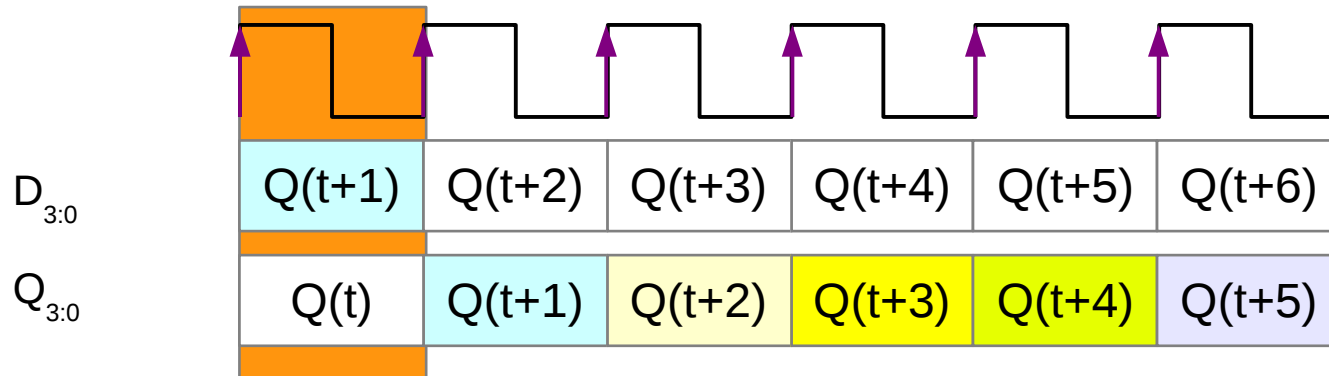
**Compute** the next state  $Q(t+1)$  using the current state  $Q(t)$  and other external inputs

**Place** it to FF inputs

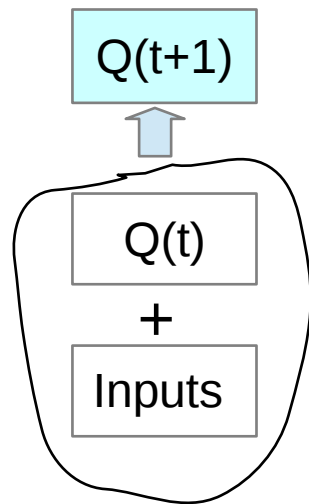
**After** the next clock edge,  $(t+1)^{\text{th}}$ , the **computed** next state  $Q(t+1)$  becomes the current state

[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

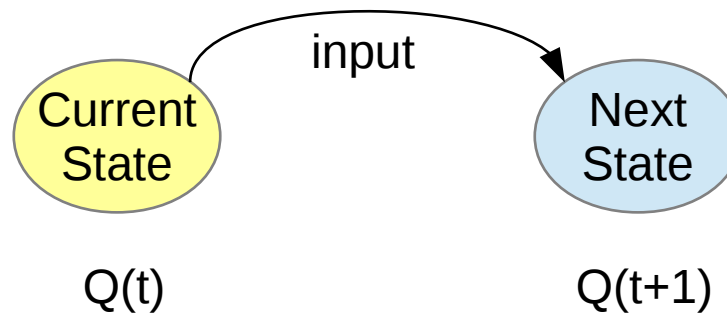
# Method of Finding FF Inputs



Find the **boolean functions**  $D_3, D_2, D_1, D_0$  in terms of  $Q_3, Q_2, Q_1, Q_0$ , and external inputs **for all possible cases.**

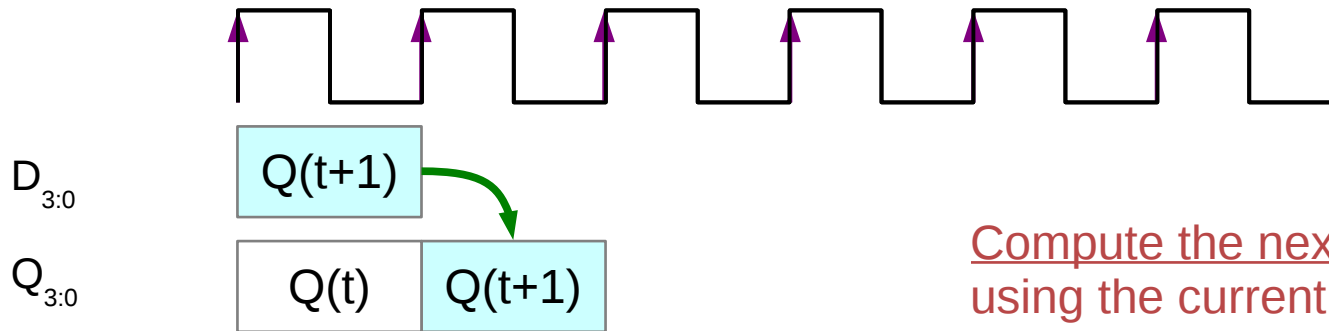


Inputs

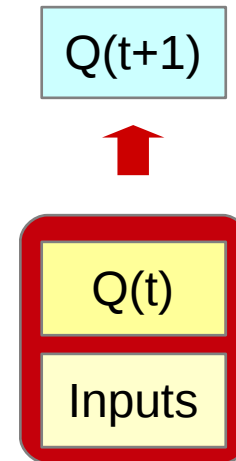
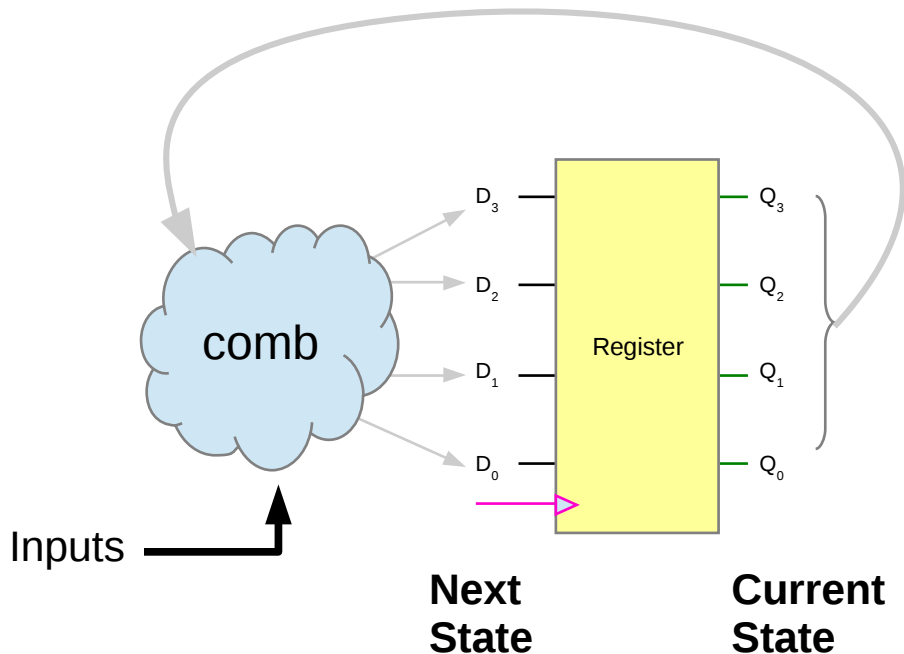




# State Transition



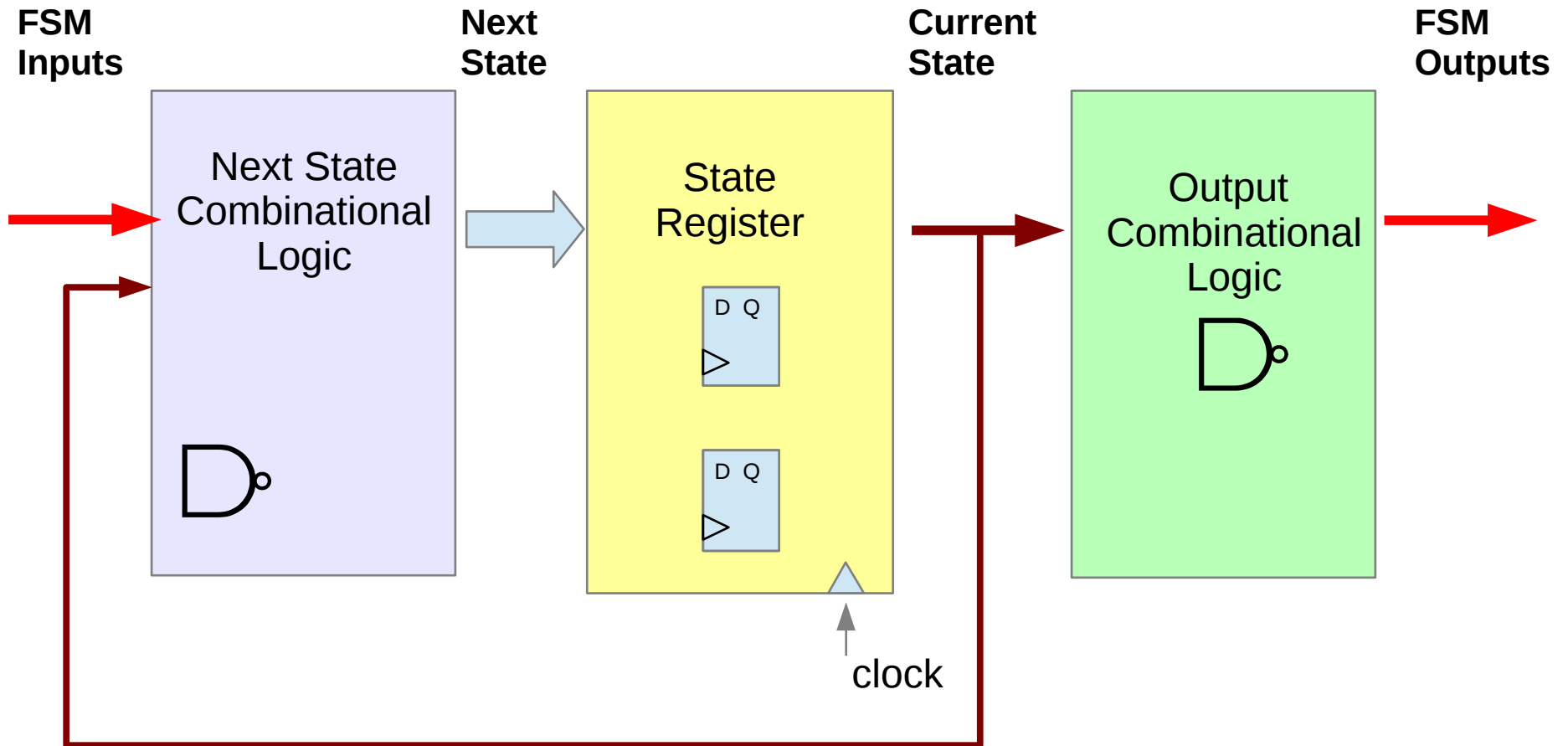
Compute the next state using the current state and external inputs in the current clock cycle



After the next clock edge, the computed next state (FF Inputs) becomes the current state (FF Outputs)

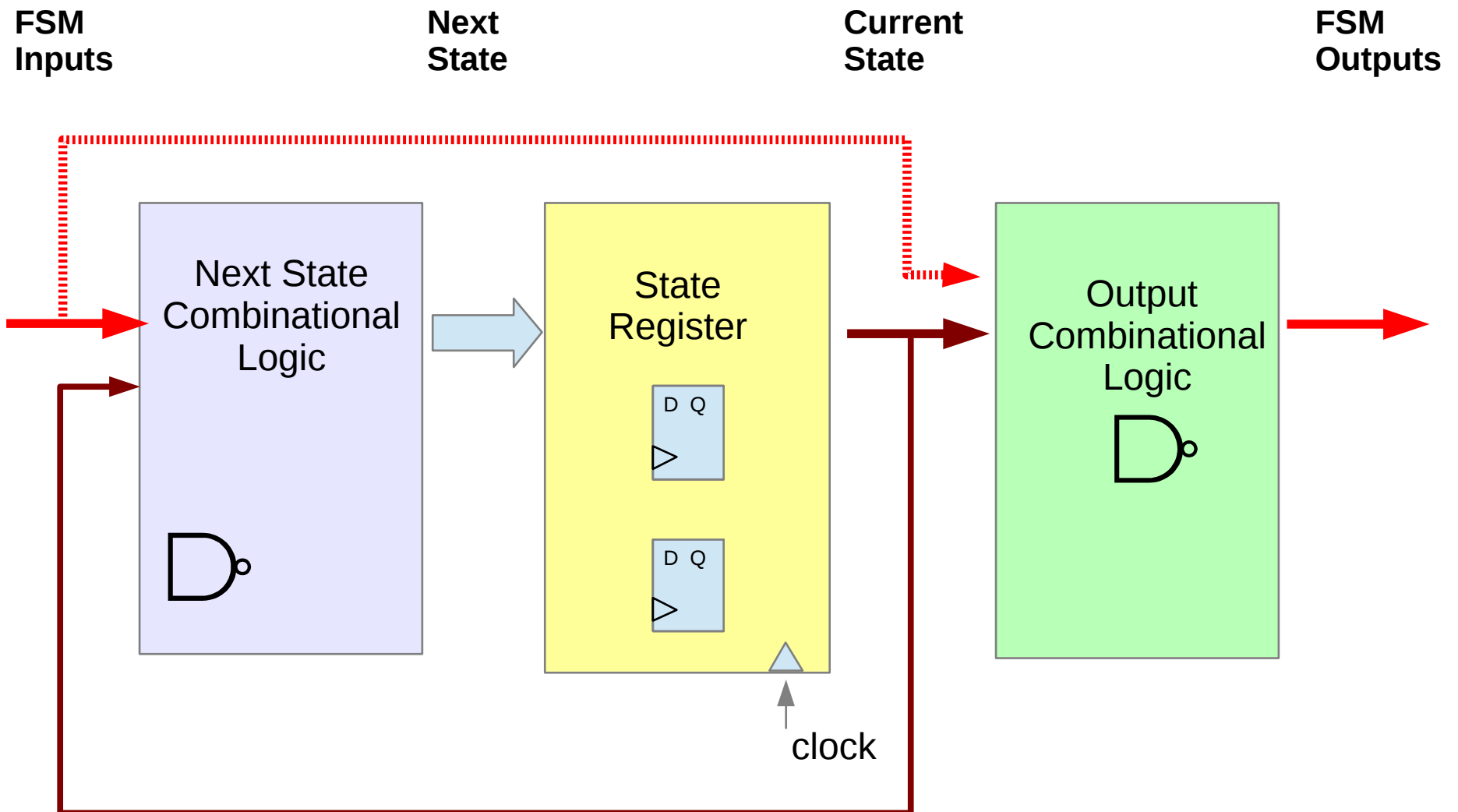
[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

# Moore FSM



[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

# Mealy FSM



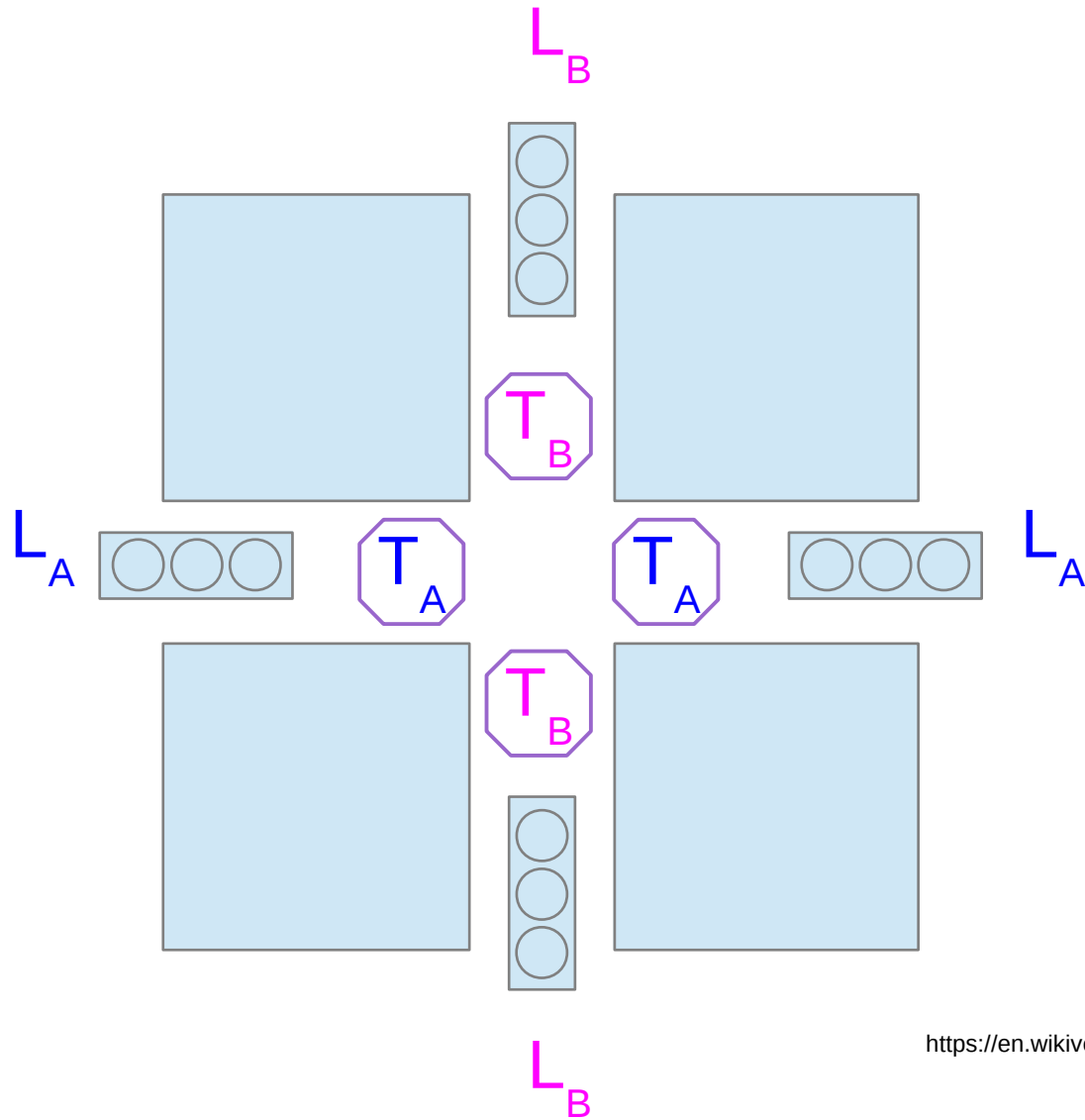
[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Digital\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Digital_Design)

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# Traffic Lights Example

[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Computer\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Computer_Design)

# FSM Inputs and Outputs



## Traffic Lights - Outputs

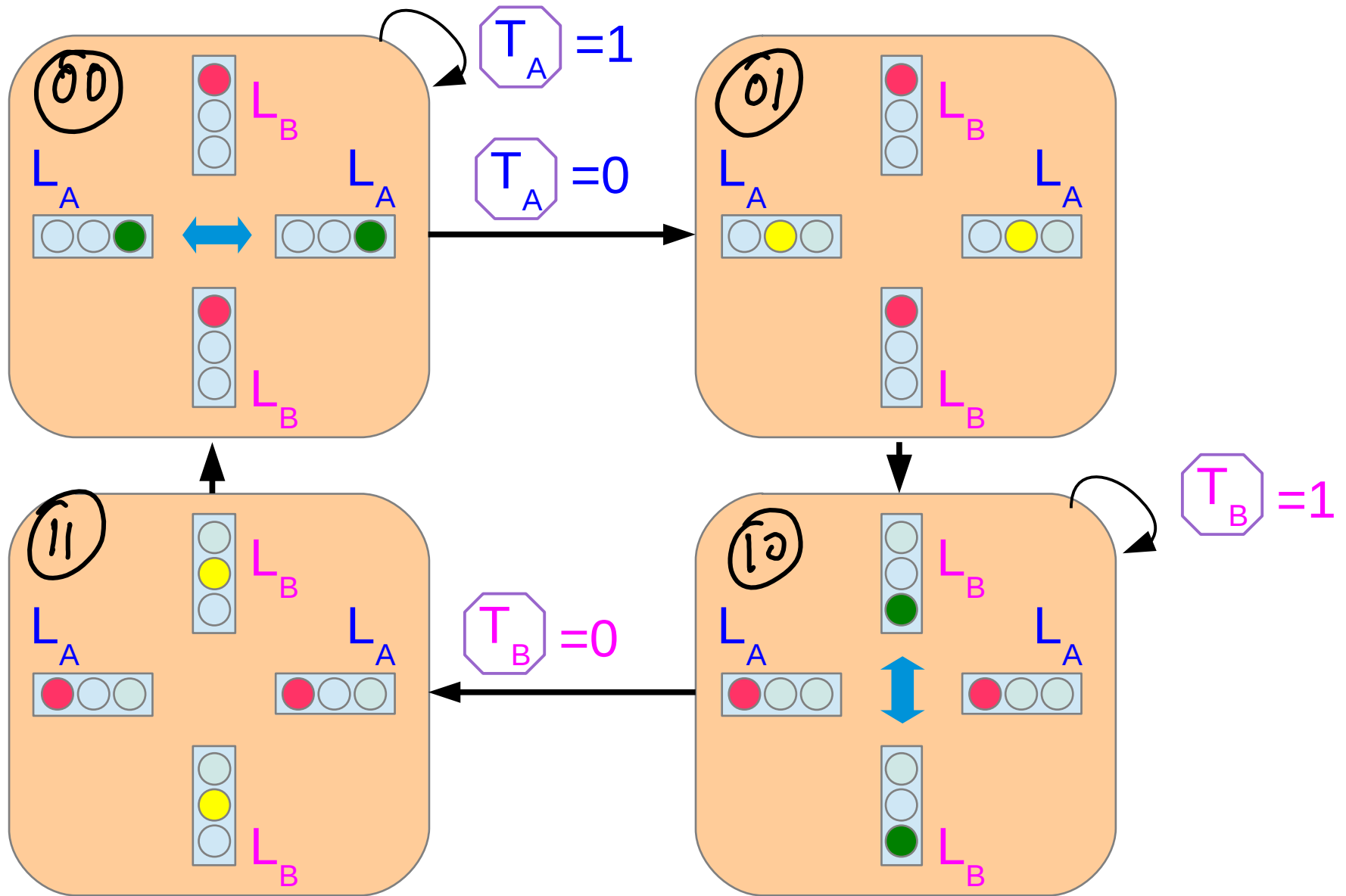
$L_A$   $L_B$

## Sensor - Inputs

$T_A$   $T_B$

[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Computer\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Computer_Design)

# States



[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Computer\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Computer_Design)

# Moore FSM State Transition Table

$S_1$	$S_0$	$T_A$	$T_B$	$S'_1$	$S'_0$
0	0	0	X	0	1
0	0	1	X	0	0
0	1	X	X	1	0
1	0	X	0	1	1
1	0	X	1	1	0
1	1	X	X	0	0

$S_1$	$S_0$	$T_A$	$T_B$	$S'_1$
0	0	0	X	0
0	0	1	X	0
0	1	X	X	1
1	0	X	0	1
1	0	X	1	1
1	1	X	X	0

$$\bar{S}_1 S_0 \Rightarrow$$

$$S_1 \bar{S}_0 \bar{T}_B \Rightarrow$$

$$S_1 \bar{S}_0 T_B \Rightarrow$$

$$S'_1 = \bar{S}_1 S_0 + S_1 \bar{S}_0$$

$$= S_1 \oplus S_0$$

$S_1$	$S_0$	$T_A$	$T_B$	$S'_0$
0	0	0	X	1
0	0	1	X	0
0	1	X	X	0
1	0	X	0	1
1	0	X	1	0
1	1	X	X	0

$$\bar{S}_1 \bar{S}_0 \bar{T}_A \Rightarrow$$

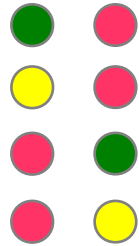
$$S_1 \bar{S}_0 \bar{T}_B \Rightarrow$$

$$S'_0 = \bar{S}_1 \bar{S}_0 \bar{T}_A + S_1 \bar{S}_0 \bar{T}_B$$

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# States

$S_1$	$S_2$	$L_{A1}$	$L_{A0}$	$L_{B1}$	$L_{B0}$
0	0	0	0	1	0
0	1	0	1	1	0
1	0	1	0	0	0
1	1	1	0	0	1



- 00
- 01
- 10

$S_1$	$S_2$	$L_{A1}$
0	0	0
0	1	0
1	0	1
1	1	1

$$L_{A1} = S_1$$

$S_1$	$S_2$	$L_{A0}$
0	0	0
0	1	1
1	0	0
1	1	0

$$L_{A0} = \overline{S_1} S_0$$

$S_1$	$S_2$	$L_{B1}$
0	0	1
0	1	1
1	0	0
1	1	0

$$L_{B1} = \overline{S_1}$$

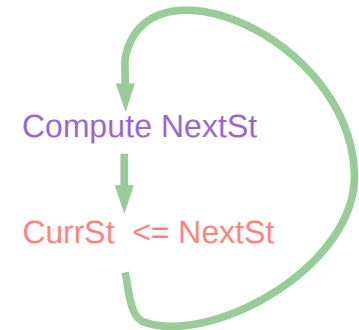
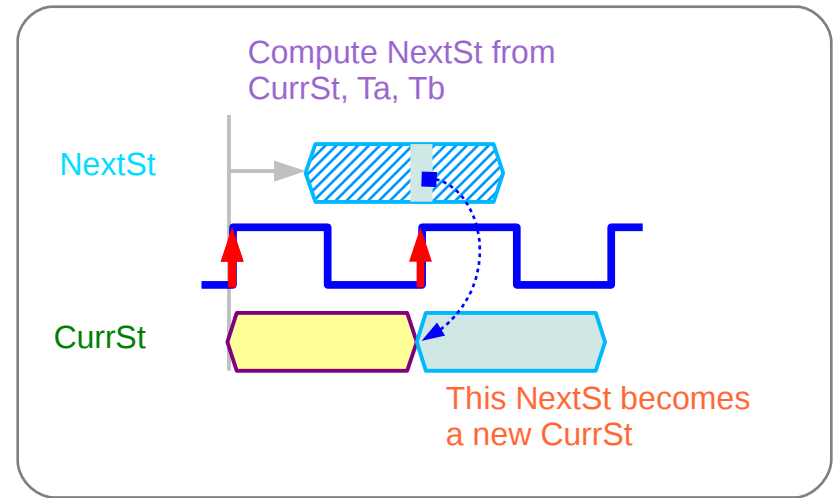
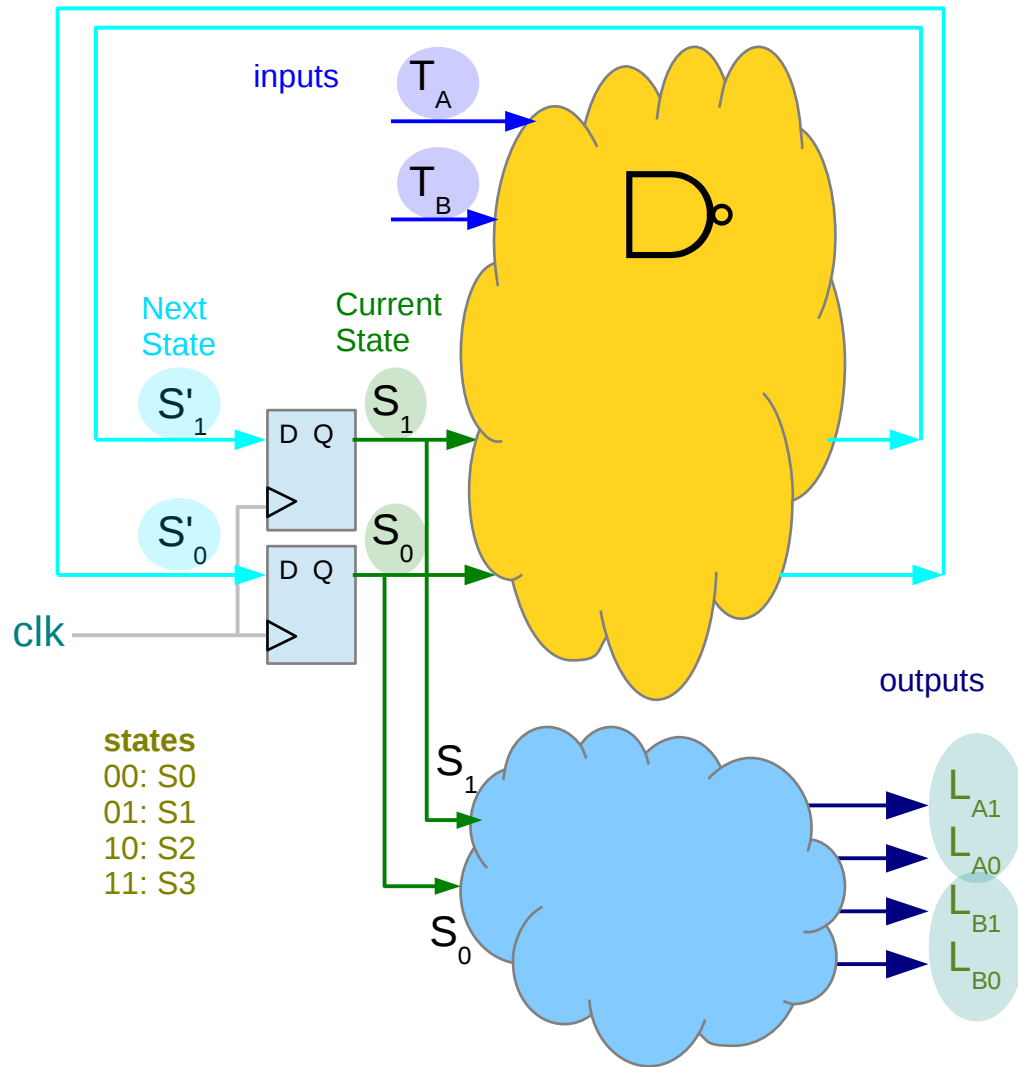
$S_1$	$S_2$	$L_{B0}$
0	0	0
0	1	0
1	0	0
1	1	1

$$L_{A0} = S_1 S_0$$

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# Moore FSM (1)

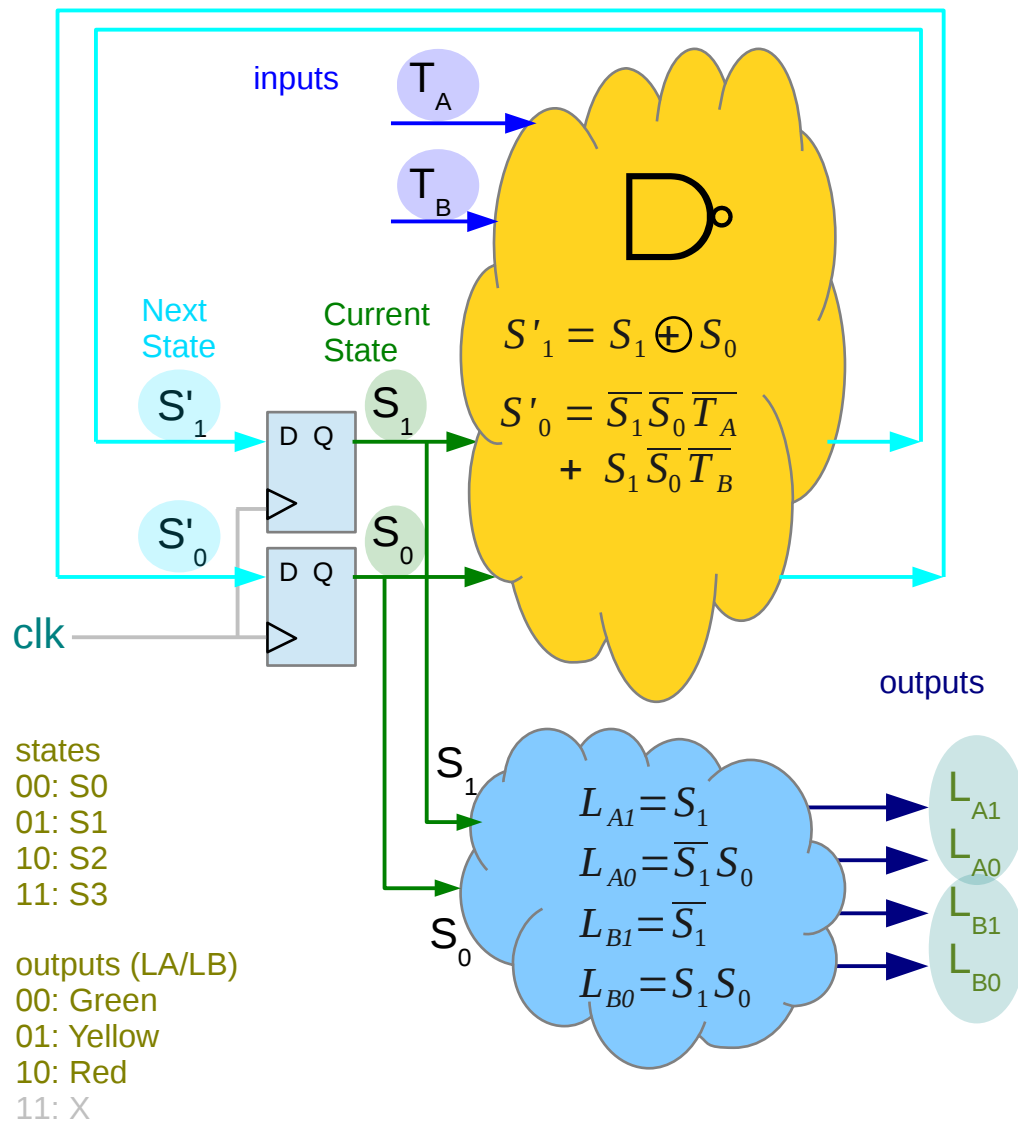


**outputs (LA/LB)**

00:	Green
01:	Yellow
10:	Red
11:	X

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# Moore FSM



Inputs	$T_A$	$T_B$
Current State	$S_1$	$S_0$



Next States		
	$S'_1 = S_1 \oplus S_0$	
	$S'_0 = \overline{S_1} \overline{S_0} \overline{T_A} + S_1 \overline{S_0} \overline{T_B}$	

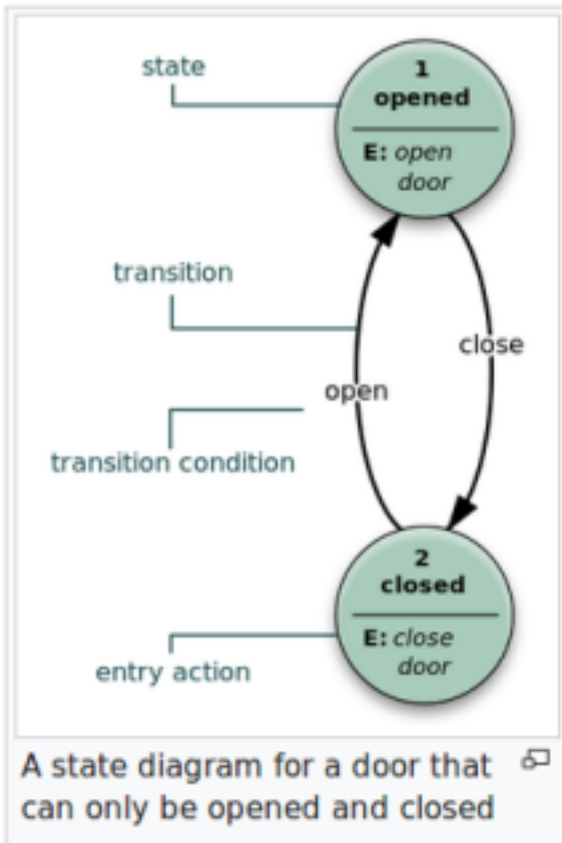
Current State	$S_1$	$S_0$
---------------	-------	-------



Outputs		
	$L_{A1} = S_1$	$L_{B1} = \overline{S_1}$
	$L_{A0} = \overline{S_1} S_0$	$L_{B0} = S_1 S_0$

[https://en.wikiversity.org/wiki/The\\_necessities\\_in\\_Computer\\_Design](https://en.wikiversity.org/wiki/The_necessities_in_Computer_Design)

# State Diagram



[https://en.wikipedia.org/wiki/Finite-state\\_machine](https://en.wikipedia.org/wiki/Finite-state_machine)

# Acceptors and Recognizers



Fig. 4 Acceptor FSM:  
parsing the string "nice"

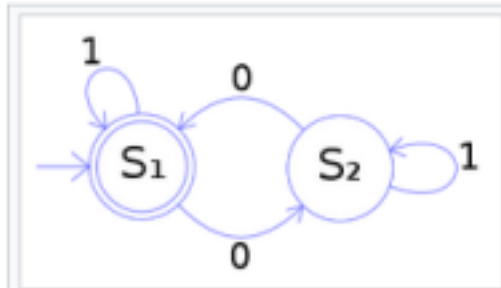


Fig. 5: Representation of  
a finite-state machine; this  
example shows one that  
determines whether a  
binary number has an even  
number of 0s, where  $S_1$  is  
an **accepting state**.

[https://en.wikipedia.org/wiki/Finite-state\\_machine](https://en.wikipedia.org/wiki/Finite-state_machine)

# Classifiers and Transducers

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A **classifier** is a generalization of a finite state machine that, similar to an acceptor, produces a single output on termination but has more than two terminal states

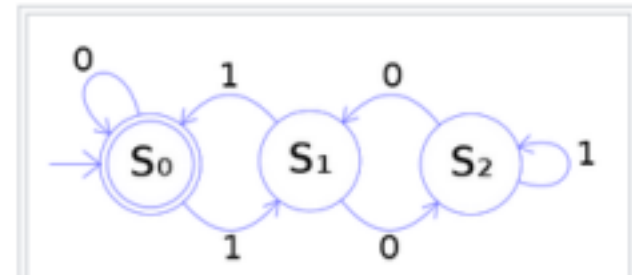
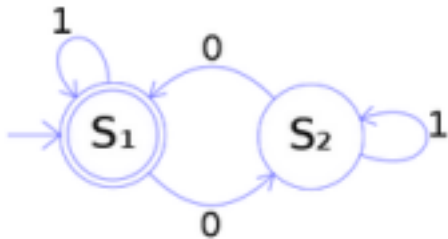
**Transducers** generate output based on a given **input** and/or a **state** using actions. They are used for control applications and in the field of computational linguistics.

[https://en.wikipedia.org/wiki/Finite-state\\_machine](https://en.wikipedia.org/wiki/Finite-state_machine)

# Moore machine

## Example: DFA, NFA, GNFA, or Moore machine [edit]

$S_1$  and  $S_2$  are states and  $S_1$  is an **accepting state** or a **final state**. Each edge is labeled with the input. This example shows an acceptor for strings over  $\{0,1\}$  that contain an even number of zeros.



An example of a deterministic finite automaton that accepts only binary numbers that are multiples of 3. The state  $S_0$  is both the start state and an accept state.

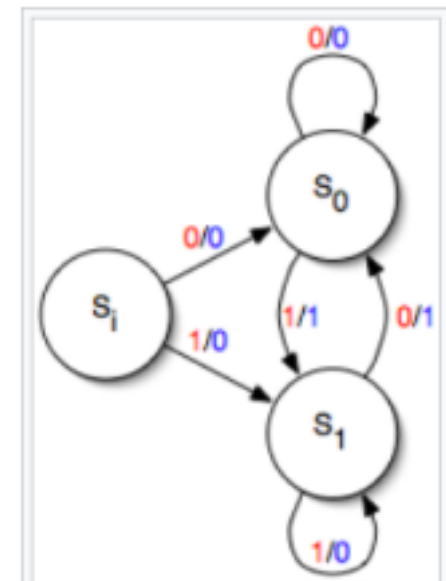
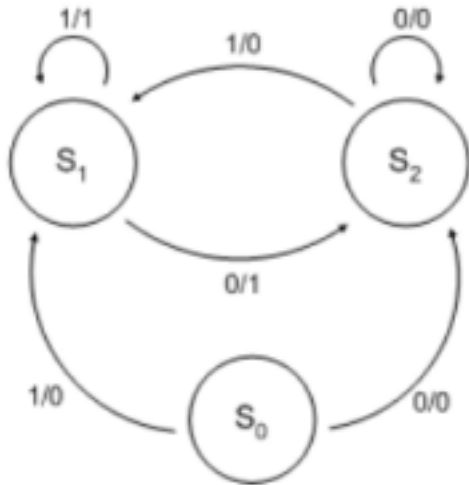
[https://en.wikipedia.org/wiki/State\\_diagram](https://en.wikipedia.org/wiki/State_diagram)

[https://en.wikipedia.org/wiki/Finite-state\\_transducer](https://en.wikipedia.org/wiki/Finite-state_transducer)

# Mealy machine

## Example: Mealy machine [\[ edit \]](#)

$S_0$ ,  $S_1$ , and  $S_2$  are states. Each edge is labeled with " $j / k$ " where  $j$  is the input and  $k$  is the output.



State diagram for a simple Mealy machine with one input and one output.

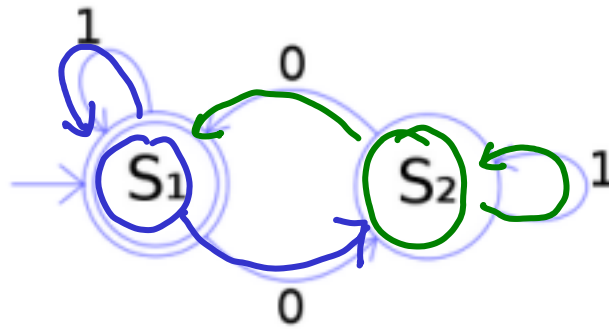
[https://en.wikipedia.org/wiki/State\\_diagram](https://en.wikipedia.org/wiki/State_diagram)  
[https://en.wikipedia.org/wiki/Mealy\\_machine](https://en.wikipedia.org/wiki/Mealy_machine)

# State Transition Table

State Transition Table

State \ Input	1	0
S <sub>1</sub>	S <sub>1</sub>	S <sub>2</sub>
S <sub>2</sub>	S <sub>2</sub>	S <sub>1</sub>

State Diagram



states {S<sub>1</sub>, S<sub>2</sub>}

inputs {0, 1}

init state S<sub>1</sub>

final state S<sub>1</sub>

$$\{S_1, S_2\} \times \{1, 0\} \longrightarrow \{S_1, S_2\}$$

$$(S_1, 1) \longrightarrow S_1$$

$$(S_1, 0) \longrightarrow S_2$$

$$(S_2, 1) \longrightarrow S_2$$

$$(S_2, 0) \longrightarrow S_1$$



# Mathematical Models for acceptors

A deterministic finite state machine or acceptor deterministic finite state machine is a quintuple  $(\Sigma, S, s_0, \delta, F)$ , where:

$\Sigma$  input alphabet  
 $S$  state set  
 $\rightarrow s_0$  init state  
 $\delta$  transition func  
 $\rightarrow F$  final state

- $\Sigma$  is the input alphabet (a finite, non-empty set of symbols).  $\{1, 0\}$
- $S$  is a finite, non-empty set of states.  $\{s_1, s_2\}$
- $s_0$  is an initial state, an element of  $S$ .  $s_1$
- $\delta$  is the state-transition function:  $\delta : S \times \Sigma \rightarrow S$
- $F$  is the set of final states, a (possibly empty) subset of  $S$ .

$\Sigma$   
 $S, Q$

$$\{s_1, s_2\} \times \{1, 0\} \rightarrow \{s_1, s_2\}$$

$$S \times \Sigma \rightarrow S$$

$$\delta : S \times \Sigma \rightarrow S$$

$$\{s_1, s_2\} \times \{0, 1\} \rightarrow \{s_1, s_2\}$$

# Deterministic Finite Automaton Example (1)

The following example is of a DFA  $M$ , with a binary alphabet, which requires that the input contains an even number of 0s.

0, 1

$M = (Q, \Sigma, \delta, q_0, F)$  where

$Q = \{S_1, S_2\}$ ,

$\Sigma = \{0, 1\}$ ,

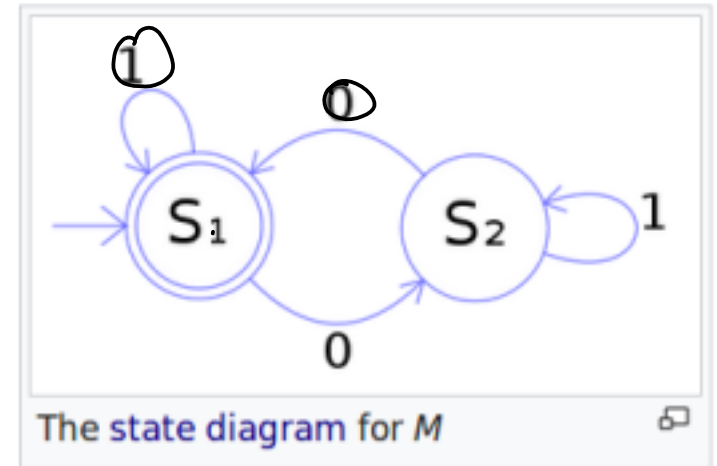
$q_0 = S_1$ ,

$F = \{S_1\}$ , and

$\delta$  is defined by the following state transition table:

	0	1
$S_1$	$S_1$	$S_2$
$S_2$	$S_2$	$S_1$

$\Sigma$  input alphabet  
 $Q$  state set  
 $\rightarrow q_0$  init state  
 $\delta$  transition func  
 $\rightarrow F$  final state



$\{S_1, S_2\} \times \{0, 1\}$

# Deterministic Finite Automaton Example (2)

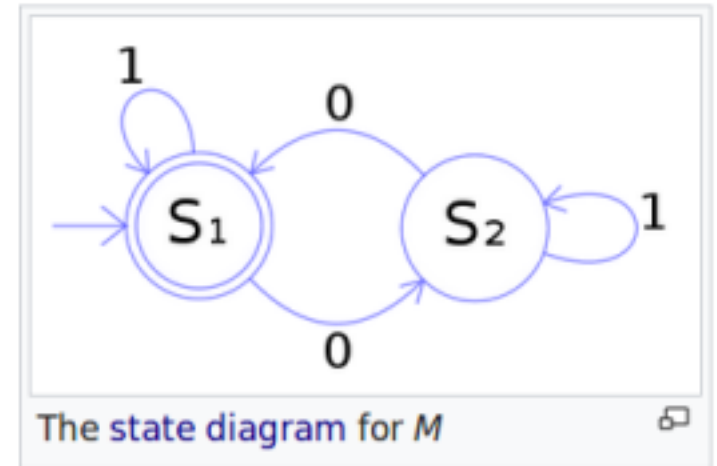
The **state S1** represents that there has been an even number of 0s in the input so far, while **S2** signifies an odd number.

A **1** in the input does not change the state of the automaton.

When the input ends, the state will show whether the input contained an even number of **0**s or not.

If the input did contain an even number of **0**s, M will finish in **state S1**, an accepting state, so the input string will be accepted.

The language recognized by M is the regular language given by the regular expression  $((1^* 0 (1^* 0 (1^*))^*$ , where "\*" is the Kleene star, e.g.,  $1^*$  denotes any number (possibly zero) of consecutive **ones**.



(vi)

%s / 7[0-9]\* / 777 / g

regular expression

zero or more

7  
 7 □  
 7 □ □  
 7 □ □ □  
 7 □ □ □ □  
 ⋮

\*  
Kleene

automata ⇒

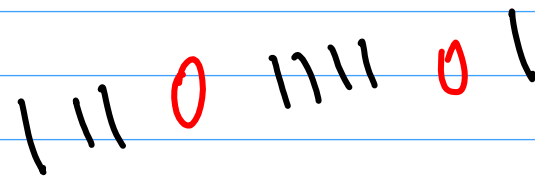
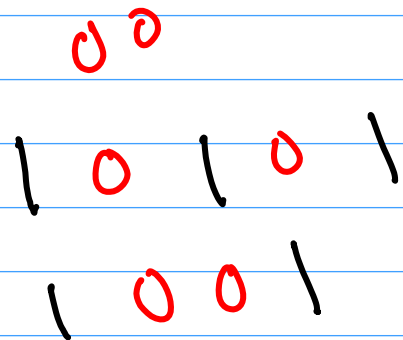
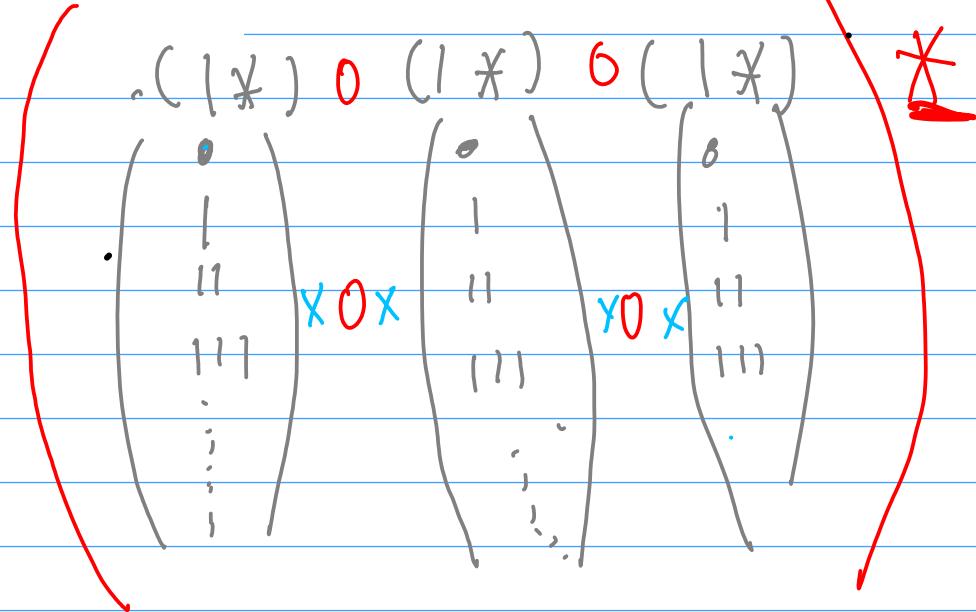
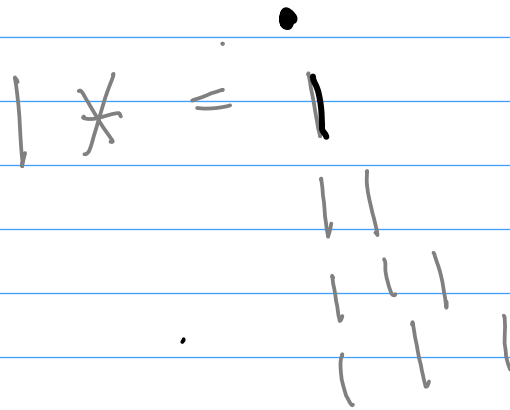
\* 0

+

\*

The language recognized by M is the regular language given by the regular expression  $((1^* 0 (1^* 0 (1^*)))^*$ , where "\*" is the Kleene star, e.g.,  $1^*$  denotes any number (possibly zero) of consecutive ones.

Kleene \*



# Mathematical Model for transducers (1)

A **finite-state transducer** is a sextuple  $(\Sigma, \Gamma, S, s_0, \delta, \omega)$ , where:

$\Sigma$  is the input alphabet (a finite non-empty set of symbols).

$\Gamma$  is the output alphabet (a finite, non-empty set of symbols).

$S$  is a finite, non-empty set of states.

$s_0$  is the initial state, an element of  $S$ .

$\omega$  is the output function.

## Mathematical Model for transducers (2)

If the **output** function is a function of a **state** and **input** alphabet ( $\omega : S \times \Sigma \rightarrow \Gamma$ ) that definition corresponds to the **Mealy model**, and can be modelled as a **Mealy machine**.

If the **output** function depends only on a **state** ( $\omega : S \rightarrow \Gamma$ ) that definition corresponds to the **Moore model**, and can be modelled as a **Moore machine**.

A finite-state machine with no output function at all is known as a **semiautomaton** or **transition system**.

$$\Gamma = \{\text{outputs}\}$$
$$\Sigma = \{\text{inputs}\}$$
$$S = \{\text{states}\}$$

$$\Sigma = \{0, 1\}$$
$$S = \{s_1, s_2\}$$

$$\left\{ \begin{array}{l} \text{Moore} \quad \omega : S \rightarrow \Gamma \\ \text{Mealy} \quad \omega : S \times \Sigma \rightarrow \Gamma \end{array} \right.$$

## References

- [1] <http://en.wikipedia.org/>
- [2]